By: Senators Young, Lam, Gallion, and Rosapepe

Introduced and read first time: January 27, 2020

Assigned to: Education, Health, and Environmental Affairs

A BILL ENTITLED

1 AN ACT concerning

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Audiology and Speech-Language Pathology Interstate Compact

FOR the purpose of entering into the Audiology and Speech-Language Pathology Interstate Compact; stating the purpose of the Compact; requiring that a certain license issued by a home state be recognized by each member state as authorizing certain practice of audiology or speech-language pathology; requiring a state to meet certain requirements to participate in the Compact; prohibiting certain communication from including certain information; requiring a certain licensing board to take certain action on application for a privilege to practice; requiring each member state to require an applicant to obtain or retain a certain license and meet certain qualifications; requiring certain audiologists and speech-language pathologists to meet certain eligibility requirements to exercise a certain privilege; requiring an audiologist or a speech-language pathologist practicing in a member state to comply with certain laws; requiring that certain individuals be able to continue to apply for a certain license; authorizing member states to charge a certain fee; requiring member states to comply with certain bylaws, rules, and regulations; requiring certain audiologists and speech-language pathologists to apply for certain licensure; establishing the circumstances under which a license may not be issued or is required to be converted to a certain license; establishing the period during which a Compact privilege is valid; requiring certain licensees to function within certain laws and regulations; providing that certain licensees are subject to certain regulatory authority; requiring a licensee to lose the Compact privilege during a certain period under certain circumstances; requiring member states to recognize the right of an audiologist or a speech-language pathologist to practice via telehealth under certain circumstances; requiring certain active duty military personnel or their spouses to designate a certain state as a home state and authorizing the change of a certain designation in a certain manner; establishing certain requirements and certain authority of remote states and home states with regard to adverse actions; establishing the Audiology and Speech-Language Pathology Compact Commission; establishing the membership, powers, and duties of the Commission; establishing an Executive Committee with authority to act on behalf of the Commission under

EXPLANATION: CAPITALS INDICATE MATTER ADDED TO EXISTING LAW.

[Brackets] indicate matter deleted from existing law.



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certain circumstances; establishing the membership, powers, and duties of the Executive Committee; providing for certain financing, accounting, and auditing of the Commission; providing, under certain circumstances, for certain immunity, defense, and indemnity for certain individuals representing the Commission; requiring the Commission to provide for the development, maintenance, and utilization of a certain database and reporting system; requiring a member state to submit certain data to a certain data system; establishing requirements for the availability, notification, and removal of certain information from a certain data system under certain circumstances; establishing the rulemaking powers and procedures of the Commission; establishing procedures for oversight, dispute resolution, and enforcement of the Compact by the Commission; providing for the application of the Compact; establishing that certain states that join the Compact are subject to certain rules; establishing certain procedures for a member state to withdraw from the Compact; authorizing member states to amend the Compact under certain circumstances; providing for the construction and severability of this Act; making this Act subject to a certain contingency; requiring the Maryland Department of Health to track certain legislation and notify the Department of Legislative Services of a certain occurrence within a certain period of time; defining certain terms; and generally relating to the Audiology and Speech-Language Pathology Interstate Compact.

- 21 BY adding to
- 22 Article Health Occupations
- Section 2–3A–01 to be under the new subtitle "Subtitle 3A. Audiology and
- 24 Speech-Language Pathology Interstate Compact"
- 25 Annotated Code of Maryland
- 26 (2014 Replacement Volume and 2019 Supplement)
- 27 SECTION 1. BE IT ENACTED BY THE GENERAL ASSEMBLY OF MARYLAND,
- 28 That the Laws of Maryland read as follows:
- 29 Article Health Occupations
- 30 SUBTITLE 3A. AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY INTERSTATE
- 31 COMPACT.
- 32 **2-3A-01.**
- THE AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY INTERSTATE
- 34 COMPACT IS ENACTED INTO LAW AND ENTERED INTO WITH ALL OTHER STATES
- 35 LEGALLY JOINING IN IT IN THE FORM SUBSTANTIALLY AS IT APPEARS IN THIS
- 36 SECTION AS FOLLOWS:
- 37 **SECTION 1: PURPOSE**
- THE PURPOSE OF THIS COMPACT IS TO FACILITATE INTERSTATE PRACTICE

