Unofficial Copy SB0636/798570/1

2000 Regular Session

BY: Judicial Proceedings Committee

AMENDMENT TO SENATE BILL NO. 636

(First Reading File Bill)

On page 11, in line 5, after "ENTITY" insert "<u>THAT IS EXEMPT FROM REGISTRATION UNDER THE INVESTMENT COMPANY ACT OF 1940 BASED ON THE AUTHORITY IN 15 U.S.C. § 80A-3(C)(1), IS".</u>