P5, G2, D5

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By: **Delegates Kelly, Fraser-Hidalgo, Krimm, Barve, and Robinson** Introduced and read first time: February 9, 2018 Assigned to: Rules and Executive Nominations

A BILL ENTITLED

1 AN ACT concerning

2

Legislative Branch of State Government – Sexual Harassment

3 FOR the purpose of authorizing any entity to file with the State Ethics Commission a 4 written complaint alleging that a regulated lobbyist has sexually harassed a member $\mathbf{5}$ of the General Assembly or a certain employee; authorizing any entity to file with 6 the State Ethics Commission a written complaint alleging that a member of the 7 General Assembly has sexually harassed a regulated lobbyist; requiring the Joint 8 Committee on Legislative Ethics to refer certain complaints to an outside and 9 independent investigator; requiring the investigator to submit its findings and recommendations to the Committee for certain further proceedings; requiring the 1011 Committee to develop a certain code of conduct for the General Assembly; requiring 12the Office of the Executive Director in the Department of Legislative Services to 13 maintain certain records regarding certain individuals who take sexual harassment 14training; requiring the Office to maintain the records for at least a certain period of 15time; requiring the Commission on Civil Rights to conduct a certain survey of 16members and employees of the General Assembly on or before certain dates; 17prohibiting the survey from requesting certain information or being conducted in a 18 certain manner; requiring the Commission on Civil Rights to submit a certain report 19to the President of the Senate, the Speaker of the House of Delegates, and the Joint 20Committee on Legislative Ethics; making a conforming change; and generally 21 relating to sexual harassment in the Legislative Branch of State government.

- 22 BY repealing and reenacting, without amendments,
- 23 Article General Provisions
- 24 Section 5–101(a), (k), (u), and (hh)
- 25 Annotated Code of Maryland
- 26 (2014 Volume and 2017 Supplement)
- 27 BY repealing and reenacting, with amendments,
- 28 Article General Provisions
- 29 Section 5–401 and 5–518

EXPLANATION: CAPITALS INDICATE MATTER ADDED TO EXISTING LAW. [Brackets] indicate matter deleted from existing law.



| $\frac{1}{2}$ | | tated Code of Maryland Volume and 2017 Supplement) |
|-----------------------------------------------|---------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ${3 \atop {4} \atop {5} \atop {6} \atop {7}}$ | Section Anno | o e – General Provisions on 5–518.1 tated Code of Maryland Volume and 2017 Supplement) |
| | Articl Section Anno | g and reenacting, without amendments, e – State Government on 2–701, 2–1201, 2–1211, and 20–101(a) and (b) tated Code of Maryland Replacement Volume and 2017 Supplement) |
| $13 \\ 14 \\ 15 \\ 16 \\ 17$ | Articl Sectio Anno | g and reenacting, with amendments, e – State Government on 2–706 and 2–1215 tated Code of Maryland Replacement Volume and 2017 Supplement) |
| 18 19 20 21 22 | Sectio Anno | o e – State Government on 20–207.1 tated Code of Maryland Replacement Volume and 2017 Supplement) |
| $\begin{array}{c} 23\\ 24 \end{array}$ | | 'ION 1. BE IT ENACTED BY THE GENERAL ASSEMBLY OF MARYLAND, ws of Maryland read as follows: |
| 25 | | Article – General Provisions |
| 26 | 5-101. | |
| 27 | (a) | In this title the following words have the meanings indicated unless: |
| 28 | | (1) the context clearly requires a different meaning; or |
| 29 | | (2) a different definition is adopted for a particular provision. |
| 30 | (k) | "Ethics Commission" means the State Ethics Commission. |
| 31 | (u) | "Joint Ethics Committee" means the Joint Committee on Legislative Ethics. |
| 32 33 | (hh) Ethics Comi | "Regulated lobby ist" means an entity that is required to register with the mission under 5-702(a) of this title. |

 $\mathbf{2}$

1 5-401.

2 (a) (1) Any entity may file with the Ethics Commission a written complaint 3 alleging:

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(I) a violation of this title;

5 (II) THAT A REGULATED LOBBYIST HAS SEXUALLY HARASSED A 6 MEMBER OF THE GENERAL ASSEMBLY OR AN EMPLOYEE OF THE GENERAL 7 ASSEMBLY OR THE DEPARTMENT OF LEGISLATIVE SERVICES; OR

8 (III) THAT A MEMBER OF THE GENERAL ASSEMBLY HAS 9 SEXUALLY HARASSED A REGULATED LOBBYIST.

- 10 (2) A complaint filed under this subsection shall be:
- 11 (i) signed; and
- 12 (ii) made under oath.

13 (b) The Ethics Commission on its own motion may issue a complaint alleging a 14 violation of this title.