- 1 OF AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY WITH THE GOAL OF
- 2 IMPROVING PUBLIC ACCESS TO AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY
- 3 SERVICES. THE PRACTICE OF AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY
- 4 OCCURS IN THE STATE WHERE THE PATIENT IS LOCATED AT THE TIME OF THE
- 5 PATIENT ENCOUNTER. THE COMPACT PRESERVES THE REGULATORY AUTHORITY
- 6 OF STATES TO PROTECT PUBLIC HEALTH AND SAFETY THROUGH THE CURRENT
- 7 SYSTEM OF STATE LICENSURE. THIS COMPACT IS DESIGNED TO ACHIEVE THE
- 8 FOLLOWING OBJECTIVES:
- 9 (1) INCREASE PUBLIC ACCESS TO AUDIOLOGY AND
- 10 SPEECH-LANGUAGE PATHOLOGY SERVICES BY PROVIDING FOR THE MUTUAL
- 11 RECOGNITION OF OTHER MEMBER STATE LICENSES;
- 12 (2) ENHANCE THE ABILITY OF STATES TO PROTECT THE PUBLIC'S
- 13 HEALTH AND SAFETY;
- 14 (3) ENCOURAGE THE COOPERATION OF MEMBER STATES IN
- 15 REGULATING MULTISTATE AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY
- 16 PRACTICE;
- 17 (4) SUPPORT SPOUSES OF RELOCATING ACTIVE DUTY MILITARY
- 18 **PERSONNEL**;
- 19 (5) ENHANCE THE EXCHANGE OF LICENSURE, INVESTIGATIVE, AND
- 20 DISCIPLINARY INFORMATION BETWEEN MEMBER STATES;
- 21 (6) ALLOW A REMOTE STATE TO HOLD A PROVIDER OF SERVICES
- 22 WITH A COMPACT PRIVILEGE IN THAT STATE ACCOUNTABLE TO THE PRACTICE
- 23 STANDARDS OF THAT STATE; AND
- 24 (7) ALLOW FOR THE USE OF TELEHEALTH TECHNOLOGY TO
- 25 FACILITATE INCREASED ACCESS TO AUDIOLOGY AND SPEECH-LANGUAGE
- 26 PATHOLOGY SERVICES.

27 **SECTION 2: DEFINITIONS**

- AS USED IN THIS COMPACT, AND EXCEPT AS OTHERWISE PROVIDED, THE
- 29 FOLLOWING DEFINITIONS SHALL APPLY:
- 30 (A) "ACTIVE DUTY MILITARY" MEANS FULL-TIME DUTY STATUS IN THE
- 31 ACTIVE UNIFORMED SERVICE OF THE UNITED STATES, INCLUDING MEMBERS OF
- 32 THE NATIONAL GUARD AND RESERVE ON ACTIVE DUTY ORDERS IN ACCORDANCE
- 33 WITH 10 U.S.C. §§ 1209 AND 1211.

