6lr3461 CF SB 1003

By: **Delegate Frick** Introduced and read first time: February 12, 2016 Assigned to: Health and Government Operations

A BILL ENTITLED

1 AN ACT concerning

2 Department of Information Technology – Data Sharing and Integration

3 FOR the purpose of expanding the duties of the Secretary of Information Technology to 4 include the permitting of data sharing and integration among certain units of State $\mathbf{5}$ government; requiring a certain information technology master plan to include a 6 certain component and comply with certain security measures relating to data 7 sharing and integration; requiring certain State units to develop and submit the 8 priorities of the unit for data integration to the Secretary; requiring each State unit 9 to submit to the Secretary the unit's current State government integration efforts; establishing the Management Intelligence Incentive Fund as a special, nonlapsing 1011 fund; specifying the purpose of the Fund; requiring the Secretary to administer the 12Fund; requiring the State Treasurer to hold the Fund and the Comptroller to account 13 for the Fund; specifying the contents of the Fund; prohibiting the inclusion in the 14Fund of money received by certain entities; providing for the investment of money in 15the Fund; requiring interest earnings of the Fund to be credited to the Fund; 16exempting the Fund from a certain provision of law requiring interest earnings on 17State money to accrue to the General Fund of the State; requiring the Governor to 18 submit with the State budget certain information about the Fund and projects 19supported by the Fund; providing that expenditures from the Fund are subject to 20certain budget process limitations; specifying the purposes for which the Fund may 21 be used; authorizing certain State units to request certain funding from the Fund; 22requiring the Secretary to establish certain procedures and prioritize certain criteria 23when determining whether to approve a certain request for funding; requiring the 24Secretary to submit a certain report on or before a certain date each year to the 25Governor, the Secretary of Budget and Management, and the budget committees of 26the General Assembly; requiring the Secretary to provide a certain summary report 27on or before a certain date each year to the Department of Legislative Services; 28defining certain terms; and generally relating to data sharing and integration and 29the Management Intelligence Incentive Fund.

30 BY renumbering

EXPLANATION: CAPITALS INDICATE MATTER ADDED TO EXISTING LAW. [Brackets] indicate matter deleted from existing law.



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- Article State Finance and Procurement
- 2 Section 3A–310 through 3A–313, respectively
- 3 to be Section 3A–311 through 3A–314, respectively
- 4 Annotated Code of Maryland
- 5 (2015 Replacement Volume)
- 6 BY repealing and reenacting, with amendments,
- 7 Article State Finance and Procurement
- 8 Section 3A–303 through 3A–305 and 6–226(a)(2)(ii)84. and 85.
- 9 Annotated Code of Maryland
- 10 (2015 Replacement Volume)
- 11 BY adding to
- 12 Article State Finance and Procurement
- 13 Section 3A–310 and 6–226(a)(2)(ii)86.
- 14 Annotated Code of Maryland
- 15 (2015 Replacement Volume)
- 16 BY repealing and reenacting, without amendments,
- 17 Article State Finance and Procurement
- 18 Section 6–226(a)(2)(i)
- 19 Annotated Code of Maryland
- 20 (2015 Replacement Volume)
- 21 SECTION 1. BE IT ENACTED BY THE GENERAL ASSEMBLY OF MARYLAND,

22 That Section(s) 3A-310 through 3A-313, respectively, of Article - State Finance and

23 Procurement of the Annotated Code of Maryland be renumbered to be Section(s) 3A-311

- 24 through 3A–314, respectively.
- 25 SECTION 2. AND BE IT FURTHER ENACTED, That the Laws of Maryland read 26 as follows:
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Article – State Finance and Procurement

- 28 3A–303.
- 29 The Secretary is responsible for carrying out the following duties:

30 (1) developing, maintaining, revising, and enforcing information 31 technology policies, procedures, and standards;

32 (2) providing technical assistance, advice, and recommendations to the 33 Governor and any unit of State government concerning information technology matters;

(3) reviewing the annual project plan for each unit of State government to
 make information and services available to the public over the Internet;