15 (c) The Ethics Commission shall promptly transmit a copy of the complaint to the 16 respondent.

 $17 \quad 5-518.$

18 (a) [After] EXCEPT AS PROVIDED IN § 5–518.1 OF THIS SUBTITLE, AFTER the 19 filing or preparation of a complaint under § 5–516 of this subtitle, the Joint Ethics 20 Committee shall review the complaint and proceed in accordance with § 5–519 of this 21 subtitle unless, after examining the complaint and the issues raised by it, the Committee 22 finds that further proceedings are not justified because:

- 23
- (1) the complaint is frivolous;

24 (2) the complaint does not allege actions on the part of the accused 25 legislator that provide reason to believe that a violation may have occurred;

26 (3) the matters alleged are not within the jurisdiction of the Joint Ethics
27 Committee;

28 (4) the violations alleged were inadvertent, technical, or minor, or have 29 been cured, and, after consideration of all of the circumstances then known, further 30 proceedings would not serve the purposes of this subtitle; or

| $\frac{1}{2}$ | (5) for other reasons, after consideration of all the circumstances, further proceedings would not serve the purposes of this subtitle. |
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| $\frac{3}{4}$ | (b) (1) If a finding is made under subsection (a) of this section, the Joint Ethics Committee shall: |
| 5 6 7 | (i) submit a report of its conclusions to the presiding officer or to the membership of the branch of the legislature of which the accused legislator is a member, and the proceedings shall be terminated; |
| 8 | (ii) provide advice or guidance to the accused legislator; or |
| 9 10 | (iii) provide the accused legislator with an opportunity to cure any minor violation of ethical standards. |
| 11 12 13 | (2) (i) Subject to § 5–517 of this subtitle, notice of the Joint Ethics Committee's action shall be provided to the accused legislator and to any person who filed the complaint. |
| $\begin{array}{c} 14 \\ 15 \end{array}$ | (ii) On request, the accused legislator may see the complaint and the report. |
| 16 17 18 19 | (c) If no finding is made under subsection (a) of this section, the Joint Ethics Committee shall prepare an allegation summary, based on its examination under subsection (a) of this section, setting forth the alleged facts and the issues then known that merit further proceedings. |
| $\begin{array}{c} 20\\ 21 \end{array}$ | (d) After review of a complaint, the Joint Ethics Committee shall provide a statement of its findings to the accused legislator. |
| 22 | 5-518.1. |
| 23 24 25 26 | (A) THE JOINT ETHICS COMMITTEE SHALL REFER A COMPLAINT ALLEGING THAT A MEMBER OF THE GENERAL ASSEMBLY HAS VIOLATED THE CODE OF CONDUCT DEVELOPED UNDER § 2–706(A)(8) OF THE STATE GOVERNMENT ARTICLE TO AN OUTSIDE AND INDEPENDENT INVESTIGATOR. |
| 27 28 29 30 | (B) IF A COMPLAINT IS REFERRED TO AN OUTSIDE AND INDEPENDENT INVESTIGATOR UNDER SUBSECTION (A) OF THIS SECTION, THE INVESTIGATOR SHALL SUBMIT ITS FINDINGS AND RECOMMENDATIONS TO THE JOINT ETHICS COMMITTEE FOR FURTHER PROCEEDINGS IN ACCORDANCE WITH THIS SUBTITLE. |
| 31 | Article – State Government |
| 32 | 2–701. |

| 1 | In this subtitle, "Committee" means the Joint Committee on Legislative Ethics. |
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| 2 | 2-706. |
| 3 | (a) The Committee shall: |
| 4 | (1) perform all duties assigned to it by law or by legislative rules; |
| $5\\6$ | (2) from time to time, recommend to the presiding officers any changes in or amendments to the rules of legislative ethics; |
| $7\\8\\9$ | (3) on request of a member of the General Assembly, issue an advisory opinion regarding the legislative ethics of an action taken or contemplated to be taken by the member; |
| 10 | (4) on its own motion, issue advisory opinions as it deems necessary; |
| $\begin{array}{c} 11 \\ 12 \end{array}$ | (5) at the request of the President or the Speaker, make recommendations concerning matters referred to the Committee; |
| $\begin{array}{c} 13\\14 \end{array}$ | (6) as it deems necessary, issue guidelines and establish procedures for the implementation of the rules of legislative ethics; [and] |
| 15 | (7) maintain public records as the rules require; AND |
| $\begin{array}{c} 16 \\ 17 \end{array}$ | (8) DEVELOP A CODE OF CONDUCT FOR THE GENERAL ASSEMBLY THAT INCLUDES: |
| 18 | (I) A CLEAR DEFINITION OF SEXUAL HARASSMENT; |
| 19 | (II) A CLEAR DEFINITION OF RETALIATION; AND |
| $\begin{array}{c} 20\\ 21 \end{array}$ | (III) A LIST OF ACTIONS THAT WILL BE TAKEN AGAINST AN INDIVIDUAL WHO VIOLATES THE CODE OF CONDUCT. |
| $22 \\ 23 \\ 24$ | (b) (1) The Committee shall maintain the statements filed by members of the General Assembly under Title 15, Subtitle 5 of this article and, during normal office hours, make the statements available to the public for examination and copying. |
| 25 | (2) The Committee shall maintain a record of: |
| $\frac{26}{27}$ | (i) the name and home address of each individual who examines or copies a statement filed with the Committee by a member of the General Assembly; and |
| $\frac{28}{29}$ | (ii) the name of the member whose statement was examined or copied. |