- 1 (B) "ADVERSE ACTION" MEANS ANY ADMINISTRATIVE, CIVIL, EQUITABLE,
- 2 OR CRIMINAL ACTION AUTHORIZED BY A STATE'S LAWS THAT IS IMPOSED BY A
- 3 LICENSING BOARD OR OTHER AUTHORITY AGAINST AN AUDIOLOGIST OR
- 4 A SPEECH-LANGUAGE PATHOLOGIST, INCLUDING ACTIONS AGAINST AN
- 5 INDIVIDUAL'S LICENSE OR PRIVILEGE TO PRACTICE SUCH AS REVOCATION,
- 6 SUSPENSION, PROBATION, MONITORING OF THE LICENSEE, OR RESTRICTION ON
- 7 THE LICENSEE'S PRACTICE.
- 8 (C) "ALTERNATIVE PROGRAM" MEANS A NONDISCIPLINARY MONITORING
- 9 PROCESS APPROVED BY AN AUDIOLOGY OR SPEECH-LANGUAGE PATHOLOGY
- 10 LICENSING BOARD TO ADDRESS IMPAIRED PRACTITIONERS.
- 11 (D) "AUDIOLOGIST" MEANS AN INDIVIDUAL WHO IS LICENSED BY A STATE
- 12 TO PRACTICE AUDIOLOGY.
- 13 (E) "AUDIOLOGY" MEANS THE CARE AND SERVICES PROVIDED BY A
- 14 LICENSED AUDIOLOGIST AS SET FORTH IN THE MEMBER STATE'S STATUTES AND
- 15 RULES.
- 16 (F) "AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY COMPACT
- 17 COMMISSION" OR "COMMISSION" MEANS THE NATIONAL ADMINISTRATIVE BODY
- 18 ESTABLISHED UNDER SECTION 8 WHOSE MEMBERSHIP CONSISTS OF ALL STATES
- 19 THAT HAVE ENACTED THE COMPACT.
- 20 (G) "AUDIOLOGY OR SPEECH-LANGUAGE PATHOLOGY LICENSING BOARD",
- 21 "AUDIOLOGY LICENSING BOARD", "SPEECH-LANGUAGE PATHOLOGY LICENSING
- 22 BOARD", OR "LICENSING BOARD" MEANS THE AGENCY OF A STATE THAT IS
- 23 RESPONSIBLE FOR THE LICENSING AND REGULATION OF AUDIOLOGISTS OR
- 24 SPEECH-LANGUAGE PATHOLOGISTS.
- 25 (H) "COMPACT PRIVILEGE" MEANS THE AUTHORIZATION GRANTED BY A
- 26 REMOTE STATE TO ALLOW A LICENSEE FROM ANOTHER MEMBER STATE TO
- 27 PRACTICE AS AN AUDIOLOGIST OR A SPEECH-LANGUAGE PATHOLOGIST IN THE
- 28 REMOTE STATE UNDER ITS LAWS AND RULES. THE PRACTICE OF AUDIOLOGY OR
- 29 SPEECH-LANGUAGE PATHOLOGY OCCURS IN THE MEMBER STATE WHERE THE
- 30 PATIENT IS LOCATED AT THE TIME OF THE PATIENT ENCOUNTER.
- 31 (I) "CURRENT SIGNIFICANT INVESTIGATIVE INFORMATION" MEANS
- 32 INVESTIGATIVE INFORMATION THAT A LICENSING BOARD, AFTER AN INQUIRY OR
- 33 INVESTIGATION THAT INCLUDES NOTIFICATION AND AN OPPORTUNITY FOR THE
- 34 AUDIOLOGIST OR SPEECH-LANGUAGE PATHOLOGIST TO RESPOND, IF REQUIRED BY
- 35 STATE LAW, HAS REASON TO BELIEVE IS NOT GROUNDLESS AND, IF PROVED TRUE,

1 WOULD INDICATE MORE THAN A MINOR INFRACTION.

- 2 (J) "DATA SYSTEM" MEANS A REPOSITORY OF INFORMATION ABOUT
- 3 LICENSEES ESTABLISHED UNDER SECTION 9, INCLUDING, BUT NOT LIMITED TO,
- 4 CONTINUING EDUCATION, EXAMINATION, LICENSURE, INVESTIGATIVE, COMPACT
- 5 PRIVILEGE, AND ADVERSE ACTION.
- 6 (K) "ENCUMBERED LICENSE" MEANS A LICENSE IN WHICH AN ADVERSE
- 7 ACTION RESTRICTS THE PRACTICE OF AUDIOLOGY OR SPEECH-LANGUAGE
- 8 PATHOLOGY BY THE LICENSEE AND SAID ADVERSE ACTION HAS BEEN REPORTED TO
- 9 THE NATIONAL PRACTITIONERS DATA BANK.
- 10 (L) "EXECUTIVE COMMITTEE" MEANS A GROUP OF DIRECTORS ELECTED
- 11 OR APPOINTED TO ACT ON BEHALF OF, AND WITHIN THE POWERS GRANTED TO THEM
- 12 BY, THE COMMISSION.
- 13 (M) "HOME STATE" MEANS THE MEMBER STATE THAT IS THE LICENSEE'S
- 14 PRIMARY STATE OF RESIDENCE.
- 15 (N) "IMPAIRED PRACTITIONER" MEANS AN INDIVIDUAL WHOSE
- 16 PROFESSIONAL PRACTICE IS ADVERSELY AFFECTED BY SUBSTANCE ABUSE,
- 17 ADDICTION, OR OTHER HEALTH-RELATED CONDITIONS.
- 18 (O) "LICENSEE" MEANS AN INDIVIDUAL WHO CURRENTLY HOLDS AN
- 19 AUTHORIZATION FROM A STATE LICENSING BOARD TO PRACTICE AS AN
- 20 AUDIOLOGIST OR A SPEECH-LANGUAGE PATHOLOGIST.
- 21 (P) "MEMBER STATE" MEANS A STATE THAT HAS ENACTED THE COMPACT.
- 22 (Q) "PRIVILEGE TO PRACTICE" MEANS A LEGAL AUTHORIZATION
- 23 AUTHORIZING THE PRACTICE OF AUDIOLOGY OR SPEECH-LANGUAGE PATHOLOGY
- 24 IN A REMOTE STATE.
- 25 (R) "REMOTE STATE" MEANS A MEMBER STATE OTHER THAN THE HOME
- 26 STATE WHERE A LICENSEE IS EXERCISING OR SEEKING TO EXERCISE THE COMPACT
- 27 PRIVILEGE.
- 28 (S) "RULE" MEANS A REGULATION, PRINCIPLE, OR DIRECTIVE ADOPTED BY
- 29 THE COMMISSION THAT HAS THE FORCE OF LAW.
- 30 (T) "SINGLE-STATE LICENSE" MEANS AN AUDIOLOGY OR
- 31 SPEECH-LANGUAGE PATHOLOGY LICENSE ISSUED BY A MEMBER STATE THAT
- 32 AUTHORIZES PRACTICE ONLY WITHIN THE ISSUING STATE AND DOES NOT INCLUDE