$\frac{1}{2}$	(4) developing and maintaining a statewide information technology master plan that will:
$\frac{3}{4}$	(i) be the basis for the management and direction of information technology within the Executive Branch of State government;
$5 \\ 6$	(ii) include all aspects of State information technology including telecommunications, data processing, and information management;
$7 \\ 8$	(iii) consider interstate transfers as a result of federal legislation and regulation;
9 10	(iv) work jointly with the Secretary of Budget and Management to ensure that information technology plans and budgets are consistent;
$11 \\ 12 \\ 13 \\ 14$	(v) ensure that State information technology plans, policies, and standards are consistent with State goals, objectives, and resources, and represent a long-range vision for using information technology to improve the overall effectiveness of State government; [and]
$\begin{array}{c} 15\\ 16 \end{array}$	(vi) include standards to assure nonvisual access to the information and services made available to the public over the Internet; and
17 18	(VII) PERMIT THE INTEGRATION OF DATA AND THE SHARING OF INFORMATION AMONG UNITS OF STATE GOVERNMENT; AND
19	(5) adopting by regulation and enforcing nonvisual access standards to be
$\begin{array}{c} 20\\ 21 \end{array}$	used in the procurement of information technology services by or on behalf of units of State government.
	used in the procurement of information technology services by or on behalf of units of State
21	used in the procurement of information technology services by or on behalf of units of State government.
21 22	used in the procurement of information technology services by or on behalf of units of State government. 3A–304.
21 22 23 24 25	 used in the procurement of information technology services by or on behalf of units of State government. 3A-304. (A) The Secretary shall develop a statewide information technology master plan. (B) (1) THE MASTER PLAN SHALL INCLUDE A COMPONENT ON THE INTEGRATION OF DATA AND THE SHARING OF INFORMATION AMONG UNITS OF
21 22 23 24 25 26	 used in the procurement of information technology services by or on behalf of units of State government. 3A-304. (A) The Secretary shall develop a statewide information technology master plan. (B) (1) THE MASTER PLAN SHALL INCLUDE A COMPONENT ON THE INTEGRATION OF DATA AND THE SHARING OF INFORMATION AMONG UNITS OF STATE GOVERNMENT.

1 (III) PRIORITIES FOR DATA INTEGRATION, BEGINNING WITH THE 2 INTEGRATION OF DATA SYSTEMS THAT THE SECRETARY IDENTIFIES AS MOST 3 BENEFICIAL IN TERMS OF:

4	1. CREATING TIME EFFICIENCIES;
5	2. CREATING SERVICE IMPROVEMENTS; AND
6	3. ACHIEVING COST SAVINGS;
7 8 9	(IV) THE IDENTIFICATION OF CURRENT STATEWIDE AND AGENCY DATA INTEGRATION EFFORTS AND A LONG-TERM STRATEGY FOR INTEGRATING THOSE EFFORTS INTO THE MASTER PLAN;
$10 \\ 11 \\ 12$	(V) THE IDENTIFICATION OF CURRENT AGENCY MANAGEMENT INTELLIGENCE AND ANALYTIC NEEDS AND A LONG–TERM STRATEGY FOR PRIORITIZING THOSE NEEDS IN THE MASTER PLAN; AND
$\begin{array}{c} 13\\14\\15\end{array}$	(VI) DETAILED COST INFORMATION FOR DEVELOPMENT, IMPLEMENTATION, OPERATIONS, AND MAINTENANCE COSTS FOR THE 5–YEAR PERIOD FOLLOWING THE DEVELOPMENT OF THE MASTER PLAN.
16 17 18 19	(C) THE MASTER PLAN SHALL PROVIDE FOR COMPLIANCE WITH ALL NECESSARY SECURITY MEASURES AND RESTRICTIONS TO ENSURE THAT ACCESS TO SPECIFIC INFORMATION DEEMED CONFIDENTIAL UNDER FEDERAL OR STATE LAW IS LIMITED TO APPROPRIATELY AUTHORIZED PERSONS.
20	3A-305.
$\begin{array}{c} 21 \\ 22 \end{array}$	(a) Except as provided in subsection (b) of this section, in accordance with guidelines established by the Secretary, each unit of State government shall:
23	(1) develop and submit to the Secretary:
24	[(1)] (I) information technology policies and standards;
25	[(2)] (II) an information technology plan; [and]
$\frac{26}{27}$	[(3)] (III) an annual project plan outlining the status of efforts to make information and services available to the public over the Internet; AND
28	(IV) THE PRIORITIES OF THE UNIT FOR DATA INTEGRATION; AND

1 (2) SUBMIT TO THE SECRETARY THE UNIT'S CURRENT STATE 2 GOVERNMENT DATA INTEGRATION EFFORTS.

3 (b) (1) The governing boards of the public institutions of higher education shall 4 develop and submit information technology policies and standards and an information 5 technology plan for their respective institutions or systems to the Secretary.

6 (2) If the Secretary finds that the submissions required under this 7 subsection are consistent with the master plan, the Secretary shall incorporate those 8 submissions into the master plan.

