R2

### **EMERGENCY BILL**

(7lr0013)

ENROLLED BILL

- Environment and Transportation/Finance -

#### Introduced by Chair, Environment and Transportation Committee (By Request -**Departmental – Transportation)**

Read and Examined by Proofreaders:

		Proofreader.
		Proofreader.
Sealed with the Great Seal and	presented to the Governor,	for his approval this
day of	at	M.
		Speaker.

#### CHAPTER \_\_\_\_\_

#### AN ACT concerning 1

#### $\mathbf{2}$ Washington Metropolitan Area Transit Authority – Washington Metrorail Safety 3 **Commission – Establishment and Compact**

FOR the purpose of establishing the Washington Metrorail Safety Commission; 4 establishing the Metrorail Safety Commission Interstate Compact; granting the  $\mathbf{5}$ 6 Commission safety, regulatory, and enforcement authority over the Washington 7 Metropolitan Area Transit Authority Rail System and the power to act as the State 8 safety oversight authority for WMATA; specifying the membership, powers, 9 organization, and duties of the Commission; making the Compact contingent on the 10 adoption of the Compact by certain other jurisdictions; making this Act an emergency measure; and generally relating to the Metrorail Safety Commission 11 12 Interstate Compact.

13 BY adding to

#### EXPLANATION: CAPITALS INDICATE MATTER ADDED TO EXISTING LAW.

[Brackets] indicate matter deleted from existing law.

Underlining indicates amendments to bill.

Strike out indicates matter stricken from the bill by amendment or deleted from the law by amendment.

Italics indicate opposite chamber/conference committee amendments.



2

$1 \\ 2 \\ 3 \\ 4$	Article – Transportation Section 10–208 Annotated Code of Maryland (2015 Replacement Volume and 2016 Supplement)
$5 \\ 6$	SECTION 1. BE IT ENACTED BY THE GENERAL ASSEMBLY OF MARYLAND, That the Laws of Maryland read as follows:
7	Article – Transportation
8	10-208.
9	PREAMBLE

10 WHEREAS, THE WASHINGTON METROPOLITAN AREA TRANSIT AUTHORITY, 11 A PARTNERSHIP BETWEEN AN INTERSTATE COMPACT AGENCY OF THE DISTRICT OF 12 COLUMBIA, THE COMMONWEALTH OF VIRGINIA, AND THE STATE OF MARYLAND, 13 PROVIDES TRANSPORTATION SERVICES TO MILLIONS OF PEOPLE EACH YEAR AND, 14 THE SAFETY OF HTS SYSTEM WHOM IS PARAMOUNT; AND

15 WHEREAS, AN EFFECTIVE AND SAFE WASHINGTON METROPOLITAN AREA
 16 TRANSIT AUTHORITY SYSTEM IS ESSENTIAL TO THE COMMERCE AND PROSPERITY
 17 OF THE NATIONAL CAPITAL REGION; AND

18 WHEREAS, THE TRI-STATE OVERSIGHT COMMITTEE, CREATED BY A 19 MEMORANDUM OF UNDERSTANDING AMONGST THESE THREE JURISDICTIONS, HAS 20 PROVIDED SAFETY OVERSIGHT OF THE WASHINGTON METROPOLITAN AREA 21 TRANSIT AUTHORITY; AND

WHEREAS, An AMENDMENT TO 49 U.S.C. § 5329 REQUIRES THE CREATION
OF A LEGALLY AND FINANCIALLY INDEPENDENT STATE AUTHORITY FOR SAFETY
OVERSIGHT OF ALL FIXED RAIL TRANSIT FACILITIES; AND

25 WHEREAS, THE DISTRICT OF COLUMBIA, THE COMMONWEALTH OF 26 VIRGINIA, AND THE STATE OF MARYLAND <del>WANT</del> <u>INTEND</u> TO CREATE A 27 WASHINGTON METRORAIL SAFETY COMMISSION TO ACT AS THE STATE SAFETY 28 OVERSIGHT AUTHORITY FOR THE WASHINGTON METROPOLITAN AREA TRANSIT 29 AUTHORITY SYSTEM UNDER 49 U.S.C. § 5329; AND

30 WHEREAS, THIS ACT COMPACT IS CREATED FOR THE BENEFIT OF THE 31 PEOPLE OF THE DISTRICT OF COLUMBIA, THE COMMONWEALTH OF VIRGINIA, AND 32 THE STATE OF MARYLAND AND FOR THE INCREASE OF THEIR SAFETY, COMMERCE, 33 AND PROSPERITY;

NOW, THEREFORE, THE STATE OF MARYLAND, THE COMMONWEALTH OF 1  $\mathbf{2}$ VIRGINIA, AND THE DISTRICT OF COLUMBIA, HEREAFTER REFERRED TO AS THE 3 SIGNATORIES, COVENANT AND AGREE AS FOLLOWS: **ARTICLE I.** 4 5**DEFINITIONS** 6 <del>1</del> AS USED IN THIS TITLE, THE FOLLOWING WORDS AND TERMS SHALL 7 HAVE THE MEANINGS SET FORTH BELOW, UNLESS THE CONTEXT CLEARLY REQUIRES A DIFFERENT MEANING. CAPITALIZED TERMS USED HEREIN, BUT NOT 8 9 OTHERWISE DEFINED IN THIS ACT, SHALL HAVE THE DEFINITIONS SET FORTH IN REGULATIONS ISSUED UNDER 49 U.S.C. 5329. AS THEY MAY BE REVISED FROM TIME 10 11 TO TIME. 12<del>(A)</del> **"Alternate Member" means an alternate member of the** 13 BOARD. 14 <del>(B)</del> "BOARD" MEANS THE BOARD OF DIRECTORS OF THE **COMMISSION.** 15"COMMISSION" MEANS THE WASHINGTON METRORAL SAFETY 16 <del>(C)</del> 17 COMMISSION. 18 (⊕) "Member" means a member of the Board. 19 "MSC Compact" means the Metrorall Safety Commission <del>(E)</del> 20**INTERSTATE COMPACT CREATED BY THIS ACT.** 21**"PUBLIC TRANSPORTATION AGENCY SAFETY PLAN" MEANS THE** <del>(F)</del> 22**COMPREHENSIVE AGENCY SAFETY PLAN FOR A RAIL TRANSIT AGENCY REQUIRED** UNDER 49 U.S.C. 5329. 23 24<del>(G)</del> **"PUBLIC TRANSPORTATION SAFETY CERTIFICATION TRAINING** PROGRAM" MEANS THE FEDERAL CERTIFICATION TRAINING PROGRAM FOR 25FEDERAL AND STATE EMPLOYEES. OR OTHER DESIGNATED PERSONNEL. WHO 2627CONDUCT SAFETY AUDITS AND EXAMINATIONS OF PUBLIC TRANSPORTATION 28SYSTEMS, AND EMPLOYEES OF PUBLIC TRANSPORTATION AGENCIES DIRECTLY 29RESPONSIBLE FOR SAFETY OVERSIGHT AS ESTABLISHED AND AMENDED FROM TIME 30 AND TIME BY APPLICABLE FEDERAL LAWS AND REGULATIONS.

 31
 (H)
 "Safety Sensitive Position" means any position held by a

 32
 WMATA EMPLOYEE OR CONTRACTOR DESIGNATED IN THE PUBLIC

 33
 TRANSPORTATION AGENCY SAFETY PLAN FOR THE WMATA Rail System as

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$\frac{1}{2}$	<del>DIRECTLY AFFECTING THE SAFETY OF THE PASSENGERS OR EMPLOYEES OF THE</del> WMATA RAIL SYSTEM.
$\frac{3}{4}$	(1) "Signatory" means the State of Maryland, the Commonwealth of Virginia, and the District of Columbia.
5 6 7	(J) "State", "State", "Jurisdiction", and "jurisdiction" include the District of Columbia, the State of Maryland, and the Commonwealth of Virginia.
$8 \\ 9 \\ 10 \\ 11$	(K) "WASHINGTON METROPOLITAN AREA TRANSIT AUTHORITY" OR "WMATA" IS THE ENTITY CREATED BY THE WMATA Compact, which is responsible for providing certain rail fixed guideway public transportation system services.
$\frac{12}{13}$	(L) "WMATA Compact" means the Washington Metropolitan Area Transit Authority Compact (Public Law 89-774; 80 Stat. 1324).
$14\\15\\16\\17$	(M) (1) "WMATA RAIL SYSTEM" MEANS THE RAIL FIXED GUIDEWAY PUBLIC TRANSPORTATION SYSTEM AND ALL OTHER REAL AND PERSONAL PROPERTY OWNED, LEASED, OPERATED, OR OTHERWISE USED BY WMATA RAIL SERVICES.
$18 \\ 19 \\ 20 \\ 21$	(2) "WMATA RAIL System" includes the Metrorail capital projects under design or construction by owners other than WMATA, including the Dulles Corridor Metrorail Project managed by the Metropolitan Washington Airports Authority.
22	ARTICLE II.
23	PURPOSE AND FUNCTIONS
24 25 26 27 28 29	2. THE SIGNATORIES TO THE WMATA COMPACT HEREBY ADOPT THIS MSC COMPACT PURSUANT TO 49 U.S.C. 5329. THE COMMISSION CREATED UNDER THIS SECTION SHALL HAVE SAFETY, REGULATORY, AND ENFORCEMENT AUTHORITY OVER THE WMATA RAIL SYSTEM AND SHALL ACT AS THE STATE SAFETY OVERSIGHT AUTHORITY FOR WMATA. WMATA SHALL BE SUBJECT TO THE COMMISSION'S RULES, REGULATIONS, ACTIONS, AND ORDERS.
30 31 32 33 34	3. THE PURPOSE OF THIS MSC COMPACT IS TO CREATE A STATE SAFETY OVERSIGHT AUTHORITY FOR THE WMATA RAIL SYSTEM, PURSUANT TO THE MANDATE OF FEDERAL LAW, AS A COMMON AGENCY OF EACH SIGNATORY, EMPOWERED IN THE MANNER HEREINAFTER SET FORTH TO REVIEW, APPROVE, OVERSEE, AND ENFORCE THE SAFETY OF THE WMATA RAIL SYSTEM, INCLUDING,

1	WITHOUT LIMITATION, TO: (I) HAVE SAFETY OVERSIGHT RESPONSIBILITY OVER THE
2	WMATA RAIL SYSTEM; (II) DEVELOP AND ADOPT A WRITTEN STATE SAFETY
3	OVERSIGHT PROGRAM STANDARD; (III) REVIEW AND APPROVE THE WMATA
4	PUBLIC TRANSPORTATION AGENCY SAFETY PLAN; (IV) INVESTIGATE HAZARDS,
<b>5</b>	INCIDENTS, AND ACCIDENTS ON THE WMATA RAIL SYSTEM; (V) REVIEW, APPROVE,
6	AND ENFORCE CORRECTIVE ACTION PLANS; AND (VI) MEET OTHER REQUIREMENTS
7	OF FEDERAL AND STATE LAW RELATING TO SAFETY OVERSIGHT OF THE WMATA
8	RAIL SYSTEM.
0	
9	ARTICLE III.
10	ESTABLISHMENT AND ORGANIZATION
11	A. WASHINGTON METRORAIL SAFETY COMMISSION.
12	4. There is hereby created the Washington Metrorail Safety
13	COMMISSION, AN INSTRUMENTALITY OF EACH SIGNATORY, WHICH SHALL BE A
14	PUBLIC BODY CORPORATE AND POLITIC, AND WHICH SHALL HAVE THE POWERS AND
15	DUTIES SET FORTH IN THIS MSC COMPACT AND SUCH ADDITIONAL POWERS AND
16	DUTIES AS MAY BE CONFERRED UPON IT PURSUANT TO LAW.
17	5. THE COMMISSION SHALL BE FINANCIALLY AND LEGALLY INDEPENDENT
18	FROM WMATA.
19	B. BOARD OF DIRECTORS.
20	6. The Commission shall be governed by a Board of six members
21	APPOINTED AS FOLLOWS:
22	(A) Two members shall be appointed by the Governor of
23	VIRGINIA;
24	(b) Two members shall be appointed by the Governor of
25	MARYLAND; AND
26	(c) Two members shall be appointed by the Mayor of the
27	DISTRICT OF COLUMBIA.
28	7. THE GOVERNOR OF VIRGINIA, GOVERNOR OF MARYLAND, AND MAYOR
29	OF THE DISTRICT OF COLUMBIA SHALL EACH ALSO APPOINT ONE ALTERNATE
30	MEMBER.
31	8. An alternate member shall participate and take action as a
32	MEMBER ONLY IN THE ABSENCE OF ONE OR BOTH MEMBERS FROM THE SAME

JURISDICTION AS THE ALTERNATE MEMBER'S APPOINTING JURISDICTION AND, IN 1 SUCH INSTANCES, SHALL CAST A SINGLE VOTE. 2 3 9 MEMBERS AND ALTERNATE MEMBERS SHALL HAVE BACKGROUNDS IN 4 TRANSPORTATION. TRANSIT. SAFETY. PUBLIC FINANCE. OR ENGINEERING.  $\mathbf{5}$ <del>10.</del> No member or alternate member shall simultaneously hold 6 OTHER ELECTIVE OR APPOINTIVE PUBLIC OFFICE. 7 EACH MEMBER AND ALTERNATE MEMBER SHALL SERVE A FOUR-YEAR 11 8 TERM; EXCEPT THAT, EACH SIGNATORY SHALL MAKE ITS INITIAL APPOINTMENTS AS 9 FOLLOWS: **ONE MEMBER SHALL BE APPOINTED FOR A FOUR-YEAR TERM;** 10 <del>(A)</del> 11 <del>(B)</del> **ONE MEMBER SHALL BE APPOINTED FOR A TWO-YEAR TERM: AND** 12THE ALTERNATE MEMBER SHALL BE APPOINTED FOR A <del>(C)</del> 13 THREE-YEAR TERM.  $\frac{12}{12}$ 14 ANY PERSON APPOINTED TO FILL A VACANCY SHALL SERVE FOR THE 15UNEXPIRED TERM. 16  $\frac{13}{13}$ MEMBERS AND ALTERNATE MEMBERS SHALL BE ENTITLED TO 17 REIMBURSEMENT FOR REASONABLE AND NECESSARY EXPENSES AND SHALL BE 18 COMPENSATED FOR EACH DAY SPENT ON THE BUSINESS OF THE COMMISSION AT A PER DIEM RATE OF \$200 PER DAY, OR AS MAY BE ADJUSTED BY APPROPRIATIONS 19 20APPROVED BY ALL OF THE SIGNATORIES. 2114. <u>A member or an alternate member may be removed or</u> 22 SUSPENDED FROM OFFICE ONLY FOR CAUSE IN ACCORDANCE WITH THE LAWS OF THE MEMBER'S OR ALTERNATE MEMBER'S APPOINTING JURISDICTION. 23 24<del>C.</del> **QUORUM AND ACTIONS OF THE BOARD.** 25<del>15.</del> FOUR MEMBERS SHALL CONSTITUTE A QUORUM. AND THE AFFIRMATIVE 26**VOTE OF FOUR MEMBERS IS REQUIRED FOR ACTION OF THE BOARD. QUORUM AND** 27**VOTING REQUIREMENTS UNDER THIS SECTION MAY BE MET WITH ONE OR MORE** ALTERNATE MEMBERS PURSUANT TO § 8 OF THIS ARTICLE III. 282916. COMMISSION ACTION SHALL BECOME EFFECTIVE UPON ENACTMENT UNLESS OTHERWISE PROVIDED FOR BY THE COMMISSION. 30

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31 D. OATH OF OFFICE.