- 1 A PRIVILEGE TO PRACTICE IN ANY OTHER MEMBER STATE.
- 2 (U) "SPEECH-LANGUAGE PATHOLOGIST" MEANS AN INDIVIDUAL WHO IS 3 LICENSED BY A STATE TO PRACTICE SPEECH-LANGUAGE PATHOLOGY.
- 4 (V) "SPEECH-LANGUAGE PATHOLOGY" MEANS THE CARE AND SERVICES
- 5 PROVIDED BY A LICENSED SPEECH–LANGUAGE PATHOLOGIST AS SET FORTH IN THE
- 6 MEMBER STATE'S STATUTES AND RULES.
- 7 (W) "STATE" MEANS ANY STATE, COMMONWEALTH, DISTRICT, OR
- 8 TERRITORY OF THE UNITED STATES OF AMERICA THAT REGULATES THE PRACTICE
- 9 OF AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY.
- 10 (X) "STATE PRACTICE LAWS" MEANS THE LAWS, RULES, AND REGULATIONS
- 11 OF A MEMBER STATE THAT GOVERN THE PRACTICE OF AUDIOLOGY OR
- 12 SPEECH-LANGUAGE PATHOLOGY, DEFINE THE SCOPE OF AUDIOLOGY OR
- 13 SPEECH-LANGUAGE PATHOLOGY PRACTICE, AND CREATE THE METHODS AND
- 14 GROUNDS FOR IMPOSING DISCIPLINE.
- 15 (Y) "TELEHEALTH" MEANS THE APPLICATION OF TELECOMMUNICATION
- 16 TECHNOLOGY TO DELIVER AUDIOLOGY OR SPEECH-LANGUAGE PATHOLOGY
- 17 SERVICES AT A DISTANCE FOR ASSESSMENT, INTERVENTION, OR CONSULTATION.

18 SECTION 3. STATE PARTICIPATION IN THE COMPACT

- 19 (A) A LICENSE ISSUED TO AN AUDIOLOGIST OR A SPEECH-LANGUAGE
- 20 PATHOLOGIST BY A HOME STATE TO A RESIDENT IN THAT STATE SHALL BE
- 21 RECOGNIZED BY EACH MEMBER STATE AS AUTHORIZING AN AUDIOLOGIST OR
- 22 A SPEECH-LANGUAGE PATHOLOGIST TO PRACTICE AUDIOLOGY OR
- 23 SPEECH-LANGUAGE PATHOLOGY, UNDER A PRIVILEGE TO PRACTICE, IN EACH
- 24 MEMBER STATE.
- 25 (B) (1) A STATE MUST IMPLEMENT OR USE PROCEDURES FOR
- 26 CONSIDERING THE CRIMINAL HISTORY RECORDS OF APPLICANTS FOR INITIAL
- 27 PRIVILEGE TO PRACTICE. THESE PROCEDURES SHALL INCLUDE THE SUBMISSION
- 28 OF FINGERPRINTS OR OTHER BIOMETRIC-BASED INFORMATION BY APPLICANTS
- 29 FOR THE PURPOSE OF OBTAINING AN APPLICANT'S CRIMINAL HISTORY RECORD
- 30 INFORMATION FROM THE FEDERAL BUREAU OF INVESTIGATION AND THE AGENCY
- 31 RESPONSIBLE FOR RETAINING THAT STATE'S CRIMINAL RECORDS.
- 32 (2) A MEMBER STATE MUST FULLY IMPLEMENT A CRIMINAL
- 33 BACKGROUND CHECK REQUIREMENT, WITHIN A TIME FRAME ESTABLISHED BY
- 34 RULE, BY RECEIVING THE RESULTS OF THE FEDERAL BUREAU OF INVESTIGATION