9 (3) If the Secretary finds that the submissions required under this 10 subsection are not consistent with the master plan:

11 (i) the Secretary shall return the submissions to the governing 12 boards; and

(ii) the governing boards shall revise the submissions as appropriateand submit the revised policies, standards, and plans to the Secretary.

15 **3A–310.**

16 (A) (1) IN THIS SECTION THE FOLLOWING WORDS HAVE THE MEANINGS 17 INDICATED.

18 (2) "ENTERPRISE LEVEL DATA ANALYTICS" MEANS STANDARD DATA 19 ANALYTICS CAPABILITIES AND SERVICES THAT LEVERAGE DATA THROUGHOUT ALL 20 UNITS OF STATE GOVERNMENT.

21(3) "FUND" MEANS THE MANAGEMENT INTELLIGENCE INCENTIVE22FUND.

23"MANAGEMENT INTELLIGENCE" MEANS THE PROCESS OF (4) **(I)** 24COLLECTING, ORGANIZING, SHARING, AND ANALYZING DATA THROUGH INTEGRATED DATA MANAGEMENT, REPORTING, VISUALIZATION, AND ADVANCED 25DATA ANALYTICS TO DISCOVER PATTERNS AND OTHER USEFUL INFORMATION THAT 26WILL ALLOW POLICYMAKERS AND STATE OFFICIALS TO MAKE MORE INFORMED 27**DECISIONS.** 28

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(II) "MANAGEMENT INTELLIGENCE" INCLUDES:

301.BROAD MASTER DATA MANAGEMENT CAPABILITIES,31INCLUDING DATA INTEGRATION, DATA QUALITY AND ENRICHMENT, DATA32GOVERNANCE, AND MASTER DATA MANAGEMENT THAT ALLOW FOR THE

1 COLLECTION, REFERENCING, AND CATEGORIZATION OF INFORMATION FROM 2 MULTIPLE SOURCES; AND

3 2. SELF-SERVICE QUERY AND REPORTING
4 CAPABILITIES THAT ALLOW FOR THE PROVISION OF TIMELY, RELEVANT, AND
5 ACTIONABLE INFORMATION TO BUSINESS USERS DELIVERED THROUGH A VARIETY
6 OF INTERFACES, DEVICES, OR APPLICATIONS BASED ON THEIR SPECIFIC ROLES AND
7 RESPONSIBILITIES.

8 (B) THERE IS A MANAGEMENT INTELLIGENCE INCENTIVE FUND.

9 (C) THE PURPOSE OF THE FUND IS TO SUPPORT NEW MANAGEMENT 10 INTELLIGENCE PROJECTS.

11 (D) THE SECRETARY SHALL ADMINISTER THE FUND IN ACCORDANCE WITH 12 THIS SECTION.

13 (E) (1) THE FUND IS A SPECIAL, NONLAPSING FUND THAT IS NOT 14 SUBJECT TO § 7–302 OF THIS ARTICLE.

15 (2) THE STATE TREASURER SHALL HOLD THE FUND SEPARATELY, 16 AND THE COMPTROLLER SHALL ACCOUNT FOR THE FUND.

17 (F) EXCEPT AS PROVIDED IN SUBSECTION (G) OF THIS SECTION, THE FUND 18 CONSISTS OF:

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(1) MONEY APPROPRIATED IN THE STATE BUDGET TO THE FUND;

20 (2) GENERAL FUNDS APPROPRIATED FOR MANAGEMENT 21 INTELLIGENCE PROJECTS OF ANY UNIT OF STATE GOVERNMENT, OTHER THAN A 22 PUBLIC INSTITUTION OF HIGHER EDUCATION, THAT:

23(I)ARE UNENCUMBERED AND UNEXPENDED AT THE END OF A24FISCAL YEAR;

25 (II) HAVE BEEN ABANDONED; OR

26 (III) HAVE BEEN WITHHELD BY THE GENERAL ASSEMBLY OR 27 THE SECRETARY;

28 (3) ANY INVESTMENT EARNINGS; AND

1 (4) ANY OTHER MONEY FROM ANY SOURCE ACCEPTED FOR THE 2 BENEFIT OF THE FUND.