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117.BEFORE ENTERING OFFICE, EACH MEMBER AND ALTERNATE MEMBER2SHALL TAKE AND SUBSCRIBE TO THE FOLLOWING OATH (OR AFFIRMATION) OF3OFFICE OR ANY SUCH OTHER OATH OR AFFIRMATION AS THE CONSTITUTION OR4LAWS OF THE SIGNATORY THE MEMBER REPRESENTS SHALL PROVIDE:

5 "I,\_\_\_\_\_, HEREBY SOLEMNLY SWEAR (OR AFFIRM) THAT I WILL SUPPORT AND
6 DEFEND THE CONSTITUTION AND THE LAWS OF THE UNITED STATES AS A MEMBER
7 (OR ALTERNATE MEMBER) OF THE BOARD OF THE WASHINGTON METRORALL
8 SAFETY COMMISSION AND WILL FAITHFULLY DISCHARGE THE DUTIES OF THE
9 OFFICE UPON WHICH I AM ABOUT TO ENTER."

10 E. ORGANIZATION AND PROCEDURE.

1118. The Board Shall provide for its own organization and12PROCEDURE. MEETINGS OF THE BOARD SHALL BE HELD AS FREQUENTLY AS THE13BOARD DETERMINES. THE BOARD SHALL KEEP MINUTES OF ITS MEETINGS AND14ADOPT RULES AND REGULATIONS GOVERNING ITS TRANSACTIONS AND INTERNAL15AFFAIRS, INCLUDING POLICIES REGARDING RECORDS RETENTION THAT ARE NOT IN16CONFLICT WITH APPLICABLE FEDERAL LAW.

17**19.** The Commission shall keep commercially reasonable records18OF ITS FINANCIAL TRANSACTIONS.

19**20.** The Commission shall establish an office for the conduct of20ITS AFFAIRS AT A LOCATION TO BE DETERMINED BY THE COMMISSION.

MEETINGS OF THE BOARD SHALL BE OPEN TO THE PUBLIC UNLESS 21 <u>21</u>. 22**CLOSED PURSUANT TO ADOPTED BOARD POLICY. AND COMMISSION DOCUMENTS** 23SHALL BE AVAILABLE TO THE PUBLIC UNLESS DEEMED CONFIDENTIAL PURSUANT TO ADOPTED COMMISSION POLICY. THE COMMISSION SHALL ADOPT OPEN MEETING 2425AND FREEDOM OF INFORMATION POLICIES BASED ON THE PRINCIPLES OF 26 TRANSPARENCY AND PUBLIC ACCESS CONTAINED IN THE FEDERAL FREEDOM OF INFORMATION ACT, 5 U.S.C. 552(A)-(C), AND, THEREFORE, SHALL NOT BE SUBJECT 2728TO THE SOMETIMES CONFLICTING OPEN MEETING AND FREEDOM OF INFORMATION 29LAWS OF ANY INDIVIDUAL SIGNATORY.

30 22. REPORTS OF INVESTIGATIONS OR INQUIRIES ADOPTED BY THE BOARD
 31 SHALL BE MADE PUBLICLY AVAILABLE. THE COMMISSION SHALL DEVELOP
 32 APPROPRIATE POLICIES TO PROTECT THE INTEGRITY OF ITS INVESTIGATIONS AND
 33 THE SECURITY OF THE WMATA RAIL SYSTEM. THE COMMISSION'S POLICY SHALL
 34 ENSURE THAT THE MAYOR OF THE DISTRICT OF COLUMBIA AND THE GOVERNORS
 35 OF MARYLAND AND VIRGINIA AND THEIR DESIGNEES HAVE PROMPT AND FULL
 36 ACCESS TO ANY AND ALL RECORDS HELD BY THE COMMISSION. INVESTIGATIVE

1REPORTS PREPARED PURSUANT TO 49 C.F.R. 674 MAY NOT BE ADMITTED AS2EVIDENCE OR USED IN A CIVIL ACTION FOR DAMAGES RESULTING FROM A MATTER3MENTIONED IN THE REPORT.

23. THE COMMISSION SHALL ADOPT A POLICY ON CONFLICT OF INTEREST,
WHICH SHALL BE CONSISTENT WITH THE REGULATIONS ISSUED UNDER 49 U.S.C.
5329, AS THEY MAY BE REVISED FROM TIME TO TIME, WHICH, AMONG OTHER
THINGS, PLACES APPROPRIATE SEPARATION BETWEEN MEMBERS, OFFICERS,
EMPLOYEES, CONTRACTORS, AND AGENTS OF THE COMMISSION AND WMATA.

9 24. THE COMMISSION SHALL ADOPT AND UTILIZE ITS OWN 10 ADMINISTRATIVE PROCEDURE AND PROCUREMENT POLICIES IN CONFORMANCE 11 WITH APPLICABLE FEDERAL REGULATIONS AND SHALL NOT BE SUBJECT TO THE 12 ADMINISTRATIVE PROCEDURE OR PROCUREMENT LAWS OF ANY SIGNATORY.

13 F. OFFICERS AND EMPLOYEES.

14 25. THE BOARD SHALL ELECT A CHAIRMAN AND A VICE CHAIRMAN FROM
 15 AMONG ITS MEMBERS FOR A TWO-YEAR TERM AND SHALL ELECT, OR APPOINT FROM
 16 COMMISSION STAFF, A SECRETARY AND TREASURER, AND PRESCRIBE THEIR
 17 POWERS AND DUTIES.

1826.The Board Shall appoint and fix the compensation and19BENEFITS OF A CHIEF EXECUTIVE OFFICER WHO SHALL BE THE CHIEF20Administrative officer of the Commission and who shall have a21BACKGROUND IN TRANSPORTATION SAFETY AND ONE OR MORE INDUSTRY22RECOGNIZED TRANSPORTATION SAFETY CERTIFICATIONS.

23 27. CONSISTENT WITH 49 U.S.C. 5329, AS AMENDED, THE COMMISSION
 24 MAY EMPLOY, UNDER THE DIRECTION OF THE CHIEF EXECUTIVE OFFICER, SUCH
 25 OTHER TECHNICAL, LEGAL, CLERICAL, AND OTHER EMPLOYEES ON A REGULAR,
 26 PART-TIME, OR AS-NEEDED BASIS AS IN ITS JUDGMENT MAY BE NECESSARY OR
 27 DESIRABLE FOR THE DISCHARGE OF ITS DUTIES.

2828.The Commission shall not be bound by any statute or29Regulation of any signatory in the employment or discharge of any30Officer or employee of the Commission.

31 29. THE BOARD MAY FIX AND PROVIDE POLICIES FOR THE
 32 QUALIFICATIONS, APPOINTMENT, REMOVAL, TERM, TENURE, COMPENSATION,
 33 PENSION, AND RETIREMENT RIGHTS OF ITS EMPLOYEES AND MAY ESTABLISH, IN ITS
 34 DISCRETION, A PERSONNEL SYSTEM BASED ON MERIT AND FITNESS AND, SUBJECT
 35 TO ELIGIBILITY, PARTICIPATE IN THE PENSION AND RETIREMENT PLANS OF ANY
 36 SIGNATORY, OR POLITICAL SUBDIVISION OR AGENCY THEREOF.

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1	ARTICLE IV.
2	Powers
3	A. SAFETY OVERSIGHT POWERS.
4	30. In carrying out its purposes, the Commission, through its
<b>5</b>	BOARD OR DESIGNATED EMPLOYEES OR AGENTS, SHALL, CONSISTENT WITH 49
6	U.S.C. 5329, AS AMENDED, AND ANY FEDERAL REGULATIONS PROMULGATED
7	THEREUNDER:
8	(A) Adopt, revise, and distribute a written State Safety
9	OVERSIGHT PROGRAM;
10	(B) REVIEW, APPROVE, OVERSEE, AND ENFORCE THE ADOPTION AND
11	IMPLEMENTATION OF THE WMATA PUBLIC TRANSPORTATION AGENCY SAFETY
12	<del>PLAN;</del>
13	(C) <b>Require, review, approve, oversee, and enforce the</b>
14	ADOPTION AND IMPLEMENTATION OF ANY CORRECTIVE ACTION PLANS THE
15	Commission deems appropriate;
16	(D) IMPLEMENT AND ENFORCE RELEVANT FEDERAL AND STATE LAWS
17	AND REGULATIONS RELATING TO SAFETY OF THE WMATA RAIL SYSTEM; AND
18	(E) AUDIT EVERY THREE YEARS THE COMPLIANCE OF WMATA WITH
19	THE PUBLIC TRANSPORTATION AGENCY SAFETY PLAN OR CONDUCT SUCH AN
20	AUDIT ON AN ONGOING BASIS OVER A THREE-YEAR TIME FRAME.
21	31. IN PERFORMING ITS DUTIES, THE COMMISSION, THROUGH ITS BOARD
22	OR DESIGNATED EMPLOYEES OR AGENTS, MAY:
23	(A) CONDUCT, OR CAUSE TO BE CONDUCTED, INSPECTIONS,
24	INVESTIGATIONS, EXAMINATIONS, AND TESTING OF THE PROPERTY, EQUIPMENT,
25	FACILITIES, ROLLING STOCK, AND OPERATIONS OF THE WMATA RAIL SYSTEM,
26	INCLUDING ELECTRONIC INFORMATION AND DATABASES;
27	(b) Enter upon the WMATA Rail System and, upon
28	REASONABLE NOTICE AND A FINDING BY THE CHIEF EXECUTIVE OFFICER THAT A
29	NEED EXISTS, UPON ANY LANDS, WATERS, AND PREMISES ADJACENT TO THE
30	WMATA RAIL SYSTEM FOR THE PURPOSE OF CONDUCTING INSPECTIONS,
31	INVESTIGATIONS, EXAMINATIONS, AND TESTING AS THE COMMISSION MAY DEEM
32	NECESSARY TO CARRY OUT THE PURPOSES OF THIS MSC COMPACT, AND THE ENTRY

1	SHALL NOT BE DEEMED A TRESPASS. THE COMMISSION SHALL MAKE REASONABLE
2	REIMBURSEMENT FOR ANY ACTUAL DAMAGE RESULTING TO THE ADJACENT LANDS,
3	WATERS, AND PREMISES AS A RESULT OF SUCH ACTIVITIES;
0	
4	(C) COMPEL COMPLIANCE BY WMATA with any Corrective
<b>5</b>	ACTION PLAN OR ORDER OF THE COMMISSION BY MEANS THE COMMISSION DEEMS
6	APPROPRIATE, INCLUDING:
7	(1) Issuing subpoenas;
8	(2) TAKING LEGAL ACTION IN A COURT OF COMPETENT
9	JURISDICTION;
10	(3) ISSUING CITATIONS OR FINES;
11	(4) DIRECTING WMATA TO PRIORITIZE SPENDING ON
12	<del>SAFETY-CRITICAL ITEMS;</del>
13	(5) <b>Removing a specific vehicle, infrastructure</b>
14	ELEMENT, OR HAZARD FROM THE WMATA RAIL SYSTEM; AND
15	(6) <b>RESTRICTING, SUSPENDING, OR PROHIBITING RAIL</b>
16	SERVICE, WITH APPROPRIATE NOTICE, ON ALL OR PART OF THE WMATA RAIL
17	<del>System;</del>
10	
18	(d) Direct WMATA to suspend or disqualify from performing in a Safety Sensitive Position an individual who has
19 20	
$\begin{array}{c} 20\\ 21 \end{array}$	VIOLATED SAFETY RULES, REGULATIONS, POLICIES, OR LAWS IN A MANNER THAT THE COMMISSION DETERMINES MAKES THAT INDIVIDUAL UNFIT FOR THE
22	PERFORMANCE IN THE POSITION; AND
23	(E) TAKE ANY OTHER ACTIONS THAT THE COMMISSION DEEMS
$\frac{23}{24}$	APPROPRIATE, CONSISTENT WITH ITS PURPOSES AND POWERS.
44	<del>MIROLMALE, CONSISTENT WITHING FORFORE AND FOWERS.</del>
25	32. The Commission shall coordinate its enforcement activities
$\frac{20}{26}$	WITH APPROPRIATE FEDERAL AND STATE GOVERNMENTAL AUTHORITIES.
20	WITH MIT NOT WITH TEDERMENTED STATE GOVERNMENTAL ACTIONITIES.
27	B. GENERAL POWERS.
28	33. In addition to the powers and duties set forth above, the
<b>-</b> 0 29	Commission may:
_0	
30	(A) SUE AND BE SUED;

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1(B)Adopt, Amend, And Repeal Rules and Regulations2Respecting the exercise of the powers conferred by this MSC Compact;

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(C) CREATE AND ABOLISH OFFICES, EMPLOYMENTS, AND POSITIONS (OTHER THAN THOSE SPECIFICALLY PROVIDED FOR IN THIS MSC COMPACT) AS IT DEEMS NECESSARY OR DESIRABLE FOR THE PURPOSES OF THE COMMISSION;

6 (D) DETERMINE A STAFFING LEVEL FOR THE COMMISSION THAT IS 7 COMMENSURATE WITH THE SIZE AND COMPLEXITY OF THE WMATA RAIL SYSTEM, 8 AND REQUIRE THAT EMPLOYEES AND OTHER DESIGNATED PERSONNEL OF THE 9 COMMISSION, WHO ARE RESPONSIBLE FOR SAFETY OVERSIGHT, BE QUALIFIED TO 10 PERFORM SUCH FUNCTIONS THROUGH APPROPRIATE TRAINING, INCLUDING 11 SUCCESSFUL COMPLETION OF THE PUBLIC TRANSPORTATION SAFETY 12 CERTIFICATION TRAINING PROGRAM:

(E) CONTRACT FOR OR EMPLOY CONSULTING ATTORNEYS,
 14 INSPECTORS, ENGINEERS, AND OTHER EXPERTS THAT ARE DEEMED NECESSARY OR
 15 DESIRABLE AND WITHIN THE LIMITATIONS PRESCRIBED IN THIS MSC COMPACT,
 16 PRESCRIBE THEIR POWERS AND DUTIES. AND FIX THEIR COMPENSATION:

17 (F) ENTER INTO AND PERFORM CONTRACTS, LEASES, AND
 18 AGREEMENTS AS MAY BE NECESSARY OR DESIRABLE FOR THE PERFORMANCE OF ITS
 19 DUTIES AND IN THE EXECUTION OF THE POWERS GRANTED UNDER THIS MSC
 20 COMPACT;

21 (G) APPLY FOR, RECEIVE, AND ACCEPT PAYMENTS,
 22 APPROPRIATIONS, GRANTS, GIFTS, LOANS, ADVANCES, AND OTHER FUNDS,
 23 PROPERTIES, AND SERVICES THAT ARE TRANSFERRED OR MADE AVAILABLE TO IT
 24 BY THE UNITED STATES GOVERNMENT OR ANY OTHER PUBLIC OR PRIVATE ENTITY
 25 OR INDIVIDUAL, SUBJECT TO THE LIMITATIONS SPECIFIED IN § 42 OF ARTICLE V;

26

(H) ADOPT AN OFFICIAL SEAL AND ALTER THE SEAL AT ITS PLEASURE;

## 27(I)Adopt and amend bylaws, policies, and procedures28GOVERNING THEREGULATION OF ITS AFFAIRS;

29 (J) APPOINT ONE OR MORE ADVISORY COMMITTEES; AND

30 (K) DO ALL ACTS AND THINGS NECESSARY OR DESIRABLE TO THE
 31 PERFORMANCE OF ITS DUTIES AND THE EXECUTION OF ITS POWERS UNDER THIS
 32 MSC COMPACT.