- RECORD SEARCH ON CRIMINAL BACKGROUND CHECKS AND USE THE RESULTS IN 1
- 2 MAKING LICENSURE DECISIONS.
- 3 COMMUNICATION BETWEEN A MEMBER STATE, THE COMMISSION,
- AND AMONG MEMBER STATES REGARDING THE VERIFICATION OF ELIGIBILITY FOR 4
- LICENSURE THROUGH THE COMPACT MAY NOT INCLUDE ANY INFORMATION 5
- RECEIVED FROM THE FEDERAL BUREAU OF INVESTIGATION RELATING TO A 6
- FEDERAL CRIMINAL RECORDS CHECK PERFORMED BY A MEMBER STATE UNDER
- 8 **PUBLIC LAW 92–544.**
- 9 ON APPLICATION FOR A PRIVILEGE TO PRACTICE, THE LICENSING
- BOARD IN THE ISSUING REMOTE STATE SHALL ASCERTAIN, THROUGH THE DATA 10
- SYSTEM, WHETHER THE APPLICANT HAS EVER HELD, OR IS THE HOLDER OF, A 11
- LICENSE ISSUED BY ANY OTHER STATE, WHETHER THERE ARE ANY ENCUMBRANCES 12
- 13 ON ANY LICENSE OR PRIVILEGE TO PRACTICE HELD BY THE APPLICANT, AND
- 14 WHETHER ANY ADVERSE ACTION HAS BEEN TAKEN AGAINST ANY LICENSE OR
- 15 PRIVILEGE TO PRACTICE HELD BY THE APPLICANT.
- 16 EACH MEMBER STATE SHALL REQUIRE AN APPLICANT TO OBTAIN OR
- RETAIN A LICENSE IN THE HOME STATE AND MEET THE HOME STATE'S 17
- 18 QUALIFICATIONS FOR LICENSURE OR RENEWAL OF LICENSURE, AS WELL AS ALL
- 19 OTHER APPLICABLE STATE LAWS.
- 20 **(E)** AN AUDIOLOGIST MUST:
- 21 **(1)** MEET ONE OF THE FOLLOWING EDUCATIONAL REQUIREMENTS:
- 22**(I)** ON OR BEFORE DECEMBER 31, 2007, HAVE GRADUATED
- WITH A MASTER'S DEGREE OR DOCTORATE IN AUDIOLOGY, OR EQUIVALENT DEGREE 23
- REGARDLESS OF DEGREE NAME, FROM A PROGRAM THAT IS ACCREDITED BY AN 24
- ACCREDITING AGENCY RECOGNIZED BY THE COUNCIL FOR HIGHER EDUCATION 25
- ACCREDITATION, OR ITS SUCCESSOR, OR BY THE UNITED STATES DEPARTMENT OF 26
- 27 EDUCATION AND OPERATED BY A COLLEGE OR UNIVERSITY ACCREDITED BY A
- 28 REGIONAL OR NATIONAL ACCREDITING ORGANIZATION RECOGNIZED BY A STATE
- 29 LICENSING BOARD;
- 30 ON OR AFTER JANUARY 1, 2008, HAVE GRADUATED WITH A
- DOCTORAL DEGREE IN AUDIOLOGY, OR EQUIVALENT DEGREE, REGARDLESS OF 31
- 32 DEGREE NAME, FROM A PROGRAM THAT IS ACCREDITED BY AN ACCREDITING
- AGENCY RECOGNIZED BY THE COUNCIL FOR HIGHER EDUCATION ACCREDITATION, 33
- OR ITS SUCCESSOR, OR BY THE UNITED STATES DEPARTMENT OF EDUCATION AND 34
- OPERATED BY A COLLEGE OR UNIVERSITY ACCREDITED BY A REGIONAL OR 35
- 36 NATIONAL ACCREDITING ORGANIZATION RECOGNIZED BY A STATE LICENSING