3 (G) THE FUND MAY NOT INCLUDE ANY MONEY RECEIVED BY: (1) THE DEPARTMENT OF TRANSPORTATION; 4 (2) THE MARYLAND TRANSPORTATION AUTHORITY; $\mathbf{5}$ THE MARYLAND PUBLIC BROADCASTING COMMISSION; OR 6 (3) THE JUDICIAL OR LEGISLATIVE BRANCHES STATE 7 (4) OF 8 GOVERNMENT. 9 **(H)** (1) THE STATE TREASURER SHALL INVEST THE MONEY OF THE FUND IN THE SAME MANNER AS OTHER STATE MONEY MAY BE INVESTED. 10 ANY INVESTMENT EARNINGS OF THE FUND SHALL BE CREDITED 11 (2) TO THE FUND. 12 13**(I)** THE GOVERNOR SHALL SUBMIT WITH THE STATE BUDGET: 14 (1) A SUMMARY SHOWING THE UNENCUMBERED BALANCE OF THE FUND AS OF THE CLOSE OF THE PRIOR FISCAL YEAR AND A LISTING OF ANY 1516 **ENCUMBRANCES:** 17(2) AN ESTIMATE OF PROJECTED REVENUE FROM EACH OF THE 18 SOURCES SPECIFIED IN SUBSECTION (F) OF THIS SECTION FOR THE FISCAL YEAR FOR WHICH THE STATE BUDGET IS SUBMITTED; AND 19 20A DESCRIPTIVE LISTING OF PROJECTS REFLECTING PROJECTED (3) COSTS FOR THE FISCAL YEAR FOR WHICH THE STATE BUDGET IS SUBMITTED AND 2122ANY ESTIMATED FUTURE YEAR COSTS. (J) **EXPENDITURES FROM THE FUND MAY BE MADE ONLY:** 23(1) 24**(I)** IN ACCORDANCE WITH AN APPROPRIATION APPROVED BY THE GENERAL ASSEMBLY IN THE STATE BUDGET; OR 2526**(II)** SUBJECT TO PARAGRAPH (2) OF THIS SUBSECTION, THROUGH AN APPROVED STATE BUDGET AMENDMENT UNDER TITLE 7, SUBTITLE 2, 27PART II OF THIS ARTICLE. 28

1 (2) THE BUDGET COMMITTEES OF THE GENERAL ASSEMBLY SHALL 2 HAVE 30 DAYS TO REVIEW AND OFFER COMMENT TO THE GOVERNOR ON A STATE 3 BUDGET AMENDMENT IF THE AMENDMENT:

4 (I) WAS NOT REQUESTED AS PART OF THE STATE BUDGET 5 SUBMISSION; OR

6 (II) WOULD INCREASE THE PROJECT SCOPE BY MORE THAN 5% 7 OR THE PROJECT COST BY MORE THAN \$250,000.

8 (K) (1) THE FUND MAY BE USED FOR MANAGEMENT INTELLIGENCE 9 PROJECTS.

10(2)A UNIT OF STATE GOVERNMENT MAY SUBMIT A REQUEST TO THE11SECRETARY TO SUPPORT THE COST OF A MANAGEMENT INTELLIGENCE PROJECT.

12 (3) THE SECRETARY SHALL:

13(I) ESTABLISH PROCEDURES FOR THE SUBMISSION OF A14REQUEST FOR SUPPORT AND THE GRANTING OF MONEY FROM THE FUND UNDER15THIS SUBSECTION; AND

16 (II) WHEN DETERMINING WHETHER TO APPROVE A REQUEST 17 FOR PROJECT SUPPORT:

18 **1.** CONSIDER WHICH PROJECTS, IN THE SECRETARY'S 19 JUDGMENT, ARE BEST ABLE TO:

20 A. FORMAT ACCESS AND DISTRIBUTE DATA TO A WIDER 21 RANGE OF STATE EMPLOYEE USERS;

22 B. CREATE DATA PICTURES AND DASHBOARDS THAT 23 COMBINE AND INTEGRATE DATA FROM A VARIETY OF SOURCES;

24 C. INTEGRATE DATA IN LOGICAL FORMATS WITHOUT 25 HAVING TO MAKE PHYSICAL STORES OF DATA AVAILABLE TO END USERS;

26 D. MAKE DATA MORE ACCURATE, CONSISTENT, AND 27 USEFUL TO END USERS;

28 E. CREATE AND MANAGE A SINGLE VIEW OF DATA FOR A 29 BROADER SET OF USERS WITHIN A UNIT OF STATE GOVERNMENT;

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1 F. CREATE A FORMAL MANAGEMENT SYSTEM FOR $\mathbf{2}$ **GROUPS OF RELEVANT DATA;** G. 3 USE INTEGRATED TECHNOLOGY CAPABILITIES THAT 4 SUPPORT ALL ASPECTS OF MANAGEMENT INTELLIGENCE; AND H. $\mathbf{5}$ USE INTEGRATED TECHNOLOGY CAPABILITIES THAT 6 ENABLE ENTERPRISE LEVEL DATA ANALYTICS ACROSS SEVERAL UNITS OF STATE 7 **GOVERNMENT; AND** 8 2. GIVE PRIORITY TO PROJECTS THAT ADDRESS 9 MULTIPLE CRITERIA UNDER ITEM 1 OF THIS ITEM. ON OR BEFORE NOVEMBER 1 OF EACH YEAR, THE SECRETARY 10 (L) (1) 11 SHALL REPORT TO THE GOVERNOR, THE SECRETARY OF BUDGET AND MANAGEMENT, AND, IN ACCORDANCE WITH § 2-1246 OF THE STATE GOVERNMENT 12ARTICLE, TO THE BUDGET COMMITTEES OF THE GENERAL ASSEMBLY. 13(2) THE REPORT SHALL INCLUDE: 14 15**(I)** THE FINANCIAL STATUS OF THE FUND AND A SUMMARY OF 16 ITS OPERATIONS FOR THE PRECEDING FISCAL YEAR; 17**(II)** AN ACCOUNTING FOR THE PRECEDING FISCAL YEAR OF ALL MONEY FROM EACH OF THE REVENUE SOURCES SPECIFIED IN SUBSECTION (F) OF 18 THIS SECTION, INCLUDING ANY EXPENDITURES MADE FROM THE FUND; AND 19 20(III) FOR EACH MANAGEMENT INTELLIGENCE **PROJECT** RECEIVING MONEY FROM THE FUND IN THE PRECEDING FISCAL YEAR AND FOR 2122EACH PROJECT RECEIVING FUNDING FROM ANY SOURCE OTHER THAN THE FUND IN 23THE PRECEDING FISCAL YEAR: 241. THE STATUS OF THE PROJECT; 252. A COMPARISON OF ESTIMATED AND ACTUAL COSTS OF 26THE PROJECT; 273. ANY KNOWN OR ANTICIPATED CHANGES IN THE SCOPE 28OR COSTS OF THE PROJECT; 29**4**. AN EVALUATION OF WHETHER THE PROJECT IS USING **BEST PRACTICES; AND** 30

15.A SUMMARY OF ANY MONITORING AND OVERSIGHT OF2THE PROJECT FROM OUTSIDE THE AGENCY IN WHICH THE PROJECT IS BEING3DEVELOPED, INCLUDING A DESCRIPTION OF ANY PROBLEMS IDENTIFIED BY ANY4EXTERNAL REVIEW AND ANY CORRECTIVE ACTIONS TAKEN.

5 (M) ON OR BEFORE JANUARY 15 EACH YEAR, FOR EACH MANAGEMENT 6 INTELLIGENCE PROJECT CURRENTLY IN DEVELOPMENT, THE SECRETARY SHALL 7 PROVIDE A SUMMARY REPORT TO THE DEPARTMENT OF LEGISLATIVE SERVICES, IN 8 ACCORDANCE WITH § 2–1246 OF THE STATE GOVERNMENT ARTICLE, WITH THE 9 MOST RECENT PROJECT INFORMATION INCLUDING:

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(1) **PROJECT STATUS;**

11 (2) ANY SCHEDULE, COST, AND SCOPE CHANGES SINCE THE LAST 12 ANNUAL REPORT;

13(3)A RISK ASSESSMENT INCLUDING ANY PROBLEMS IDENTIFIED BY14ANY INTERNAL OR EXTERNAL REVIEW AND ANY CORRECTIVE ACTIONS TAKEN; AND

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(4) ANY CHANGE IN THE MONITORING OR OVERSIGHT STATUS.

16 6-226.

17 (a) (2) (i) Notwithstanding any other provision of law, and unless 18 inconsistent with a federal law, grant agreement, or other federal requirement or with the 19 terms of a gift or settlement agreement, net interest on all State money allocated by the 20 State Treasurer under this section to special funds or accounts, and otherwise entitled to 21 receive interest earnings, as accounted for by the Comptroller, shall accrue to the General 22 Fund of the State.

(ii) The provisions of subparagraph (i) of this paragraph do not applyto the following funds:

25 84. the Economic Development Marketing Fund; [and]

26 85. the Military Personnel and Veteran–Owned Small 27 Business No–Interest Loan Fund**; AND**

28 **86.** THE MANAGEMENT INTELLIGENCE INCENTIVE 29 FUND.

30 SECTION 3. AND BE IT FURTHER ENACTED, That this Act shall take effect 31 October 1, 2016.