34. CONSISTENT WITH § 24 OF ARTICLE III OF THIS MSC COMPACT, THE

2	<b>COMMISSION SHALL PROMULGATE RULES AND REGULATIONS TO CARRY OUT THE</b>
3	<del>purposes of this MSC Compact.</del>
4	ARTICLE V.
5	GENERAL PROVISIONS
6	A. ANNUAL SAFETY REPORT.
7	35. The Commission shall make and publish annually a status
8	REPORT ON THE SAFETY OF THE WMATA RAIL SYSTEM. A COPY OF EACH REPORT
9	SHALL BE PROVIDED TO THE ADMINISTRATOR OF THE FEDERAL TRANSIT
10	Administration, the Governor of Virginia, the Governor of Maryland,
11	THE MAYOR OF THE DISTRICT OF COLUMBIA, AND THE GENERAL MANAGER AND
12	EACH MEMBER OF THE BOARD OF DIRECTORS OF WMATA.
13	36. The Commission may prepare, publish, and distribute any
14	OTHER SAFETY REPORTS THAT IT DEEMS NECESSARY OR DESIRABLE.
15	B. ANNUAL PROGRAMS, OPERATIONS, AND FINANCES REPORT AND OTHER
16	REPORTS.
17	<b>37.</b> The Commission shall make and publish an annual report on
18	ITS PROGRAMS, OPERATIONS, AND FINANCES, WHICH SHALL BE DISTRIBUTED IN
19	THE SAME MANNER PROVIDED BY § 35 OF THIS ARTICLE V FOR THE ANNUAL SAFETY
20	REPORT.
21	<b>38.</b> The Commission may also prepare, publish, and distribute any
22	OTHER PUBLIC REPORTS AND INFORMATIONAL MATERIALS THAT IT DEEMS
23	NECESSARY OR DESIRABLE.
24	C. ANNUAL AUDIT.
25	<b>39.</b> An independent annual audit shall be made of the financial
26	ACCOUNTS OF THE COMMISSION. THE AUDIT SHALL BE MADE BY QUALIFIED
27	CERTIFIED PUBLIC ACCOUNTANTS SELECTED BY THE BOARD, WHO SHALL HAVE NO
28	PERSONAL INTEREST, DIRECT OR INDIRECT, IN THE FINANCIAL AFFAIRS OF THE
29	COMMISSION OR ANY OF ITS OFFICERS OR EMPLOYEES. THE REPORT OF AUDIT
30	SHALL BE PREPARED IN ACCORDANCE WITH GENERALLY ACCEPTED AUDITING
31	PRINCIPLES AND SHALL BE DISTRIBUTED IN THE SAME MANNER PROVIDED BY § 35
32	OF THIS ARTICLE V FOR THE ANNUAL SAFETY REPORT. MEMBERS, EMPLOYEES,
33	AGENTS, AND CONTRACTORS OF THE COMMISSION SHALL PROVIDE ACCESS TO

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1 INFORMATION NECESSARY OR DESIRABLE FOR THE CONDUCT OF THE ANNUAL 2 AUDIT.

3 D. Funding.

4 **40. COMMISSION OPERATIONS SHALL BE FUNDED, INDEPENDENTLY OF** 5 **WMATA, BY THE SIGNATORY JURISDICTIONS AND, WHEN AVAILABLE, BY FEDERAL** 6 **FUNDS.** 

7 41. THE SIGNATORY JURISDICTIONS SHALL UNANIMOUSLY AGREE ON
 8 ADEQUATE FUNDING LEVELS FOR THE COMMISSION AND MAKE EQUAL FUNDING
 9 CONTRIBUTIONS, SUBJECT TO ANNUAL APPROPRIATION, TO COVER THE PORTION
 10 OF COMMISSION OPERATIONS NOT FUNDED BY FEDERAL FUNDS.

42 11 THE COMMISSION MAY BORROW IN ANTICIPATION OF RECEIPTS, FROM 12 ANY LAWFUL LENDING INSTITUTION FOR ANY PURPOSE OF THIS COMPACT. INCLUDING ADMINISTRATIVE EXPENSES. THE LOANS SHALL BE FOR A TERM NOT TO 13 14 EXCEED TWO YEARS, OR A LONGER TERM APPROVED BY EACH JURISDICTION 15 PURSUANT TO THEIR LAWS AS EVIDENCED BY THE WRITTEN AUTHORIZATION OF THE MAYOR OF THE DISTRICT OF COLUMBIA AND THE GOVERNORS OF MARYLAND AND 16 17 VIRGINIA AND AT THE RATES OF INTEREST THAT ARE ACCEPTABLE TO THE COMMISSION. 18

WITH RESPECT TO THE DISTRICT OF COLUMBIA, THE COMMITMENT OR 19 <u>43</u> 20 OBLIGATION TO RENDER FINANCIAL ASSISTANCE TO THE COMMISSION SHALL BE CREATED, FOR EACH FISCAL PERIOD, BY APPROPRIATION OR IN SUCH OTHER 2122 MANNER, OR BY SUCH OTHER LEGISLATION, AS THE DISTRICT OF COLUMBIA SHALL DETERMINE, PROVIDED THAT ANY COMMITMENT MUST BE APPROVED BY THE 23 FEDERAL GOVERNMENT PURSUANT TO SECTION 603 OF THE DISTRICT OF 24COLUMBIA HOME RULE ACT. APPROVED DECEMBER 24, 1973, 87 STAT. 790, PUB. 2526 L. 93-198, D.C. OFFICIAL CODE § 1-206.03 (2012 REPL.).

2744. PURSUANT TO THE PROVISIONS OF (I) THE FEDERAL ANTI-DEFICIENCY ACT, 31 U.S.C. §§ 1341, 1342, 1349-1351, AND 1511-1519 (2008) (THE "FEDERAL 28ADA"), AND D.C. OFFICIAL CODE §§ 1-206.03(E) AND 47-105; (II) THE DISTRICT 29 OF COLUMBIA ANTI-DEFICIENCY ACT, D.C. OFFICIAL CODE §§ 47-355.01 - 355.08 30 (THE "D.C. ADA" AND (I) AND (II) COLLECTIVELY, AS AMENDED FROM TIME TO 31 TIME, THE "ANTI-DEFICIENCY ACTS"); AND (III) SECTION 446 OF THE DISTRICT OF 32 COLUMBIA HOME RULE ACT. D.C. OFFICIAL CODE § 1-204.46. THE DISTRICT OF 33 34 COLUMBIA CANNOT OBLIGATE ITSELF TO ANY FINANCIAL COMMITMENT IN ANY 35 PRESENT OR FUTURE YEAR UNLESS THE NECESSARY FUNDS TO PAY THAT COMMITMENT HAVE BEEN APPROPRIATED BY THE CONGRESS OF THE UNITED 36 STATES (THE "CONGRESS") AND ARE LAWFULLY AVAILABLE FOR THE PURPOSE 37 **COMMITTED. THUS. PURSUANT TO THE ANTI-DEFICIENCY ACTS. NOTHING IN THIS** 38

MSC COMPACT CREATES AN OBLIGATION OF THE DISTRICT OF COLUMBIA IN
 ANTICIPATION OF AN APPROPRIATION BY CONGRESS FOR SUCH PURPOSE, AND THE
 DISTRICT OF COLUMBIA'S LEGAL LIABILITY FOR THE PAYMENT OF ANY AMOUNT
 UNDER THIS MSC COMPACT DOES NOT AND MAY NOT ARISE OR OBTAIN IN ADVANCE
 OF THE LAWFUL AVAILABILITY OF APPROPRIATED FUNDS FOR THE APPLICABLE
 FISCAL YEAR AS APPROVED BY CONGRESS.

7 IF, PRIOR TO SUCH COMMITMENT BEING MADE BY OR ON BEHALF OF THE
 8 DISTRICT OF COLUMBIA, LEGISLATION IS ENACTED BY THE CONGRESS GRANTING
 9 THE GOVERNING BODY OF THE DISTRICT OF COLUMBIA PLENARY POWER TO
 10 CREATE OBLIGATIONS, THE COMMITMENT BY THE DISTRICT OF COLUMBIA SHALL
 11 BE BY CONTRACT OR AGREEMENT BETWEEN THE GOVERNING BODY OF THE
 12 DISTRICT OF COLUMBIA AND THE COMMISSION TO UNDERTAKE ITS ROLE IN SAFETY
 13 OVERSIGHT.

14 E. EXERCISE OF POWERS.

THE EXERCISE OF THE POWERS GRANTED BY THIS MSC COMPACT 15 45 SHALL IN ALL RESPECTS BE FOR THE BENEFIT OF THE PEOPLE OF THE DISTRICT OF 16 COLUMBIA. COMMONWEALTH OF VIRGINIA. AND STATE OF MARYLAND AND FOR 17 18 THE INCREASE OF THEIR SAFETY, COMMERCE, AND PROSPERITY, AND AS THE ACTIVITIES ASSOCIATED WITH THIS MSC COMPACT SHALL CONSTITUTE THE 19 PERFORMANCE OF ESSENTIAL GOVERNMENTAL FUNCTIONS, THE COMMISSION 20SHALL NOT BE REQUIRED TO PAY ANY TAXES OR ASSESSMENTS UPON THE SERVICES 21OR ANY PROPERTY ACOUIRED OR USED BY THE COMMISSION UNDER THE 22 PROVISIONS OF THIS MSC COMPACT OR UPON THE INCOME THEREFROM, AND 23 24SHALL AT ALL TIMES BE FREE FROM TAXATION WITHIN THE DISTRICT OF 25COLUMBIA, COMMONWEALTH OF VIRGINIA, AND STATE OF MARYLAND.

26 F. WMATA RIGHT TO PETITION COMMISSION.

27 46. WMATA SHALL HAVE THE RIGHT TO PETITION THE COMMISSION FOR
 28 RECONSIDERATION OF AN ORDER BASED ON RULES AND PROCEDURES DEVELOPED
 29 BY THE COMMISSION.

30 47. CONSISTENT WITH § 16 OF ARTICLE III, THE FILING OF A PETITION FOR
 31 RECONSIDERATION SHALL NOT ACT AS A STAY UPON THE EXECUTION OF A
 32 COMMISSION ORDER, OR ANY PART OF IT, UNLESS THE COMMISSION ORDERS
 33 OTHERWISE.

34 G. COURTS OF JURISDICTION.

35 48. THE UNITED STATES DISTRICT COURTS FOR THE EASTERN DISTRICT 36 OF VIRGINIA, ALEXANDRIA DIVISION, UNITED STATES DISTRICT COURTS FOR MARYLAND, SOUTHERN DIVISION, AND UNITED STATES DISTRICT COURTS FOR
 THE DISTRICT OF COLUMBIA SHALL HAVE EXCLUSIVE AND ORIGINAL JURISDICTION
 OVER ALL ACTIONS BROUGHT BY OR AGAINST THE COMMISSION AND TO ENFORCE
 SUBPOENAS UNDER THIS MSC COMPACT.

5 **49.** The commencement of a judicial proceeding shall not 6 Operate as a stay of a Commission order unless specifically ordered by 7 The court.

8 H. LIABILITY OF COMMISSION AND ITS MEMBERS, OFFICERS, AGENTS, 9 Employees, or Representatives.

THE COMMISSION AND ITS MEMBERS. ALTERNATE MEMBERS. 10 <del>50.</del> 11 **OFFICERS, AGENTS, EMPLOYEES, OR REPRESENTATIVES SHALL NOT BE LIABLE FOR** 12 SUIT OR ACTION OR FOR ANY JUDGMENT OR DECREE FOR DAMAGES. LOSS. OR 13 INJURY RESULTING FROM ACTION TAKEN WITHIN THE SCOPE OF THEIR EMPLOYMENT OR DUTIES UNDER THIS MSC COMPACT, NOR REQUIRED IN ANY CASE 14 ARISING OR ANY APPEAL TAKEN UNDER THIS MSC COMPACT TO GIVE A 15 SUPERSEDEAS BOND OR SECURITY FOR DAMAGES. NOTHING IN THIS PARAGRAPH 16 17 SHALL BE CONSTRUED TO PROTECT A PERSON FROM SUIT OR LIABILITY FOR 18 DAMAGE, LOSS, INJURY, OR LIABILITY CAUSED BY THE INTENTIONAL OR WILLFUL AND WANTON MISCONDUCT OF THE PERSON. 19

20 51 THE COMMISSION SHALL BE LIABLE FOR ITS CONTRACTS AND FOR ITS 21TORTS AND THOSE OF ITS MEMBERS, ALTERNATE MEMBERS, OFFICERS, AGENTS, 22EMPLOYEES. AND REPRESENTATIVES COMMITTED IN THE CONDUCT OF ANY 23PROPRIETARY FUNCTION, IN ACCORDANCE WITH THE LAW OF THE APPLICABLE 24SIGNATORY (INCLUDING, WITHOUT LIMITATION, RULES ON CONFLICT OF LAWS) BUT 25SHALL NOT BE LIABLE FOR ANY TORTS OCCURRING IN THE PERFORMANCE OF A 26 **GOVERNMENTAL FUNCTION. THE EXCLUSIVE REMEDY FOR A BREACH OF CONTRACT** 27OR TORT FOR WHICH THE COMMISSION SHALL BE LIABLE, AS HEREIN PROVIDED, SHALL BE BY SUIT AGAINST THE COMMISSION. NOTHING CONTAINED IN THIS MSC 2829**COMPACT SHALL BE CONSTRUED AS A WAIVER BY THE DISTRICT OF COLUMBIA.** 30 MARYLAND, OR VIRGINIA OF ANY IMMUNITY FROM SUIT.

31 I. PLEDGE OF FAITHFUL COOPERATION BY SIGNATORIES.

3252.EACH OF THE SIGNATORIES PLEDGES TO EACH OTHER FAITHFUL33COOPERATION IN PROVIDING SAFETY OVERSIGHT FOR THE WMATA RAIL SYSTEM,34AND, TO EFFECT SUCH PURPOSES, AGREES TO CONSIDER IN GOOD FAITH AND35REQUEST ANY NECESSARY LEGISLATION TO ACHIEVE THE OBJECTIVES OF THIS36MSC COMPACT.

53. AMENDMENTS AND SUPPLEMENTS TO THIS MSC COMPACT SHALL BE 1 2 ADOPTED BY LEGISLATIVE ACTION OF EACH OF THE SIGNATORIES AND THE CONSENT OF CONGRESS. WHEN ONE SIGNATORY ADOPTS AN AMENDMENT OR 3 4 SUPPLEMENT TO AN EXISTING SECTION OF THIS MSC COMPACT. THAT AMENDMENT OR SUPPLEMENT SHALL NOT BE IMMEDIATELY EFFECTIVE, AND THE PREVIOUSLY 5 6 ENACTED PROVISION OR PROVISIONS SHALL REMAIN IN EFFECT IN EACH 7 JURISDICTION UNTIL THE AMENDMENT OR SUPPLEMENT IS APPROVED BY THE 8 OTHER SIGNATORIES AND IS CONSENTED TO BY CONGRESS.