- 1 BOARD; OR
- 2 (III) HAVE GRADUATED FROM AN AUDIOLOGY PROGRAM THAT IS
- 3 HOUSED IN AN INSTITUTION OF HIGHER EDUCATION OUTSIDE THE UNITED STATES:
- 4 1. FOR WHICH THE PROGRAM AND INSTITUTION HAVE
- 5 BEEN APPROVED BY THE AUTHORIZED ACCREDITING BODY IN THE APPLICABLE
- 6 COUNTRY; AND
- 7 WHOSE DEGREE PROGRAM HAS BEEN VERIFIED BY AN
- 8 INDEPENDENT CREDENTIALS REVIEW AGENCY TO BE COMPARABLE TO A STATE
- 9 LICENSING BOARD-APPROVED PROGRAM;
- 10 (2) HAVE COMPLETED A SUPERVISED CLINICAL PRACTICUM
- 11 EXPERIENCE FROM AN ACCREDITED EDUCATIONAL INSTITUTION OR ITS
- 12 COOPERATING PROGRAMS AS REQUIRED BY A LICENSING BOARD;
- 13 (3) HAVE SUCCESSFULLY PASSED A NATIONAL EXAMINATION
- 14 APPROVED BY THE COMMISSION;
- 15 (4) HOLD AN ACTIVE, UNENCUMBERED LICENSE;
- 16 (5) HAVE NOT BEEN CONVICTED OR FOUND GUILTY OF, AND HAVE
- 17 NOT ENTERED INTO AN AGREED DISPOSITION REGARDING, A FELONY RELATED TO
- 18 THE PRACTICE OF AUDIOLOGY, UNDER APPLICABLE STATE OR FEDERAL CRIMINAL
- 19 LAW; AND
- 20 (6) HAVE A VALID UNITED STATES SOCIAL SECURITY OR NATIONAL
- 21 PRACTITIONER IDENTIFICATION NUMBER.
- 22 (F) A SPEECH-LANGUAGE PATHOLOGIST MUST:
- 23 (1) MEET ONE OF THE FOLLOWING EDUCATIONAL REQUIREMENTS:
- 24 (I) HAVE GRADUATED WITH A MASTER'S DEGREE FROM A
- 25 SPEECH-LANGUAGE PATHOLOGY PROGRAM THAT IS ACCREDITED BY AN
- 26 ORGANIZATION RECOGNIZED BY THE UNITED STATES DEPARTMENT OF EDUCATION
- 27 AND OPERATED BY A COLLEGE OR UNIVERSITY ACCREDITED BY A REGIONAL OR
- 28 NATIONAL ACCREDITING ORGANIZATION RECOGNIZED BY A LICENSING BOARD; OR
- 29 (II) HAVE GRADUATED FROM A SPEECH-LANGUAGE
- 30 PATHOLOGY PROGRAM THAT IS HOUSED IN AN INSTITUTION OF HIGHER EDUCATION
- 31 OUTSIDE THE UNITED STATES:

- 1. FOR WHICH THE PROGRAM AND INSTITUTION HAVE
- 2 BEEN APPROVED BY THE AUTHORIZED ACCREDITING BODY IN THE APPLICABLE
- 3 COUNTRY; AND
- 4 WHOSE DEGREE PROGRAM HAS BEEN VERIFIED BY AN
- 5 INDEPENDENT CREDENTIALS REVIEW AGENCY TO BE COMPARABLE TO A STATE
- 6 LICENSING BOARD-APPROVED PROGRAM;
- 7 (2) HAVE COMPLETED A SUPERVISED CLINICAL PRACTICUM
- 8 EXPERIENCE FROM AN EDUCATIONAL INSTITUTION OR ITS COOPERATING
- 9 PROGRAMS AS REQUIRED BY THE COMMISSION;
- 10 (3) HAVE COMPLETED A SUPERVISED POSTGRADUATE
- 11 PROFESSIONAL EXPERIENCE AS REQUIRED BY THE COMMISSION;
- 12 (4) HAVE SUCCESSFULLY PASSED A NATIONAL EXAMINATION
- 13 APPROVED BY THE COMMISSION;
- 14 (5) HOLD AN ACTIVE, UNENCUMBERED LICENSE;
- 15 (6) HAVE NOT BEEN CONVICTED OR FOUND GUILTY OF, AND HAVE
- 16 NOT ENTERED INTO AN AGREED DISPOSITION REGARDING, A FELONY RELATED TO
- 17 THE PRACTICE OF SPEECH-LANGUAGE PATHOLOGY, UNDER APPLICABLE STATE OR
- 18 FEDERAL CRIMINAL LAW; AND
- 19 (7) HAVE A VALID UNITED STATES SOCIAL SECURITY OR NATIONAL
- 20 PRACTITIONER IDENTIFICATION NUMBER.
- 21 (G) THE PRIVILEGE TO PRACTICE IS DERIVED FROM THE HOME STATE
- 22 LICENSE.
- 23 (H) AN AUDIOLOGIST OR A SPEECH-LANGUAGE PATHOLOGIST PRACTICING
- 24 IN A MEMBER STATE MUST COMPLY WITH THE STATE PRACTICE LAWS OF THE STATE
- 25 IN WHICH THE PATIENT IS LOCATED AT THE TIME SERVICE IS PROVIDED. THE
- 26 PRACTICE OF AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY SHALL INCLUDE
- 20 TRACTICE OF ACDIOLOGI AND STEECH LANGUAGE TATHOLOGI SHALL INCLUDE
- 27 ALL AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY PRACTICE AS DEFINED BY
- 28 THE STATE PRACTICE LAWS OF THE MEMBER STATE IN WHICH THE PATIENT IS
- 29 LOCATED. THE PRACTICE OF AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY IN
- $30\,$ A MEMBER STATE UNDER A PRIVILEGE TO PRACTICE SHALL SUBJECT AN
- 31 AUDIOLOGIST OR A SPEECH-LANGUAGE PATHOLOGIST TO THE JURISDICTION OF
- 32 THE LICENSING BOARD, THE COURTS, AND THE LAWS OF THE MEMBER STATE IN
- 33 WHICH THE PATIENT IS LOCATED AT THE TIME SERVICE IS PROVIDED.