On the request of the member whose statement was examined or 1 (3) $\mathbf{2}$ copied, the Committee shall forward to the member a copy of the record maintained by the 3 Committee under paragraph (2)(i) of this subsection. 4 2-1201.In this subtitle the following words have the meanings indicated. $\mathbf{5}$ (a) 6 "Department" means the Department of Legislative Services. (b) "Executive Director" means the Executive Director of the Department. 7 (c) 2 - 1211.8 As used in this Part III, "Office" means the Office of the Executive Director. 9 10 2 - 1215.11 (a) The Office shall manage all personnel activities of the Department and generally carry out the duties set forth in § 2-1205 of this subtitle. 1213The Office shall manage the personnel activities of the General Assembly as (b) assigned by the President and the Speaker. 14THE OFFICE SHALL MAINTAIN ELECTRONIC RECORDS THAT 15**(C)** (1) 16**INCLUDE:** 17**(I)** THE NAME OF EACH MEMBER OF THE GENERAL ASSEMBLY, EACH EMPLOYEE OF THE GENERAL ASSEMBLY, AND EACH EMPLOYEE OF THE 18 19 **DEPARTMENT WHO TAKES WORKPLACE HARASSMENT TRAINING:** 20**(II)** THE DATE THE WORKPLACE HARASSMENT TRAINING WAS 21**COMPLETED; AND** 22(III) THE NAME OF THE PERSON WHO CONDUCTED THE 23TRAINING. 24(2) THE OFFICE SHALL MAINTAIN THE RECORDS REQUIRED UNDER 25PARAGRAPH (1) OF THIS SUBSECTION FOR AT LEAST 5 YEARS AFTER THE MEMBER 26OR EMPLOYEE TAKES WORKPLACE HARASSMENT TRAINING. 2720 - 101.28In Subtitles 1 through 11 of this title the following words have the meanings (a)

1 indicated.

2 (b) "Commission" means the Commission on Civil Rights.

3 **20–207.1**.

4 (A) ON OR BEFORE JUNE 1, 2019, AND ON OR BEFORE JUNE 1 EVERY 2 5 YEARS THEREAFTER, SUBJECT TO SUBSECTION (B) OF THIS SECTION, THE 6 COMMISSION SHALL CONDUCT A SURVEY OF MEMBERS AND EMPLOYEES OF THE 7 GENERAL ASSEMBLY TO DETERMINE:

8 (1) THE SCOPE OF DISCRIMINATION AND HARASSMENT IN THE 9 LEGISLATIVE BRANCH OF STATE GOVERNMENT;

10(2) WHETHER DISCRIMINATION AND HARASSMENT PREVENTION AND11REFORM EFFORTS ARE REDUCING THE PREVALENCE OF DISCRIMINATION AND12HARASSMENT IN THE LEGISLATIVE BRANCH OF STATE GOVERNMENT; AND

13(3) WHETHER THE COMPLAINT AND REPORTING PROCESS DISCRIMINATION 14**REGARDING INSTANCES OF** AND HARASSMENT IN THE LEGISLATIVE BRANCH OF STATE GOVERNMENT IS SUFFICIENT. 15

16 **(B)** THE SURVEY CONDUCTED UNDER SUBSECTION (A) OF THIS SECTION 17 MAY NOT REQUEST ANY INFORMATION OR BE CONDUCTED BY ANY METHOD THAT 18 WOULD MAKE THE RESPONDENT OR THE RESPONDENT'S OFFICE IDENTIFIABLE.

19 (C) THE COMMISSION SHALL SUBMIT TO THE PRESIDENT OF THE SENATE, 20 THE SPEAKER OF THE HOUSE OF DELEGATES, AND THE JOINT COMMITTEE ON 21 LEGISLATIVE ETHICS A REPORT THAT SUMMARIZES THE RESULTS OF THE SURVEY 22 CONDUCTED UNDER SUBSECTION (A) OF THIS SECTION.

23 SECTION 2. AND BE IT FURTHER ENACTED, That this Act shall take effect 24 October 1, 2018.