9 K. WITHDRAWAL FROM COMPACT BY SIGNATORY.

10 54. Any signatory may withdraw from this MSC Compact, which 11 Action shall constitute a termination of this MSC Compact.

12 <del>55.</del> WITHDRAWAL FROM THIS MSC COMPACT SHALL BE BY THE 13 ENACTMENT OF LEGISLATION REPEALING THE STATUTORY AUTHORITY FOR THIS 14 MSC COMPACT, BUT THE REPEAL MAY NOT TAKE EFFECT UNTIL ONE YEAR AFTER THE EFFECTIVE DATE OF THE LEGISLATION AND UNTIL WRITTEN NOTICE OF THE 15 16 WITHDRAWAL HAS BEEN GIVEN BY THE WITHDRAWING STATE TO THE EXECUTIVE OF FACH OTHER MEMBER JURISDICTION. IN THE EVENT OF A WITHDRAWAL OF ONE OF 17 THE SIGNATORIES FROM THE WMATA COMPACT. THIS MSC COMPACT SHALL BE 18 TERMINATED AS OF THE SAME DATE. 19

20 **56. Prior to termination of this MSC Compact, the Commission** 21 **Shall provide each signatory:** 

22 (A) A MECHANISM FOR CONCLUDING THE OPERATIONS OF THE 23 COMMISSION;

24 (B) A PROPOSAL TO MAINTAIN STATE SAFETY OVERSIGHT OF THE 25 WMATA RAIL System; AND

26(C)A PLAN TO RETURN SURPLUS FUNDS THAT MAY EXIST UPON THE27TERMINATION OF THIS MSC COMPACT AFTER THE PAYMENT OF, AND THE28RESERVATION OF FUNDS FOR PAYMENT OF, ALL OF ITS DEBTS AND OBLIGATIONS.

29 L. LIBERAL CONSTRUCTION.

3057.THISMSCCOMPACTSHALLBELIBERALLYCONSTRUEDTO31EFFECTUATE THE PURPOSES FOR WHICH IT IS CREATED.

32 58. IF ANY PART OR PROVISION OF THIS MSC COMPACT OR ITS 33 APPLICATION TO ANY PERSON OR CIRCUMSTANCES IS ADJUDGED INVALID BY ANY

16

1 **COURT OF COMPETENT JURISDICTION, THE JUDGMENT SHALL BE CONFINED IN ITS**  $\mathbf{2}$ **OPERATION TO THE PART, PROVISION, OR APPLICATION DIRECTLY INVOLVED IN** 3 THE CONTROVERSY IN WHICH THE JUDGMENT SHALL HAVE BEEN RENDERED AND 4 SHALL NOT AFFECT OR IMPAIR THE VALIDITY OF THE REMAINDER OF THIS MSC COMPACT OR ITS APPLICATION TO OTHER PERSONS OR CIRCUMSTANCES. AND THE 5 6 SIGNATORIES HEREBY DECLARE THAT THEY WOULD HAVE ENTERED INTO THIS MSC 7 COMPACT OR THE REMAINDER OF THE COMPACT HAD THE INVALIDITY OF THE 8 PROVISION OR ITS APPLICATION BEEN APPARENT. <del>M.</del> 9 MANNER OF ADOPTION OF COMPACT. 10 <u>59</u> THIS MSC COMPACT SHALL BE ADOPTED BY THE SIGNATORIES IN THE 11 MANNER PROVIDED BY LAW THEREFOR AND SHALL BE SIGNED AND SEALED IN FOUR DUPLICATE ORIGINAL COPIES. ONE COPY SHALL BE FILED WITH THE SECRETARY 12 OF STATE OF THE STATE OF MARYLAND. ONE COPY WITH THE SECRETARY OF THE 13

DISTRICT OF COLUMBIA IN ACCORDANCE WITH THE LAWS OF EACH JURISDICTION.
 ONE COPY SHALL BE FILED AND RETAINED IN THE ARCHIVES OF THE COMMISSION
 UPON ITS ORGANIZATION. THIS MSC COMPACT SHALL BECOME EFFECTIVE UPON
 THE ENACTMENT OF CONCURRING LEGISLATION BY THE DISTRICT OF COLUMBIA.

COMMONWEALTH OF VIRGINIA, AND ONE COPY WITH THE SECRETARY OF THE

- MARYLAND, AND VIRGINIA, AND CONSENT BY THE CONGRESS OF THE UNITED
   STATES AND WHEN ALL OTHER ACTS OR ACTIONS HAVE BEEN TAKEN, INCLUDING,
   WITHOUT LIMITATION, THE SIGNING AND EXECUTION OF THIS MSC COMPACT BY
- 22 THE GOVERNORS OF MARYLAND AND VIRGINIA AND THE MAYOR OF THE DISTRICT
- 23 OF COLUMBIA.

14

24 N. CONFLICT OF LAWS.

25 **60.** Any conflict between any authority granted herein, or the 26 Exercise of the authority, and the provisions of the WMATA Compact 27 Shall be resolved in favor of the exercise of the authority by the 28 Commission.

<u>As used in this MSC Compact, the following words and terms</u>
 <u>SHALL HAVE THE MEANINGS SET FORTH BELOW, UNLESS THE CONTEXT CLEARLY</u>
 <u>REQUIRES A DIFFERENT MEANING. CAPITALIZED TERMS USED HEREIN, BUT NOT</u>
 <u>OTHERWISE DEFINED IN THIS ACT, SHALL HAVE THE DEFINITION SET FORTH IN</u>
 <u>REGULATIONS ISSUED UNDER 49 U.S.C. § 5329, AS THEY MAY BE REVISED FROM</u>
 <u>TIME TO TIME.</u>