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1	(I) INDIVIDUALS NOT RESIDING IN A MEMBER STATE SHALL CONTINUE TO
2	BE ABLE TO APPLY FOR A MEMBER STATE'S SINGLE-STATE LICENSE AS PROVIDED
3	UNDER THE LAWS OF EACH MEMBER STATE. HOWEVER, THE SINGLE-STATE LICENSE
4	GRANTED TO THESE INDIVIDUALS MAY NOT BE RECOGNIZED AS GRANTING THE
5	PRIVILEGE TO PRACTICE AUDIOLOGY OR SPEECH-LANGUAGE PATHOLOGY IN ANY
6	OTHER MEMBER STATE. NOTHING IN THIS COMPACT SHALL AFFECT THE
7	REQUIREMENTS ESTABLISHED BY A MEMBER STATE FOR THE ISSUANCE OF A

- 8 SINGLE-STATE LICENSE.
- 9 (J) MEMBER STATES MAY CHARGE A FEE FOR GRANTING A COMPACT 10 PRIVILEGE.
- 11 (K) MEMBER STATES MUST COMPLY WITH THE BYLAWS AND RULES AND 12 REGULATIONS OF THE COMMISSION.

SECTION 4. COMPACT PRIVILEGE

- 14 (A) TO EXERCISE THE COMPACT PRIVILEGE UNDER THE TERMS AND 15 PROVISIONS OF THE COMPACT, THE AUDIOLOGIST OR SPEECH-LANGUAGE 16 PATHOLOGIST SHALL:
- 17 (1) HOLD AN ACTIVE LICENSE IN THE HOME STATE;
- 18 (2) HAVE NO ENCUMBRANCE ON ANY STATE LICENSE;
- 19 (3) BE ELIGIBLE FOR A COMPACT PRIVILEGE IN ANY MEMBER STATE 20 IN ACCORDANCE WITH SECTION 3;
- 21 (4) HAVE NOT HAD ANY ADVERSE ACTION AGAINST ANY LICENSE OR 22 COMPACT PRIVILEGE WITHIN THE PREVIOUS 2 YEARS FROM DATE OF APPLICATION;
- 23 (5) NOTIFY THE COMMISSION THAT THE LICENSEE IS SEEKING THE 24 COMPACT PRIVILEGE WITHIN A REMOTE STATE;
- 25 (6) PAY ANY APPLICABLE FEES, INCLUDING ANY STATE FEE, FOR THE 26 COMPACT PRIVILEGE; AND
- 27 (7) REPORT TO THE COMMISSION ADVERSE ACTION TAKEN BY ANY 28 NONMEMBER STATE WITHIN 30 DAYS FROM THE DATE THE ADVERSE ACTION IS 29 TAKEN.
 - (B) FOR THE PURPOSES OF THE COMPACT PRIVILEGE, AN AUDIOLOGIST OR