 35
 (A)
 "Alternate Member" means an alternate member of the

 36
 Board.

1       (B) "BOARD" MEANS THE BOARD OF DIRECTORS OF THE         2       COMMISSION.         3       (C) "COMMISSION" MEANS THE WASHINGTON METRORAIL SAFETY         4       COMMISSION.         5       (D) "MEMBER" MEANS A MEMBER OF THE BOARD.         6       (E) "MSC COMPACT" MEANS THIS WASHINGTON METRORAIL         7       SAFETY COMMISSION INTERSTATE COMPACT CREATED BY THIS ACT.         8       (F) "PUBLIC TRANSPORTATION AGENCY SAFETY PLAN" MEANS THE         9       COMPREHENSIVE AGENCY SAFETY PLAN FOR A RAIL TRANSIT AGENCY REQUIRED BY         40       U.S.C. \$ 5329 AND THE REGULATIONS ISSUED THREEUNDER, AS MAY BE         11       AMENDED OR REVISED FROM TIME TO TIME.         12       (G) "PUBLIC TRANSPORTATION SAFETY CERTIFICATION TRAINING         13       AND REGULATIONS, FOR FEDERAL AND STATE EMPLOYEES, OR OTHER DESIGNATED         14       DEGRAM" MEANS THE FEDERAL AND STATE EMPLOYEES. OF OTHER DESIGNATED         15       PERSONNEL, WHO CONDUCT SAFETY PLAN FOR THE WAATA RAIL SYSTEM AND         16       PERSONNEL, WHO CONDUCT SAFETY PLAN FOR THE WMATA RAIL SYSTEM AND         17       TRANSPORTATION AGENCY SAFETY PLAN FOR THE WMATA RAIL SYSTEM AND         18       AGENCIES DIRECTLY RESPONSIBLE FOR SAFETY OVERSIGHT.         19       (H) "SAFETY SENSITIVE POSITION" MEANS ANY POSITION HELD BY A         19       (H) "SAFETY		18 HOUSE BILL 119
4       COMMISSION.         5       (D) "MEMBER" MEANS A MEMBER OF THE BOARD.         6       (E) "MSC COMPACT" MEANS THIS WASHINGTON METRORALL         7       SAFETY COMMISSION INTERSTATE COMPACT CREATED BY THIS ACT.         8       (F) "PUBLIC TRANSPORTATION AGENCY SAFETY PLAN" MEANS THE         9       COMPREHENSIVE AGENCY SAFETY PLAN FOR A RAIL TRANSIT AGENCY REQUIRED BY         49       U.S.C. § 5329 AND THE REGULATIONS ISSUED THEREUNDER, AS MAY BE         11       AMENDED OR REVISED FROM TIME TO TIME.         12       (G) "PUBLIC TRANSPORTATION SAFETY CERTIFICATION TRAINING         13       PROGRAM" MEANS THE FEDERAL CERTIFICATION TRAINING PROGRAM, AS         14       SESTABLISHED AND AMENDED FROM TIME TO TIME BY APPLICABLE FEDERAL LAWS         15       AND REGULATIONS, FOR FEDERAL AND STATE EMPLOYEES, OR OTHER DESIGNATED         16       PERSONNEL, WHO CONDUCT SAFETY AUDITS AND EXAMINATIONS OF PUBLIC         17       TRANSPORTATION SYSTEMS, AND EMPLOYEES OF PUBLIC TRANSPORTATION         16       MATA EMPLOYEE OR CONTRACTOR DESIGNATED IN THE PUBLIC         17       TRANSPORTATION AGENCY SAFETY PLAN FOR THE WMATA RAIL SYSTEM AND         14       "SAFETY OF THE PASSENGERS OR EMPLOYEES OF THE WMATA RAIL SYSTEM AND         15       AMENDED DISTRICT OR DESIGNATED IN THE PUBLIC         16       TRANSPORTATION AGENCY SAFETY PLAN FOR THE WMATA RAIL SYSTEM		
6       (E) "MSC COMPACT" MEANS THIS WASHINGTON METRORAIL         7       SAFETY COMMISSION INTERSTATE COMPACT CREATED BY THIS ACT.         8       (F) "PUBLIC TRANSPORTATION AGENCY SAFETY PLAN" MEANS THE         9       COMPREHENSIVE AGENCY SAFETY PLAN FOR A RAIL TRANSIT AGENCY REQUIRED BY         10       49 U.S.C. § 5329 AND THE REGULATIONS ISSUED THEREUNDER, AS MAY BE         11       AMENDED OR REVISED FROM TIME TO TIME.         12       (G) "PUBLIC TRANSPORTATION SAFETY CERTIFICATION TRAINING PROGRAM, AS         13       PROGRAM" MEANS THE FEDERAL CERTIFICATION TRAINING PROGRAM, AS         14       ESTABLISHED AND AMENDED FROM TIME TO TIME BY APPLICABLE FEDERAL LAWS         15       AND REGULATIONS, FOR FEDERAL AND STATE EMPLOYEES, OR OTHER DESIGNATED         16       PERSONNEL, WHO CONDUCT SAFETY AUDITS AND EXAMINATIONS OF PUBLIC         17       TRANSPORTATION SYSTEMS, AND EMPLOYEES OF PUBLIC TRANSPORTATION         18       AGENCIES DIRECTLY RESPONSIBLE FOR SAFETY OVERSIGHT.         19       (H) "SAFETY SENSITIVE POSITION" MEANS ANY POSITION HELD BY A         19       (H) "SAFETY PLAN FOR THE WMATA RAIL SYSTEM AND         20       WMATA EMPLOYEE OR CONTRACTOR DESIGNATED IN THE PUBLIC         21       TRANSPORTATION AGENCY SAFETY PLAN FOR THE WMATA RAIL SYSTEM.         22       (I) "SIGNATORY" MEANS THE STATE OF MARVLAND, THE         23       (I)		
7       SAFETY COMMISSION INTERSTATE COMPACT CREATED BY THIS ACT.         8       (F) "PUBLIC TRANSPORTATION AGENCY SAFETY PLAN" MEANS THE         9       COMPREHENSIVE AGENCY SAFETY PLAN FOR A RAIL TRANSIT AGENCY REQUIRED BY         49       U.S.C. § 5329 AND THE REGULATIONS ISSUED THEREUNDER, AS MAY BE         11       AMENDED OR REVISED FROM TIME TO TIME.         12       (G) "PUBLIC TRANSPORTATION SAFETY CERTIFICATION TRAINING         13       PROGRAM" MEANS THE FEDERAL CERTIFICATION TRAINING PROGRAM, AS         14       ESTABLISHED AND AMENDED FROM TIME TO TIME BY APPLICABLE FEDERAL LAWS         15       AND REGULATIONS, FOR FEDERAL AND STATE EMPLOYEES, OR OTHER DESIGNATED         16       PERSONNEL, WHO CONDUCT SAFETY AUDITS AND EXAMINATIONS OF PUBLIC         17       TRANSPORTATION SYSTEMS, AND EMPLOYEES OF PUBLIC TRANSPORTATION         18       AGENCIES DIRECTLY RESPONSIBLE FOR SAFETY OVERSIGHT.         19       (H) "SAFETY SENSITIVE POSITION" MEANS ANY POSITION HELD BY A         WMATA EMPLOYEE OR CONTRACTOR DESIGNATED IN THE PUBLIC         17       TRANSPORTATION AGENCY SAFETY PLAN FOR THE WMATA RAIL SYSTEM AND         24       (I) "SIGNATORY" MEANS THE STATE OF MARYLAND, THE         25       APPROVED BY THE COMMISSION AS DIRECTLY OR INDIRECTLY AFFECTING THE         26       (J) "STATE", "STATE", OR "JURISDICTION" MEANS THE DISTRICT OF         27       (	5	(D) "MEMBER" MEANS A MEMBER OF THE BOARD.
<ul> <li>COMPREHENSIVE AGENCY SAFETY PLAN FOR A RAIL TRANSIT AGENCY REQUIRED BY</li> <li>49 U.S.C. § 5329 AND THE REGULATIONS ISSUED THEREUNDER, AS MAY BE</li> <li>AMENDED OR REVISED FROM TIME TO TIME.</li> <li>(G) "PUBLIC TRANSPORTATION SAFETY CERTIFICATION TRAINING</li> <li>PROGRAM" MEANS THE FEDERAL CERTIFICATION TRAINING PROGRAM, AS</li> <li>ESTABLISHED AND AMENDED FROM TIME TO TIME BY APPLICABLE FEDERAL LAWS</li> <li>AND REGULATIONS, FOR FEDERAL AND STATE EMPLOYEES, OR OTHER DESIGNATED</li> <li>PERSONNEL, WHO CONDUCT SAFETY AUDITS AND EXAMINATIONS OF PUBLIC</li> <li>TRANSPORTATION SYSTEMS, AND EMPLOYEES OF PUBLIC TRANSPORTATION</li> <li>AGENCIES DIRECTLY RESPONSIBLE FOR SAFETY OVERSIGHT.</li> <li>(H) "SAFETY SENSITIVE POSITION" MEANS ANY POSITION HELD BY A</li> <li>WMATA EMPLOYEE OR CONTRACTOR DESIGNATED IN THE PUBLIC</li> <li>TRANSPORTATION AGENCY SAFETY PLAN FOR THE WMATA RAIL SYSTEM AND</li> <li>APPROVED BY THE COMMISSION AS DIRECTLY OR INDIRECTLY AFFECTING THE</li> <li>SAFETY OF THE PASSENGERS OR EMPLOYEES OF THE WMATA RAIL SYSTEM.</li> <li>(I) "SIGNATORY" MEANS THE STATE OF MARYLAND, THE</li> <li>COMMONWEALTH OF VIRGINIA, AND THE DISTRICT OF COLUMBIA.</li> <li>(G) "STATE", "STATE", OR "JURISDICTION" MEANS THE DISTRICT OF</li> <li>COLUMBIA, THE STATE OF MARYLAND, OR THE COMMONWEALTH OF VIRGINIA.</li> <li>(K) "WASHINGTON METROPOLITAN ÁREA TRANSIT AUTHORITY" OR</li> <li>"WMATA" IS THE ENTITY CREATED BY THE WMATA COMPACT, WHICH ENTITY IS</li> <li>RESPONSIBLE FOR PROVIDING CERTAIN RAIL FIXED GUIDEWAY PUBLIC</li> <li>TRANSPORTATION SYSTEM SERVICES.</li> </ul>		
10       49 U.S.C. § 5329 AND THE REGULATIONS ISSUED THEREUNDER, AS MAY BE         11       AMENDED OR REVISED FROM TIME TO TIME.         12       (G) "PUBLIC TRANSPORTATION SAFETY CERTIFICATION TRAINING         13       PROGRAM" MEANS THE FEDERAL CERTIFICATION TRAINING PROGRAM, AS         14       ESTABLISHED AND AMENDED FROM TIME TO TIME BY APPLICABLE FEDERAL LAWS         14       AND REGULATIONS, FOR FEDERAL AND STATE EMPLOYEES, OR OTHER DESIGNATED         16       PERSONNEL, WHO CONDUCT SAFETY AUDITS AND EXAMINATIONS OF PUBLIC         17       TRANSPORTATION SYSTEMS, AND EMPLOYEES OF PUBLIC TRANSPORTATION         18       AGENCIES DIRECTLY RESPONSIBLE FOR SAFETY OVERSIGHT.         19       (H) "SAFETY SENSITIVE POSITION" MEANS ANY POSITION HELD BY A         VMMATA       EMPLOYEE OR CONTRACTOR DESIGNATED IN THE PUBLIC         19       (H) "SAFETY SENSITIVE POSITION" MEANS ANY POSITION HELD BY A         20       WMATA EMPLOYEE OR CONTRACTOR DESIGNATED IN THE PUBLIC         21       TRANSPORTATION AGENCY SAFETY PLAN FOR THE WMATA RAIL SYSTEM AND         22       PPROVED BY THE COMMISSION AS DIRECTLY OR INDIRECTLY AFFECTING THE         23       SAFETY OF THE PASSENGERS OR EMPLOYEES OF THE WMATA RAIL SYSTEM.         24       (I) "SIGNATORY" MEANS THE STATE OF MARYLAND, THE         25       COLUMBIA, THE STATE OF MARYLAND, OR THE COMMONWEALTH OF VIRGINIA.         2	8	(F) "PUBLIC TRANSPORTATION AGENCY SAFETY PLAN" MEANS THE
11       AMENDED OR REVISED FROM TIME TO TIME.         12       (G) "PUBLIC TRANSPORTATION SAFETY CERTIFICATION TRAINING         13       PROGRAM" MEANS THE FEDERAL CERTIFICATION TRAINING PROGRAM, AS         14       ESTABLISHED AND AMENDED FROM TIME TO TIME BY APPLICABLE FEDERAL LAWS         15       AND REGULATIONS, FOR FEDERAL AND STATE EMPLOYEES, OR OTHER DESIGNATED         16       PERSONNEL, WHO CONDUCT SAFETY AUDITS AND EXAMINATIONS OF PUBLIC         17       TRANSPORTATION SYSTEMS, AND EMPLOYEES OF PUBLIC TRANSPORTATION         18       AGENCIES DIRECTLY RESPONSIBLE FOR SAFETY OVERSIGHT.         19       (H) "SAFETY SENSITIVE POSITION" MEANS ANY POSITION HELD BY A         20       WMATA EMPLOYEE OR CONTRACTOR DESIGNATED IN THE PUBLIC         21       TRANSPORTATION AGENCY SAFETY PLAN FOR THE WMATA RAIL SYSTEM AND         22       APPROVED BY THE COMMISSION AS DIRECTLY OR INDIRECTLY AFFECTING THE         23       SAFETY OF THE PASSENGERS OR EMPLOYEES OF THE WMATA RAIL SYSTEM.         24       (I) "SIGNATORY" MEANS THE STATE OF MARYLAND, THE         25       COMMONWEALTH OF VIRGINIA, AND THE DISTRICT OF COLUMBIA.         26       (J) "STATE", "STATE", OR "JURISDICTION" MEANS THE DISTRICT OF         27       COLUMBIA, THE STATE OF MARYLAND, OR THE COMMONWEALTH OF VIRGINIA.         28       (K) "WASHINGTON METROPOLITAN AREA TRANSIT AUTHORITY" OR         29 <th>9</th> <th>COMPREHENSIVE AGENCY SAFETY PLAN FOR A RAIL TRANSIT AGENCY REQUIRED BY</th>	9	COMPREHENSIVE AGENCY SAFETY PLAN FOR A RAIL TRANSIT AGENCY REQUIRED BY
12       (G) "PUBLIC TRANSPORTATION SAFETY CERTIFICATION TRAINING         13       PROGRAM" MEANS THE FEDERAL CERTIFICATION TRAINING PROGRAM, AS         14       ESTABLISHED AND AMENDED FROM TIME TO TIME BY APPLICABLE FEDERAL LAWS         14       ESTABLISHED AND AMENDED FROM TIME TO TIME BY APPLICABLE FEDERAL LAWS         15       AND REGULATIONS, FOR FEDERAL AND STATE EMPLOYEES, OR OTHER DESIGNATED         16       PERSONNEL, WHO CONDUCT SAFETY AUDITS AND EXAMINATIONS OF PUBLIC         17       TRANSPORTATION SYSTEMS, AND EMPLOYEES OF PUBLIC TRANSPORTATION         18       AGENCIES DIRECTLY RESPONSIBLE FOR SAFETY OVERSIGHT.         19       (H) "SAFETY SENSITIVE POSITION" MEANS ANY POSITION HELD BY A         20       WMATA EMPLOYEE OR CONTRACTOR DESIGNATED IN THE PUBLIC         21       TRANSPORTATION AGENCY SAFETY PLAN FOR THE WMATA RAIL SYSTEM AND         22       APPROVED BY THE COMMISSION AS DIRECTLY OR INDIRECTLY AFFECTING THE         23       SAFETY OF THE PASSENGERS OR EMPLOYEES OF THE WMATA RAIL SYSTEM.         24       (I) "SIGNATORY" MEANS THE STATE OF MARYLAND, THE         25       COMMONWEALTH OF VIRGINIA, AND THE DISTRICT OF COLUMBIA.         26       (J) "STATE", "STATE", OR "JURISDICTION" MEANS THE DISTRICT OF         27       COLUMBIA, THE STATE OF MARYLAND, OR THE COMMONWEALTH OF VIRGINIA.         28       (K) "WASHINGTON METROPOLITAN AREA TRANSIT AUTHORITY" OR	10	49 U.S.C. § 5329 AND THE REGULATIONS ISSUED THEREUNDER, AS MAY BE
<ul> <li>PROGRAM" MEANS THE FEDERAL CERTIFICATION TRAINING PROGRAM, AS</li> <li>ESTABLISHED AND AMENDED FROM TIME TO TIME BY APPLICABLE FEDERAL LAWS</li> <li>AND REGULATIONS, FOR FEDERAL AND STATE EMPLOYEES, OR OTHER DESIGNATED</li> <li>PERSONNEL, WHO CONDUCT SAFETY AUDITS AND EXAMINATIONS OF PUBLIC</li> <li>TRANSPORTATION SYSTEMS, AND EMPLOYEES OF PUBLIC TRANSPORTATION</li> <li>AGENCIES DIRECTLY RESPONSIBLE FOR SAFETY OVERSIGHT.</li> <li>(H) "SAFETY SENSITIVE POSITION" MEANS ANY POSITION HELD BY A</li> <li>WMATA EMPLOYEE OR CONTRACTOR DESIGNATED IN THE PUBLIC</li> <li>TRANSPORTATION AGENCY SAFETY PLAN FOR THE WMATA RAIL SYSTEM AND</li> <li>APPROVED BY THE COMMISSION AS DIRECTLY OR INDIRECTLY AFFECTING THE</li> <li>SAFETY OF THE PASSENGERS OR EMPLOYEES OF THE WMATA RAIL SYSTEM.</li> <li>(I) "SIGNATORY" MEANS THE STATE OF MARYLAND, THE</li> <li>COMMONWEALTH OF VIRGINIA, AND THE DISTRICT OF COLUMBIA.</li> <li>(J) "STATE", "STATE", OR "JURISDICTION" MEANS THE DISTRICT OF</li> <li>COLUMBIA, THE STATE OF MARYLAND, OR THE COMMONWEALTH OF VIRGINIA.</li> <li>(K) "WASHINGTON METROPOLITAN AREA TRANSIT AUTHORITY" OR</li> <li>"WMATA" IS THE ENTITY CREATED BY THE WMATA COMPACT, WHICH ENTITY IS</li> <li>RESPONSIBLE FOR PROVIDING CERTAIN RAIL FIXED GUIDEWAY PUBLIC</li> <li>TRANSPORTATION SYSTEM SERVICES.</li> </ul>	11	AMENDED OR REVISED FROM TIME TO TIME.
<ul> <li>PROGRAM" MEANS THE FEDERAL CERTIFICATION TRAINING PROGRAM, AS</li> <li>ESTABLISHED AND AMENDED FROM TIME TO TIME BY APPLICABLE FEDERAL LAWS</li> <li>AND REGULATIONS, FOR FEDERAL AND STATE EMPLOYEES, OR OTHER DESIGNATED</li> <li>PERSONNEL, WHO CONDUCT SAFETY AUDITS AND EXAMINATIONS OF PUBLIC</li> <li>TRANSPORTATION SYSTEMS, AND EMPLOYEES OF PUBLIC TRANSPORTATION</li> <li>AGENCIES DIRECTLY RESPONSIBLE FOR SAFETY OVERSIGHT.</li> <li>(H) "SAFETY SENSITIVE POSITION" MEANS ANY POSITION HELD BY A</li> <li>WMATA EMPLOYEE OR CONTRACTOR DESIGNATED IN THE PUBLIC</li> <li>TRANSPORTATION AGENCY SAFETY PLAN FOR THE WMATA RAIL SYSTEM AND</li> <li>APPROVED BY THE COMMISSION AS DIRECTLY OR INDIRECTLY AFFECTING THE</li> <li>SAFETY OF THE PASSENGERS OR EMPLOYEES OF THE WMATA RAIL SYSTEM.</li> <li>(I) "SIGNATORY" MEANS THE STATE OF MARYLAND, THE</li> <li>COMMONWEALTH OF VIRGINIA, AND THE DISTRICT OF COLUMBIA.</li> <li>(J) "STATE", "STATE", OR "JURISDICTION" MEANS THE DISTRICT OF</li> <li>COLUMBIA, THE STATE OF MARYLAND, OR THE COMMONWEALTH OF VIRGINIA.</li> <li>(K) "WASHINGTON METROPOLITAN AREA TRANSIT AUTHORITY" OR</li> <li>"WMATA" IS THE ENTITY CREATED BY THE WMATA COMPACT, WHICH ENTITY IS</li> <li>RESPONSIBLE FOR PROVIDING CERTAIN RAIL FIXED GUIDEWAY PUBLIC</li> <li>TRANSPORTATION SYSTEM SERVICES.</li> </ul>	19	(α) "Βιίδι τα Τραναρορπατίον θατέταν θερπιειζατιού Τραινίνα
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28 <u>(K)</u> <u>"WASHINGTON METROPOLITAN AREA TRANSIT AUTHORITY" OR</u> 29 <u>"WMATA" IS THE ENTITY CREATED BY THE WMATA COMPACT, WHICH ENTITY IS</u> 30 <u>RESPONSIBLE FOR PROVIDING CERTAIN RAIL FIXED GUIDEWAY PUBLIC</u> 31 <u>TRANSPORTATION SYSTEM SERVICES.</u>	26	(J) "STATE", "STATE", OR "JURISDICTION" MEANS THE DISTRICT OF
29 <u>"WMATA" IS THE ENTITY CREATED BY THE WMATA COMPACT, WHICH ENTITY IS</u> 30 <u>RESPONSIBLE FOR PROVIDING CERTAIN RAIL FIXED GUIDEWAY PUBLIC</u> 31 <u>TRANSPORTATION SYSTEM SERVICES.</u>	27	<u>Columbia, the State of Maryland, or the Commonwealth of Virginia.</u>
29 <u>"WMATA" IS THE ENTITY CREATED BY THE WMATA COMPACT, WHICH ENTITY IS</u> 30 <u>RESPONSIBLE FOR PROVIDING CERTAIN RAIL FIXED GUIDEWAY PUBLIC</u> 31 <u>TRANSPORTATION SYSTEM SERVICES.</u>		
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31 TRANSPORTATION SYSTEM SERVICES.		
32 (L) "WMATA COMPACT" MEANS THE WASHINGTON METROPOLITAN	31	IRANSPURIATION SISTEM SERVICES.
	32	(L) "WMATA COMPACT" MEANS THE WASHINGTON METROPOLITAN
33 AREA TRANSIT AUTHORITY COMPACT, APPROVED NOVEMBER 6, 1966.		

1	(M) "WMATA RAIL SYSTEM" OR "METRORAIL" MEANS THE RAIL
$\frac{1}{2}$	FIXED GUIDEWAY PUBLIC TRANSPORTATION SYSTEM AND ALL OTHER REAL AND
$\frac{2}{3}$	PERSONAL PROPERTY OWNED, LEASED, OPERATED, OR OTHERWISE USED BY
4	WMATA RAIL SERVICES AND SHALL INCLUDE WMATA RAIL PROJECTS UNDER
т 5	DESIGN OR CONSTRUCTION BY OWNERS OTHER THAN WMATA.
0	DESIGN OR CONSTRUCTION BI OWNERS OTHER THAN WMATTA.
6	ARTICLE II.
$\overline{7}$	PURPOSE AND FUNCTIONS
8	2. The Signatories to the WMATA Compact hereby adopt this
9	MSC COMPACT PURSUANT TO 49 U.S.C. § 5329. THE COMMISSION CREATED
10	HEREUNDER SHALL HAVE SAFETY REGULATORY AND ENFORCEMENT AUTHORITY
11	OVER THE WMATA RAIL SYSTEM AND SHALL ACT AS THE STATE SAFETY
12	OVERSIGHT AUTHORITY FOR WMATA UNDER 49 U.S.C. § 5329, AS MAY BE
13	AMENDED FROM TIME TO TIME. WMATA SHALL BE SUBJECT TO THE COMMISSION'S
14	<b>RULES, REGULATIONS, ACTIONS, AND ORDERS.</b>
15	3. <u>The purpose of this MSC Compact is to create a state safety</u>
16	OVERSIGHT AUTHORITY FOR THE WMATA RAIL SYSTEM, PURSUANT TO THE
17	MANDATE OF FEDERAL LAW, AS A COMMON AGENCY OF EACH SIGNATORY,
18	EMPOWERED IN THE MANNER HEREINAFTER SET FORTH TO REVIEW, APPROVE,
19	OVERSEE, AND ENFORCE THE SAFETY OF THE WMATA RAIL SYSTEM, INCLUDING,
20	WITHOUT LIMITATION, TO:
01	(A) HAVE EXCLUSIVE SAFETY OVERSIGHT AUTHORITY AND
21 00	(A) HAVE EXCLUSIVE SAFETY OVERSIGHT AUTHORITY AND RESPONSIBILITY OVER THE WMATA RAIL SYSTEM PURSUANT TO FEDERAL LAW,
22 99	
23 24	INCLUDING, WITHOUT LIMITATION, THE POWER TO RESTRICT, SUSPEND, OR PROHIBIT RAIL SERVICE ON ALL OR PART OF THE WMATA RAIL SYSTEM AS SET
	FORTH IN THIS MSC COMPACT;
20	FORTH IN THIS MISC COMPACE,
26	(B) DEVELOP AND ADOPT A WRITTEN STATE SAFETY OVERSIGHT
$\frac{10}{27}$	PROGRAM STANDARD;
28	(C) <b>REVIEW AND APPROVE THE WMATA PUBLIC TRANSPORTATION</b>
29	AGENCY SAFETY PLAN;
30	(D) INVESTIGATE HAZARDS, INCIDENTS, AND ACCIDENTS HAZARDS,
31	INCIDENTS, AND ACCIDENTS ON THE WMATA RAIL SYSTEM;
32	(E) <u>Require, review, approve, oversee, and enforce</u>
33	CORRECTIVE ACTION PLANS DEVELOPED BY WMATA; AND

	20 HOUSE BILL 119
1	(F) MEET OTHER REQUIREMENTS OF FEDERAL AND STATE LAW
2	RELATING TO SAFETY OVERSIGHT OF THE WMATA RAIL SYSTEM.
3	ARTICLE III.
4	ESTABLISHMENT AND ORGANIZATION
5	A. WASHINGTON METRORAIL SAFETY COMMISSION.
6	4. <u>The Commission is hereby created as an instrumentality of</u>
7	EACH SIGNATORY, WHICH SHALL BE A PUBLIC BODY CORPORATE AND POLITIC, AND
8	WHICH SHALL HAVE THE POWERS AND DUTIES SET FORTH IN THIS MSC COMPACT.
9	5. <u>THE COMMISSION SHALL BE FINANCIALLY AND LEGALLY INDEPENDENT</u>
10	FROM WMATA.
11	<b>B. BOARD MEMBERSHIP.</b>
12	6. <u>The Commission shall be governed by a Board of 6 Members</u>
13	WITH 2 MEMBERS APPOINTED OR REAPPOINTED (INCLUDING TO FILL AN
14	UNEXPIRED TERM) BY EACH SIGNATORY PURSUANT TO THE SIGNATORY'S
15	APPLICABLE LAWS.
16	<b><u>7.</u></b> EACH SIGNATORY SHALL ALSO APPOINT OR REAPPOINT (INCLUDING TO
17	FILL AN UNEXPIRED TERM) ONE ALTERNATE MEMBER PURSUANT TO THE
18	SIGNATORY'S APPLICABLE LAWS.
19	8. AN ALTERNATE MEMBER SHALL PARTICIPATE AND TAKE ACTION AS A
20	MEMBER ONLY IN THE ABSENCE OF ONE OR BOTH MEMBERS APPOINTED FROM THE
21	SAME JURISDICTION AS THE ALTERNATE MEMBER'S APPOINTING JURISDICTION
22	AND, IN SUCH INSTANCES, MAY CAST A SINGLE VOTE.
23	9. Members and Alternate Members shall have backgrounds in
$\overline{24}$	TRANSIT SAFETY, TRANSPORTATION, RELEVANT ENGINEERING DISCIPLINES, OR
25	PUBLIC FINANCE.
26	10. NO MEMBER OR ALTERNATE MEMBER SHALL SIMULTANEOUSLY HOLD
$\frac{1}{27}$	AN ELECTED PUBLIC OFFICE, SERVE ON THE WMATA BOARD OF DIRECTORS, BE
28	EMPLOYED BY WMATA, OR BE A CONTRACTOR TO WMATA.
29	11. EACH MEMBER AND ALTERNATE MEMBER SHALL SERVE A 4-YEAR
$\frac{20}{30}$	TERM AND MAY BE REAPPOINTED FOR ADDITIONAL TERMS; EXCEPT THAT, EACH
31	SIGNATORY SHALL MAKE ITS INITIAL APPOINTMENTS AS FOLLOWS:

1	(A) ONE MEMBER SHALL BE APPOINTED FOR A 4-YEAR TERM;
2	(B) ONE MEMBER SHALL BE APPOINTED FOR A 2-YEAR TERM; AND
$\frac{3}{4}$	(C) <u>The Alternate Member shall be appointed for a 3-year</u> <u>term.</u>
$5 \\ 6$	<u>12.</u> <u>Any person appointed to fill a vacancy shall serve for the unexpired term.</u>
7 8 9 10 11	13. MEMBERS AND ALTERNATE MEMBERS SHALL BE ENTITLED TO REIMBURSEMENT FOR REASONABLE AND NECESSARY EXPENSES AND SHALL BE COMPENSATED FOR EACH DAY SPENT MEETING ON THE BUSINESS OF THE COMMISSION AT A RATE OF \$200 PER DAY OR AT SUCH OTHER RATE AS MAY BE ADJUSTED IN APPROPRIATIONS APPROVED BY ALL OF THE SIGNATORIES.
12 13 14	14. <u>A Member or an Alternate Member may be removed or</u> suspended from office only for cause in accordance with the laws of such Member's or Alternate Member's appointing jurisdiction.
15	C. QUORUM AND ACTIONS OF THE BOARD.
16 17 18 19 20	15. FOUR MEMBERS SHALL CONSTITUTE A QUORUM. THE AFFIRMATIVE VOTE OF 4 MEMBERS IS REQUIRED FOR ACTION OF THE BOARD, OTHER THAN AS PROVIDED IN SECTION 32. QUORUM AND VOTING REQUIREMENTS UNDER THIS PARAGRAPH MAY BE MET WITH ONE OR MORE ALTERNATE MEMBERS PURSUANT TO SECTION 8.
$\begin{array}{c} 21 \\ 22 \end{array}$	16. THE COMMISSION'S ACTION SHALL BECOME EFFECTIVE UPON ENACTMENT UNLESS OTHERWISE PROVIDED FOR BY THE COMMISSION.
23	D. OATH OF OFFICE.
24 25 26 27	17. BEFORE ENTERING OFFICE, EACH MEMBER AND ALTERNATE MEMBER SHALL TAKE AND SUBSCRIBE TO THE FOLLOWING OATH (OR AFFIRMATION) OF OFFICE OR ANY SUCH OTHER OATH OR AFFIRMATION AS THE CONSTITUTION OR LAWS OF THE SIGNATORY HE OR SHE REPRESENTS SHALL PROVIDE:
28 29 30 31 32	"I, , HEREBY SOLEMNLY SWEAR (OR AFFIRM) THAT I WILL SUPPORT AND DEFEND THE CONSTITUTION AND THE LAWS OF THE UNITED STATES AS A MEMBER (OR ALTERNATE MEMBER) OF THE BOARD OF THE WASHINGTON METRORAIL SAFETY COMMISSION AND WILL FAITHFULLY DISCHARGE THE DUTIES OF THE OFFICE UPON WHICH I AM ABOUT TO ENTER."

#### 1 E. ORGANIZATION AND PROCEDURE.

<u>18.</u> The Board shall provide for its own organization and
 procedure. Meetings of the Board shall be held as frequently as the
 Board determines, but in no event less than quarterly. The Board shall
 keep minutes of its meetings and establish rules and regulations
 Governing its transactions and internal affairs, including, without
 Limitation, policies regarding records retention that are not in
 CONFLICT WITH APPLICABLE FEDERAL RECORD RETENTION LAWS.

9 <u>19. THE COMMISSION SHALL KEEP COMMERCIALLY REASONABLE RECORDS</u>
 10 <u>OF ITS FINANCIAL TRANSACTIONS IN ACCORDANCE WITH ACCOUNTING PRINCIPLES</u>
 11 <u>GENERALLY ACCEPTED IN THE UNITED STATES OF AMERICA.</u>

 12
 20.
 THE COMMISSION SHALL ESTABLISH AN OFFICE FOR THE CONDUCT OF

 13
 ITS AFFAIRS AT A LOCATION TO BE DETERMINED BY THE COMMISSION.

14 <u>21. THE COMMISSION SHALL ADOPT THE FEDERAL FREEDOM OF</u> 15 INFORMATION ACT, CODIFIED AT 5 U.S.C. § 552(A)-(D) AND (G), AND GOVERNMENT 16 IN THE SUNSHINE ACT, CODIFIED AT 5 U.S.C. § 552B, AS BOTH MAY BE AMENDED 17 FROM TIME TO TIME, AS ITS FREEDOM-OF-INFORMATION POLICY AND 18 OPEN-MEETING POLICY, RESPECTIVELY, AND SHALL NOT BE SUBJECT TO THE 19 COMPARABLE LAWS OR POLICIES OF ANY SIGNATORY.

2022.REPORTS OF INVESTIGATIONS OR INQUIRIES ADOPTED BY THE BOARD21SHALL BE MADE PUBLICLY AVAILABLE.

22 23. THE COMMISSION SHALL ADOPT A POLICY ON CONFLICT OF INTEREST 23 THAT SHALL BE CONSISTENT WITH THE REGULATIONS ISSUED UNDER 49 U.S.C. § 24 5329, AS THEY MAY BE REVISED FROM TIME TO TIME, WHICH, AMONG OTHER 25 THINGS, PLACES APPROPRIATE SEPARATION BETWEEN MEMBERS, OFFICERS, 26 EMPLOYEES, CONTRACTORS, AND AGENTS OF THE COMMISSION AND WMATA.

2724.THECOMMISSIONSHALLADOPTANDUTILIZEITSOWN28ADMINISTRATIVEPROCEDUREANDPROCUREMENTPOLICIESINCONFORMANCE29WITH APPLICABLEFEDERALREGULATIONSANDSHALLNOTBESUBJECTTOTHE30ADMINISTRATIVEPROCEDUREORPROCUREMENTLAWS OF ANYSIGNATORY.

31 **<u>F.</u>** OFFICERS AND EMPLOYEES.

32 <u>25.</u> <u>THE BOARD SHALL ELECT A CHAIRMAN, VICE CHAIRMAN, SECRETARY,</u>
 33 <u>AND TREASURER FROM AMONG ITS MEMBERS, EACH FOR A 2-YEAR TERM AND</u>
 34 <u>SHALL PRESCRIBE THEIR POWERS AND DUTIES.</u>

26. THE BOARD SHALL APPOINT AND FIX THE COMPENSATION AND

2	BENEFITS OF A CHIEF EXECUTIVE OFFICER WHO SHALL BE THE CHIEF
3	ADMINISTRATIVE OFFICER OF THE COMMISSION AND WHO SHALL HAVE EXPERTISE
4	IN TRANSPORTATION SAFETY AND ONE OR MORE INDUSTRY-RECOGNIZED
<b>5</b>	TRANSPORTATION SAFETY CERTIFICATIONS.
6	27. CONSISTENT WITH 49 U.S.C. § 5329, AS MAY BE AMENDED FROM TIME
7	TO TIME, THE COMMISSION MAY EMPLOY, UNDER THE DIRECTION OF THE CHIEF
8	EXECUTIVE OFFICER, SUCH OTHER TECHNICAL, LEGAL, CLERICAL, AND OTHER
9	EMPLOYEES ON A REGULAR, PART-TIME, OR AS-NEEDED BASIS AS IT DETERMINES
10	NECESSARY OR DESIRABLE FOR THE DISCHARGE OF ITS DUTIES.
11	28. THE COMMISSION SHALL NOT BE BOUND BY ANY STATUTE OR
12	REGULATION OF ANY SIGNATORY IN THE EMPLOYMENT OR DISCHARGE OF ANY
13	OFFICER OR EMPLOYEE OF THE COMMISSION, BUT SHALL DEVELOP ITS OWN
14	POLICIES IN COMPLIANCE WITH FEDERAL LAW. THE MSC SHALL, HOWEVER,
15	CONSIDER THE LAWS OF THE SIGNATORIES IN DEVISING ITS EMPLOYMENT AND
16	DISCHARGE POLICIES, AND WHEN IT DEEMS IT PRACTICAL, DEVISE POLICIES
17	<u>CONSISTENT WITH THE LAWS OF THE SIGNATORIES.</u>
18	29. THE BOARD MAY FIX AND PROVIDE POLICIES FOR THE QUALIFICATION,
19	APPOINTMENT, REMOVAL, TERM, TENURE, COMPENSATION BENEFITS, WORKERS'
$\frac{10}{20}$	COMPENSATION, PENSION, AND RETIREMENT RIGHTS OF ITS EMPLOYEES SUBJECT
$\frac{20}{21}$	TO FEDERAL LAW. THE BOARD MAY ALSO ESTABLISH A PERSONNEL SYSTEM BASED
22	ON MERIT AND FITNESS AND, SUBJECT TO ELIGIBILITY, PARTICIPATE IN THE
23	PENSION, RETIREMENT, AND WORKERS' COMPENSATION PLANS OF ANY SIGNATORY
$\frac{1}{24}$	OR AGENCY OR POLITICAL SUBDIVISION THEREOF.
25	ARTICLE IV.
26	POWERS
27	A. SAFETY OVERSIGHT POWERS.
28	<u>30.</u> IN CARRYING OUT ITS PURPOSES, THE COMMISSION, THROUGH ITS
29	BOARD OR DESIGNATED EMPLOYEES OR AGENTS, SHALL, CONSISTENT WITH
30	FEDERAL LAW:
91	(A) ADOPT, REVISE, AND DISTRIBUTE A WRITTEN STATE SAFETY
$\frac{31}{32}$	(A) ADOPT, REVISE, AND DISTRIBUTE A WRITTEN STATE SAFETY OVERSIGHT PROGRAM;
34	<u>OVERSIGNTTROGRAM</u> ,
33	(B) <b>REVIEW, APPROVE, OVERSEE, AND ENFORCE THE ADOPTION AND</b>
34	IMPLEMENTATION OF WMATA'S PUBLIC TRANSPORTATION AGENCY SAFETY
35	PLAN;
00	

1(C)REQUIRE, REVIEW, APPROVE, OVERSEE, AND ENFORCE THE2ADOPTION AND IMPLEMENTATION OF ANY CORRECTIVE ACTION PLANS THAT THE3COMMISSION DEEMS APPROPRIATE;

4 (D) IMPLEMENT AND ENFORCE RELEVANT FEDERAL AND STATE LAWS 5 AND REGULATIONS RELATING TO SAFETY OF THE WMATA RAIL SYSTEM; AND

#### 6 (E) <u>AUDIT EVERY 3 YEARS THE COMPLIANCE OF WMATA WITH</u> 7 <u>WMATA'S PUBLIC TRANSPORTATION AGENCY SAFETY PLAN OR CONDUCT SUCH</u> 8 <u>AN AUDIT ON AN ONGOING BASIS OVER A 3-YEAR TIME FRAME.</u>

#### 9 <u>31.</u> IN PERFORMING ITS DUTIES, THE COMMISSION, THROUGH ITS BOARD 10 OR DESIGNATED EMPLOYEES OR AGENTS, MAY:

- 11 <u>(A) CONDUCT, OR CAUSE TO BE CONDUCTED, INSPECTIONS,</u> 12 INVESTIGATIONS, EXAMINATIONS, AND TESTING OF WMATA PERSONNEL AND 13 CONTRACTORS, PROPERTY, EQUIPMENT, FACILITIES, ROLLING STOCK, AND 14 OPERATIONS OF THE WMATA RAIL SYSTEM, INCLUDING, WITHOUT LIMITATION, 15 ELECTRONIC INFORMATION AND DATABASES THROUGH REASONABLE MEANS, 16 WHICH MAY INCLUDE ISSUANCE OF SUBPOENAS;
- 17ENTER UPON THE WMATA RAIL SYSTEM AND, UPON **(B)** 18 REASONABLE NOTICE AND A FINDING BY THE CHIEF EXECUTIVE OFFICER THAT A NEED EXISTS, UPON ANY LANDS, WATERS, AND PREMISES ADJACENT TO THE 19 20 WMATA RAIL SYSTEM, INCLUDING, WITHOUT LIMITATION, PROPERTY OWNED OR OCCUPIED BY THE FEDERAL GOVERNMENT, FOR THE PURPOSE OF MAKING 2122INSPECTIONS, INVESTIGATIONS, EXAMINATIONS, AND TESTING AS THE COMMISSION MAY DEEM NECESSARY TO CARRY OUT THE PURPOSES OF THIS MSC COMPACT, AND 23SUCH ENTRY SHALL NOT BE DEEMED A TRESPASS. THE COMMISSION SHALL MAKE 2425REASONABLE REIMBURSEMENT FOR ANY ACTUAL DAMAGE RESULTING TO ANY SUCH 26ADJACENT LANDS, WATERS, AND PREMISES AS A RESULT OF SUCH ACTIVITIES;
- 27(C)COMPELWMATA'SCOMPLIANCEWITHANYCORRECTIVE28ACTIONPLANOROROFTHECOMMISSIONBYSUCHMEANSASTHE29COMMISSIONDEEMSAPPROPRIATE, INCLUDING, WITHOUTLIMITATION, BY:
- 30(1)TAKING LEGAL ACTION IN A COURT OF COMPETENT31JURISDICTION;
- 32 (2) ISSUING CITATIONS OR FINES WITH FUNDS GOING INTO AN
   33 ESCROW ACCOUNT FOR SPENDING BY WMATA ON COMMISSION-DIRECTED SAFETY
   34 MEASURES;

1	(3) DIRECTING WMATA TO PRIORITIZE SPENDING ON
2	SAFETY-CRITICAL ITEMS;
3	(4) <u>Removing a specific vehicle, infrastructure</u>
4	ELEMENT, OR <del>HAZARD</del> HAZARD FROM THE WMATA RAIL SYSTEM; AND
5	(5) <u>COMPELLING WMATA TO RESTRICT, SUSPEND, OR</u>
6	PROHIBIT RAIL SERVICE ON ALL OR PART OF THE WMATA RAIL SYSTEM WITH AN
7	APPROPRIATE NOTICE PERIOD DICTATED BY THE CIRCUMSTANCES;
8	(d) Direct WMATA to suspend or disqualify from
0 9	PERFORMING IN ANY SAFETY SENSITIVE POSITION AN INDIVIDUAL WHO IS ALLEGED
9 10	<u>TO OR HAS VIOLATED SAFETY RULES, REGULATIONS, POLICIES, OR LAWS;</u>
10	10 OR HAS VIOLATED SAFETT RULES, REGULATIONS, POLICIES, OR LAWS,
11	(E) COMPEL WMATA'S OFFICE OF THE INSPECTOR GENERAL,
12	CREATED UNDER WMATA BOARD RESOLUTION 2006–18, OR ANY SUCCESSOR
13	WMATA OFFICE OR ORGANIZATION HAVING SIMILAR DUTIES, TO CONDUCT
14	SAFETY-RELATED AUDITS OR INVESTIGATIONS AND TO PROVIDE ITS FINDINGS TO
15	THE COMMISSION; AND
16	(F) TAKE SUCH OTHER ACTIONS AS THE COMMISSION MAY DEEM
17	APPROPRIATE CONSISTENT WITH ITS PURPOSE AND POWERS.
18	32. ACTION BY THE BOARD UNDER SECTION 31(C)(5) SHALL REQUIRE THE
19	UNANIMOUS VOTE OF ALL MEMBERS PRESENT AND VOTING. THE COMMISSION
20	SHALL COORDINATE ITS ENFORCEMENT ACTIVITIES WITH APPROPRIATE FEDERAL
21	AND STATE GOVERNMENTAL AUTHORITIES.
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22	<b><u>B.</u></b> <u>GENERAL POWERS.</u>
23	33. IN ADDITION TO THE POWERS AND DUTIES SET FORTH ABOVE, THE
$\frac{23}{24}$	COMMISSION MAY:
<b>4</b> - <b>T</b>	
25	(A) SUE AND BE SUED;
26	(B) ADOPT, AMEND, AND REPEAL RULES AND REGULATIONS
27	RESPECTING THE EXERCISE OF THE POWERS CONFERRED BY THIS MSC COMPACT;
28	(C) CREATE AND ABOLISH OFFICES, EMPLOYMENTS, AND POSITIONS
29	(OTHER THAN THOSE SPECIFICALLY PROVIDED FOR IN THIS MSC COMPACT)
30	NECESSARY OR DESIRABLE FOR THE PURPOSES OF THE COMMISSION;
~ ~	
31	(D) DETERMINE A STAFFING LEVEL FOR THE COMMISSION THAT IS
32	COMMENSURATE WITH THE SIZE AND COMPLEXITY OF THE WMATA RAIL SYSTEM,

1	AND REQUIRE THAT EMPLOYEES AND OTHER DESIGNATED PERSONNEL OF THE
2	COMMISSION, WHO ARE RESPONSIBLE FOR SAFETY OVERSIGHT, BE QUALIFIED TO
3	PERFORM SUCH FUNCTIONS THROUGH APPROPRIATE TRAINING, INCLUDING,
4	WITHOUT LIMITATION, SUCCESSFUL COMPLETION OF THE PUBLIC
<b>5</b>	TRANSPORTATION SAFETY CERTIFICATION TRAINING PROGRAM;
6	(E) CONTRACT FOR OR EMPLOY CONSULTING ATTORNEYS,
<b>7</b>	INSPECTORS, ENGINEERS, AND SUCH OTHER EXPERTS NECESSARY OR DESIRABLE
8	AND, WITHIN THE LIMITATIONS PRESCRIBED IN THIS MSC COMPACT, PRESCRIBE
9	THEIR POWERS AND DUTIES AND FIX THEIR COMPENSATION;
10	(F) ENTER INTO AND PERFORM CONTRACTS, LEASES, AND
11	AGREEMENTS NECESSARY OR DESIRABLE IN THE PERFORMANCE OF ITS DUTIES AND
12	IN THE EXECUTION OF THE POWERS GRANTED UNDER THIS MSC COMPACT;
13	(G) APPLY FOR, RECEIVE, AND ACCEPT SUCH PAYMENTS,
14	APPROPRIATIONS, GRANTS, GIFTS, LOANS, ADVANCES, AND OTHER FUNDS,
15	PROPERTIES, AND SERVICES AS MAY BE TRANSFERRED OR MADE AVAILABLE TO IT
16	BY THE UNITED STATES GOVERNMENT OR ANY OTHER PUBLIC OR PRIVATE ENTITY
17	<u>OR INDIVIDUAL, SUBJECT TO THE LIMITATIONS SPECIFIED IN SECTION 42;</u>
10	
18	(H) ADOPT AN OFFICIAL SEAL AND ALTER THE SAME AT ITS
19	<u>PLEASURE;</u>
20	(I) ADOPT AND AMEND BY-LAWS, POLICIES, AND PROCEDURES
$\frac{20}{21}$	GOVERNING THE REGULATION OF ITS AFFAIRS;
<i>2</i> 1	GOVERNING THE REGULATION OF TIS AFFAIRS,
22	(J) APPOINT ONE OR MORE ADVISORY COMMITTEES; AND
22	(5) <u>MITOINTONE OR MORE ADVISORT COMMITTEES, AND</u>
23	(K) DO SUCH OTHER ACTS NECESSARY OR DESIRABLE FOR THE
<b>-</b> 0 24	PERFORMANCE OF ITS DUTIES AND THE EXECUTION OF ITS POWERS UNDER THIS
25	MSC COMPACT.
-0	
26	34. CONSISTENT WITH THIS MSC COMPACT, THE COMMISSION SHALL
27	PROMULGATE RULES AND REGULATIONS TO CARRY OUT THE PURPOSES OF THIS
$\overline{28}$	MSC COMPACT.
29	ARTICLE V.
30	GENERAL PROVISIONS
31	A. <u>Annual Safety Report.</u>

1	35. The Commission shall make and publish annually a status
2	<u>REPORT ON THE SAFETY OF THE WMATA RAIL SYSTEM, WHICH SHALL INCLUDE,</u>
$\frac{3}{4}$	AMONG OTHER REQUIREMENTS ESTABLISHED BY THE COMMISSION AND FEDERAL LAW, STATUS UPDATES OF OUTSTANDING CORRECTIVE ACTION PLANS,
5	COMMISSION DIRECTIVES, AND ONGOING INVESTIGATIONS. A COPY OF EACH SUCH
6	REPORT SHALL BE PROVIDED TO:
7	(A) THE ADMINISTRATOR OF THE FEDERAL TRANSIT
8	ADMINISTRATION;
9	(B) THE GOVERNOR OF VIRGINIA, THE GOVERNOR OF MARYLAND,
10	AND THE MAYOR OF THE DISTRICT OF COLUMBIA;
11	(C) THE CHAIR OF THE COUNCIL OF THE DISTRICT OF COLUMBIA;
10	
$\frac{12}{13}$	(D) <u>The President of the Maryland Senate and the Speaker</u> of the Maryland House of Delegates;
10	OF THE MARTLAND HOUSE OF DELEGATES,
14	(E) THE PRESIDENT OF THE VIRGINIA SENATE AND THE SPEAKER OF
15	THE VIRGINIA HOUSE OF DELEGATES; AND
16	(F) THE GENERAL MANAGER AND EACH MEMBER OF THE BOARD OF
10 $17$	DIRECTORS OF WMATA.
18	<u>36.</u> <u>The Commission may prepare, publish, and distribute such</u>
19	OTHER SAFETY REPORTS THAT IT DEEMS NECESSARY OR DESIRABLE.
20	<b>B.</b> ANNUAL REPORT OF OPERATIONS.
21	<b>37.</b> The Commission shall make and publish an annual report on
22	ITS PROGRAMS, OPERATIONS, AND FINANCES, WHICH SHALL BE DISTRIBUTED IN
23	THE SAME MANNER PROVIDED BY SECTION 35.
2.4	
$\frac{24}{25}$	<u>38.</u> <u>The Commission may also prepare, publish, and distribute</u> such other public reports and informational materials as it deems
$\frac{25}{26}$	NECESSARY OR DESIRABLE.
20	
27	C. <u>Annual Independent Audit.</u>
28	<b>39.</b> An independent annual audit shall be made of the financial
29	ACCOUNTS OF THE COMMISSION. THE AUDIT SHALL BE MADE BY QUALIFIED
30	CERTIFIED PUBLIC ACCOUNTANTS SELECTED BY THE BOARD, WHO SHALL HAVE NO
31	PERSONAL INTEREST, DIRECT OR INDIRECT, IN THE FINANCIAL AFFAIRS OF THE
32	COMMISSION OR ANY OF ITS OFFICERS OR EMPLOYEES. THE REPORT OF AUDIT

SHALL BE PREPARED IN ACCORDANCE WITH GENERALLY ACCEPTED AUDITING

PRINCIPLES AND SHALL BE DISTRIBUTED IN THE SAME MANNER PROVIDED BY

3	SECTION 35. MEMBERS, EMPLOYEES, AGENTS, AND CONTRACTORS OF THE
4	COMMISSION SHALL PROVIDE ACCESS TO INFORMATION NECESSARY OR DESIRABLE
<b>5</b>	FOR THE CONDUCT OF THE ANNUAL AUDIT.
6	D. FINANCING.
$\overline{7}$	<b>40.</b> The Commission's operations shall be funded, independently
8	OF WMATA, BY THE SIGNATORY JURISDICTIONS AND, WHEN AVAILABLE, BY
9	FEDERAL FUNDS. THE COMMISSION SHALL HAVE NO AUTHORITY TO LEVY TAXES.
10	41. The Signatories shall unanimously agree on adequate
11	FUNDING LEVELS FOR THE COMMISSION AND MAKE EQUAL CONTRIBUTIONS OF
12	SUCH FUNDING, SUBJECT TO ANNUAL APPROPRIATION, TO COVER THE PORTION OF
13	COMMISSION OPERATIONS NOT FUNDED BY FEDERAL FUNDS.
14	42. The Commission may borrow up to 5% of its last annual
15	APPROPRIATIONS BUDGET IN ANTICIPATION OF RECEIPTS, OR AS OTHERWISE SET
16	FORTH IN THE APPROPRIATIONS BUDGET APPROVED BY ALL OF THE SIGNATORIES,
17	FROM ANY LAWFUL LENDING INSTITUTION FOR ANY PURPOSE OF THIS MSC
18	COMPACT, INCLUDING, WITHOUT LIMITATION, FOR ADMINISTRATIVE EXPENSES.
19	SUCH LOANS SHALL BE FOR A TERM NOT TO EXCEED 2 YEARS, OR AT SUCH LONGER
20	<u>TERM APPROVED BY EACH SIGNATORY PURSUANT TO ITS LAWS AS EVIDENCED BY</u>
21	THE WRITTEN AUTHORIZATION BY THE MAYOR OF THE DISTRICT OF COLUMBIA AND
22	THE GOVERNORS OF MARYLAND AND VIRGINIA, AND AT SUCH RATES OF INTEREST
23	AS SHALL BE ACCEPTABLE TO THE COMMISSION.
24	<b>43.</b> WITH RESPECT TO THE DISTRICT OF COLUMBIA, THE COMMITMENT OR
25	OBLIGATION TO RENDER FINANCIAL ASSISTANCE TO THE COMMISSION SHALL BE
26	CREATED, BY APPROPRIATION OR IN SUCH OTHER MANNER, OR BY SUCH OTHER
<b>27</b>	LEGISLATION, AS THE DISTRICT OF COLUMBIA SHALL DETERMINE; PROVIDED,
28	THAT ANY SUCH COMMITMENT OR OBLIGATION SHALL BE APPROVED BY CONGRESS
29	PURSUANT TO THE DISTRICT OF COLUMBIA HOME RULE ACT, APPROVED
30	<u>December 24, 1973 (87 Stat. 774; D.C. Official Code § 1-201.01 et seq.).</u>
31	44 PURSUANT TO THE REQUIREMENTS OF 31 U.S.C. 88 1341, 1342, 1349

31 44. <u>TO THE REQUIREMENTS OF 31 U.S.C. §§ 1341, 1342, 1349</u> TO 1351, AND 1511 TO 1519, AND D.C. OFFICIAL CODE §§ 47-105 AND 47-355.01 TO 32355.08 (COLLECTIVELY, THE "ANTI-DEFICIENCY ACTS"), THE DISTRICT CANNOT 33 34 **OBLIGATE ITSELF TO ANY FINANCIAL COMMITMENT IN ANY PRESENT OR FUTURE** YEAR UNLESS THE NECESSARY FUNDS TO PAY THAT COMMITMENT HAVE BEEN 35 36 APPROPRIATED AND ARE LAWFULLY AVAILABLE FOR THE PURPOSE COMMITTED. THUS, PURSUANT TO THE ANTI-DEFICIENCY ACTS, NOTHING IN THE MSC 37 38 COMPACT CREATES AN OBLIGATION OF THE DISTRICT IN ANTICIPATION OF AN

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APPROPRIATION FOR SUCH PURPOSE, AND THE DISTRICT'S LEGAL LIABILITY FOR
 THE PAYMENT OF ANY AMOUNT UNDER THIS MSC COMPACT DOES NOT AND MAY
 NOT ARISE OR OBTAIN IN ADVANCE OF THE LAWFUL AVAILABILITY OF
 APPROPRIATED FUNDS FOR THE APPLICABLE FISCAL YEAR.

#### 5 <u>E.</u> <u>TAX EXEMPTION.</u>

6 THE EXERCISE OF THE POWERS GRANTED BY THIS MSC COMPACT **45**. 7SHALL IN ALL RESPECTS BE FOR THE BENEFIT OF THE PEOPLE OF THE DISTRICT OF COLUMBIA, THE COMMONWEALTH OF VIRGINIA, AND THE STATE OF MARYLAND 8 AND FOR THE INCREASE OF THEIR SAFETY, COMMERCE, AND PROSPERITY, AND AS 9 THE ACTIVITIES ASSOCIATED WITH THIS MSC COMPACT SHALL CONSTITUTE THE 10 11 PERFORMANCE OF ESSENTIAL GOVERNMENTAL FUNCTIONS, THE COMMISSION 12SHALL NOT BE REQUIRED TO PAY ANY TAXES OR ASSESSMENTS UPON THE SERVICES OR ANY PROPERTY ACQUIRED OR USED BY THE COMMISSION UNDER THE 13PROVISIONS OF THIS MSC COMPACT OR UPON THE INCOME THEREFROM, AND 14SHALL AT ALL TIMES BE FREE FROM TAXATION WITHIN THE DISTRICT OF 15COLUMBIA, THE COMMONWEALTH OF VIRGINIA, AND THE STATE OF MARYLAND. 16

17 F. <u>Reconsideration of Commission Orders.</u>

## 1846.WMATA SHALL HAVE THE RIGHT TO PETITION THE COMMISSION FOR19RECONSIDERATION OF AN ORDER BASED ON RULES AND PROCEDURES DEVELOPED20BY THE COMMISSION.

2147.CONSISTENT WITH SECTION 16, THE FILING OF A PETITION FOR22RECONSIDERATION SHALL NOT ACT AS A STAY UPON THE EXECUTION OF A23COMMISSION ORDER, OR ANY PART OF IT, UNLESS THE COMMISSION ORDERS24OTHERWISE. WMATA MAY APPEAL ANY ADVERSE ACTION ON A PETITION FOR25RECONSIDERATION AS SET FORTH IN SECTION 48.

26 G. JUDICIAL MATTERS.

2748. The United States District Court for the Eastern District of28VIRGINIA, ALEXANDRIA DIVISION, THE UNITED STATES DISTRICT COURT FOR THE29DISTRICT OF MARYLAND, SOUTHERN DIVISION, AND THE UNITED STATES30DISTRICT COURT FOR THE DISTRICT OF COLUMBIA SHALL HAVE EXCLUSIVE AND31ORIGINAL JURISDICTION OF ALL ACTIONS BROUGHT BY OR AGAINST THE32COMMISSION AND TO ENFORCE SUBPOENAS UNDER THIS MSC COMPACT.

3349.THE COMMENCEMENT OF A JUDICIAL PROCEEDING SHALL NOT34OPERATE AS A STAY OF A COMMISSION ORDER UNLESS SPECIFICALLY ORDERED BY35THE COURT.

#### 1 H. LIABILITY AND INDEMNIFICATION.

 $\mathbf{2}$ THE COMMISSION AND ITS MEMBERS, ALTERNATE MEMBERS, **50**. OFFICERS, AGENTS, EMPLOYEES, OR REPRESENTATIVES SHALL NOT BE LIABLE FOR 3 4 SUIT OR ACTION OR FOR ANY JUDGMENT OR DECREE FOR DAMAGES, LOSS, OR INJURY RESULTING FROM ACTION TAKEN WITHIN THE SCOPE OF THEIR  $\mathbf{5}$ EMPLOYMENT OR DUTIES UNDER THIS MSC COMPACT, NOR REQUIRED IN ANY CASE 6 ARISING OR ANY APPEAL TAKEN UNDER THIS MSC COMPACT TO GIVE A 7SUPERSEDEAS BOND OR SECURITY FOR DAMAGES. NOTHING IN THIS SECTION 8 9 SHALL BE CONSTRUED TO PROTECT SUCH PERSON FROM SUIT OR LIABILITY FOR 10 DAMAGE, LOSS, INJURY, OR LIABILITY CAUSED BY THE INTENTIONAL OR WILLFUL 11 AND WANTON MISCONDUCT OF SUCH PERSON.

12THE COMMISSION SHALL BE LIABLE FOR ITS CONTRACTS AND FOR ITS **51**. TORTS AND THOSE OF ITS MEMBERS, ALTERNATE MEMBERS, OFFICERS, AGENTS, 1314EMPLOYEES, AND REPRESENTATIVES COMMITTED IN THE CONDUCT OF ANY PROPRIETARY FUNCTION, IN ACCORDANCE WITH THE LAW OF THE APPLICABLE 15SIGNATORY (INCLUDING, WITHOUT LIMITATION, RULES ON CONFLICT OF LAWS) BUT 1617SHALL NOT BE LIABLE FOR ANY TORTS OCCURRING IN THE PERFORMANCE OF A GOVERNMENTAL FUNCTION. THE EXCLUSIVE REMEDY FOR SUCH BREACH OF 18 CONTRACT OR TORT FOR WHICH THE COMMISSION SHALL BE LIABLE, AS HEREIN 19 PROVIDED, SHALL BE BY SUIT AGAINST THE COMMISSION. NOTHING CONTAINED IN 20THIS MSC COMPACT SHALL BE CONSTRUED AS A WAIVER BY THE DISTRICT OF 2122COLUMBIA, THE COMMONWEALTH OF VIRGINIA, OR THE STATE OF MARYLAND OF 23ANY IMMUNITY FROM SUIT.

#### 24 I. COMMITMENT OF PARTIES.

52. EACH OF THE SIGNATORIES PLEDGES TO EACH OTHER FAITHFUL
 COOPERATION IN PROVIDING SAFETY OVERSIGHT FOR THE WMATA RAIL SYSTEM,
 AND, TO AFFECT SUCH PURPOSES, AGREES TO CONSIDER IN GOOD FAITH AND
 REQUEST ANY NECESSARY LEGISLATION TO ACHIEVE THE OBJECTIVES OF THIS
 MSC COMPACT.

#### 30 J. <u>AMENDMENTS AND SUPPLEMENTS.</u>

AMENDMENTS AND SUPPLEMENTS TO THIS MSC COMPACT SHALL BE 31 **53**. ADOPTED BY LEGISLATIVE ACTION OF EACH OF THE SIGNATORIES AND THE 32CONSENT OF CONGRESS. WHEN ONE SIGNATORY ADOPTS AN AMENDMENT OR 33 SUPPLEMENT TO AN EXISTING SECTION OF THIS MSC COMPACT, THAT AMENDMENT 34 OR SUPPLEMENT SHALL NOT BE IMMEDIATELY EFFECTIVE, AND THE PREVIOUSLY 35 ENACTED PROVISION OR PROVISIONS SHALL REMAIN IN EFFECT IN EACH 36 JURISDICTION UNTIL THE AMENDMENT OR SUPPLEMENT IS APPROVED BY THE 37 38 OTHER SIGNATORIES AND IS CONSENTED TO BY CONGRESS.

30

1 K. WITHDRAWAL AND TERMINATION.

#### 2 <u>54.</u> <u>ANY SIGNATORY MAY WITHDRAW FROM THIS MSC COMPACT, WHICH</u> 3 <u>ACTION SHALL CONSTITUTE A TERMINATION OF THIS MSC COMPACT.</u>

<u>55.</u> WITHDRAWAL FROM THIS MSC COMPACT SHALL BE BY A SIGNATORY'S
REPEAL OF THIS MSC COMPACT FROM ITS LAWS, BUT SUCH REPEAL SHALL NOT
TAKE EFFECT UNTIL 2 YEARS AFTER THE EFFECTIVE DATE OF THE REPEALED
STATUTE AND WRITTEN NOTICE OF THE WITHDRAWAL BEING GIVEN BY THE
WITHDRAWING SIGNATORY TO THE GOVERNORS OR MAYOR, AS APPROPRIATE, OF
THE OTHER SIGNATORIES.

1056.PRIOR TO TERMINATION OF THIS MSC COMPACT, THE COMMISSION11SHALL PROVIDE TO EACH SIGNATORY:

12(A)A MECHANISM FOR CONCLUDING THE OPERATIONS OF THE13COMMISSION;

14(B)A PROPOSAL TO MAINTAIN STATE SAFETY OVERSIGHT OF THE15WMATA RAIL SYSTEM IN COMPLIANCE WITH APPLICABLE FEDERAL LAW;

16 (C) <u>A PLAN TO HOLD SURPLUS FUNDS IN A TRUST FOR A SUCCESSOR</u>
 17 <u>REGULATORY ENTITY FOR 4 YEARS AFTER THE TERMINATION OF THIS MSC</u>
 18 <u>COMPACT; AND</u>

19(D)A PLAN TO RETURN ANY SURPLUS FUNDS THAT REMAIN 4 YEARS20AFTER THE CREATION OF THE TRUST.

21 <u>L.</u> <u>CONSTRUCTION AND SEVERABILITY.</u>

2257.THISMSCCOMPACTSHALLBELIBERALLYCONSTRUEDTO23EFFECTUATE THE PURPOSES FOR WHICH IT IS CREATED.

IF ANY PART OR PROVISION OF THIS MSC COMPACT OR THE 24**58**. 25APPLICATION THEREOF TO ANY PERSON OR CIRCUMSTANCES BE ADJUDGED 26INVALID BY ANY COURT OF COMPETENT JURISDICTION, SUCH JUDGMENT SHALL BE 27CONFINED IN ITS OPERATION TO THE PART, PROVISION, OR APPLICATION DIRECTLY 28INVOLVED IN THE CONTROVERSY IN WHICH SUCH JUDGMENT SHALL HAVE BEEN 29**RENDERED AND SHALL NOT AFFECT OR IMPAIR THE VALIDITY OF THE REMAINDER** 30 OF THIS MSC COMPACT OR THE APPLICATION THEREOF TO OTHER PERSONS OR CIRCUMSTANCES, AND THE SIGNATORIES HEREBY DECLARE THAT THEY WOULD 3132HAVE ENTERED INTO THIS MSC COMPACT OR THE REMAINDER THEREOF HAD THE 33 INVALIDITY OF SUCH PROVISION OR APPLICATION THEREOF BEEN APPARENT.

#### 1 <u>M.</u> <u>Adoption; Effective Date.</u>

 $\mathbf{2}$ THIS MSC COMPACT SHALL BE ADOPTED BY THE SIGNATORIES IN THE **59**. 3 MANNER PROVIDED BY LAW THEREFOR AND SHALL BE SIGNED AND SEALED IN 4 4 DUPLICATE ORIGINAL COPIES. ONE SUCH COPY SHALL BE FILED WITH THE SECRETARY OF STATE OF THE STATE OF MARYLAND, THE SECRETARY OF THE  $\mathbf{5}$ COMMONWEALTH OF VIRGINIA, AND THE SECRETARY OF THE DISTRICT OF 6 7 COLUMBIA IN ACCORDANCE WITH THE LAWS OF EACH JURISDICTION. ONE COPY 8 SHALL BE FILED AND RETAINED IN THE ARCHIVES OF THE COMMISSION UPON ITS ORGANIZATION. THIS MSC COMPACT SHALL BECOME EFFECTIVE UPON THE 9 ENACTMENT OF CONCURRING LEGISLATION BY THE DISTRICT OF COLUMBIA, THE 10 11 COMMONWEALTH OF VIRGINIA, AND THE STATE OF MARYLAND, AND CONSENT 12THERETO BY CONGRESS AND WHEN ALL OTHER ACTS OR ACTIONS HAVE BEEN TAKEN, INCLUDING, WITHOUT LIMITATION, THE SIGNING AND EXECUTION OF THIS 13**MSC COMPACT BY THE GOVERNORS OF MARYLAND AND VIRGINIA AND THE MAYOR** 14OF THE DISTRICT OF COLUMBIA. 15

#### 16 N. CONFLICT OF LAWS.

# 1760. ANY CONFLICT BETWEEN ANY AUTHORITY GRANTED HEREIN, OR THE18EXERCISE OF SUCH AUTHORITY, AND THE PROVISIONS OF THE WMATA COMPACT19SHALL BE RESOLVED IN FAVOR OF THE EXERCISE OF SUCH AUTHORITY BY THE20COMMISSION.

#### 61. ALL OTHER GENERAL OR SPECIAL LAWS INCONSISTENT WITH THIS MSC COMPACT ARE HEREBY DECLARED TO BE INAPPLICABLE TO THE COMMISSION OR ITS ACTIVITIES.

24SECTION 2. AND BE IT FURTHER ENACTED, That this Act may not take effect 25until a similar Act is enacted by the Commonwealth of Virginia and by the District of 26Columbia; that the Commonwealth of Virginia and the District of Columbia each is 27requested to concur in this Act of the General Assembly of Maryland by the enactment of a 28similar Act; that the Department of Legislative Services shall notify the appropriate 29officials of the Commonwealth of Virginia, the District of Columbia, and the United States 30 Congress of the enactment of this Act; and that upon the concurrence in this Act by the Commonwealth of Virginia and by the District of Columbia and approval by the United 3132States Congress, the Governor of the State of Maryland shall issue a proclamation declaring 33 this Act valid and effective and shall forward a copy of the proclamation to the Director of 34the Department of Legislative Services.

SECTION 3. AND BE IT FURTHER ENACTED, That, subject to Section 2 of this
 Act, this Act shall take effect June 1, 2017 is an emergency measure, is necessary for the
 immediate preservation of the public health or safety, has been passed by a yea and nay

- 1 vote supported by three-fifths of all the members elected to each of the two Houses of the
- 2 <u>General Assembly, and shall take effect from the date it is enacted</u>.

Approved:

Governor.

Speaker of the House of Delegates.

President of the Senate.