- 1 A SPEECH-LANGUAGE PATHOLOGIST MAY HOLD ONLY ONE HOME STATE LICENSE AT 2 A TIME.
- 3 (C) EXCEPT AS PROVIDED IN SECTION 6, IF AN AUDIOLOGIST OR 4 A SPEECH-LANGUAGE PATHOLOGIST CHANGES PRIMARY STATE OF RESIDENCE BY
- 4 A SPEECH-LANGUAGE PATHOLOGIST CHANGES PRIMARY STATE OF RESIDENCE BY 5 MOVING BETWEEN TWO MEMBER STATES, THE AUDIOLOGIST OR
- 6 SPEECH-LANGUAGE PATHOLOGIST MUST APPLY FOR LICENSURE IN THE NEW HOME
- 7 STATE, AND THE LICENSE ISSUED BY THE PRIOR HOME STATE SHALL BE
- 8 DEACTIVATED IN ACCORDANCE WITH APPLICABLE RULES ADOPTED BY THE
- 9 COMMISSION.
- 10 (D) THE AUDIOLOGIST OR SPEECH-LANGUAGE PATHOLOGIST MAY APPLY 11 FOR LICENSURE IN ADVANCE OF A CHANGE IN PRIMARY STATE OF RESIDENCE.
- 12 (E) A LICENSE MAY NOT BE ISSUED BY THE NEW HOME STATE UNTIL THE
- 13 AUDIOLOGIST OR SPEECH-LANGUAGE PATHOLOGIST PROVIDES SATISFACTORY
- 14 EVIDENCE OF A CHANGE IN PRIMARY STATE OF RESIDENCE TO THE NEW HOME
- 15 STATE AND SATISFIES ALL APPLICABLE REQUIREMENTS TO OBTAIN A LICENSE
- 16 FROM THE NEW HOME STATE.
- 17 (F) IF AN AUDIOLOGIST OR A SPEECH-LANGUAGE PATHOLOGIST CHANGES
- 18 PRIMARY STATE OF RESIDENCE BY MOVING FROM A MEMBER STATE TO A
- 19 NONMEMBER STATE, THE LICENSE ISSUED BY THE PRIOR HOME STATE SHALL
- 20 CONVERT TO A SINGLE-STATE LICENSE, VALID ONLY IN THE FORMER HOME STATE.
- 21 (G) THE COMPACT PRIVILEGE IS VALID UNTIL THE EXPIRATION DATE OF
- 22 THE HOME STATE LICENSE. THE LICENSEE MUST COMPLY WITH THE
- 23 REQUIREMENTS OF SUBSECTION (A) OF THIS SECTION TO MAINTAIN THE COMPACT
- 24 PRIVILEGE IN THE REMOTE STATE.
- 25 (H) A LICENSEE PROVIDING AUDIOLOGY OR SPEECH-LANGUAGE
- 26 PATHOLOGY SERVICES IN A REMOTE STATE UNDER THE COMPACT PRIVILEGE SHALL
- 27 FUNCTION WITHIN THE LAWS AND REGULATIONS OF THE REMOTE STATE.
- 28 (I) A LICENSEE PROVIDING AUDIOLOGY OR SPEECH-LANGUAGE
- 29 PATHOLOGY SERVICES IN A REMOTE STATE IS SUBJECT TO THE REGULATORY
- 30 AUTHORITY OF THAT STATE. A REMOTE STATE MAY, IN ACCORDANCE WITH DUE
- 31 PROCESS AND THE LAWS OF THAT STATE, REMOVE A LICENSEE'S COMPACT
- 32 PRIVILEGE IN THE REMOTE STATE FOR A SPECIFIC PERIOD OF TIME, IMPOSE FINES,
- 33 OR TAKE ANY OTHER NECESSARY ACTIONS TO PROTECT THE HEALTH AND SAFETY
- 34 OF ITS CITIZENS.

(J) IF A HOME STATE LICENSE IS ENCUMBERED, THE LICENSEE SHALL LOSE

- 1 THE COMPACT PRIVILEGE IN ANY REMOTE STATE UNTIL THE FOLLOWING OCCUR:
- 2 (1) THE HOME STATE LICENSE IS NO LONGER ENCUMBERED; AND
- 3 (2) 2 YEARS HAVE ELAPSED FROM THE DATE OF THE ADVERSE 4 ACTION.
- 5 (K) ONCE AN ENCUMBERED LICENSE IN THE HOME STATE IS RESTORED TO GOOD STANDING, THE LICENSEE MUST MEET THE REQUIREMENTS OF SUBSECTION 7 (A) OF THIS SECTION TO OBTAIN A COMPACT PRIVILEGE IN ANY REMOTE STATE.
- 8 (L) ONCE THE REQUIREMENTS OF SUBSECTION (J) OF THIS SECTION HAVE 9 BEEN MET, THE LICENSEE MUST MEET THE REQUIREMENTS IN SUBSECTION (A) OF 10 THIS SECTION TO OBTAIN A COMPACT PRIVILEGE IN A REMOTE STATE.

11 SECTION 5. COMPACT PRIVILEGE TO PRACTICE TELEHEALTH

- MEMBER STATES SHALL RECOGNIZE THE RIGHT OF AN AUDIOLOGIST OR A
 SPEECH-LANGUAGE PATHOLOGIST, LICENSED BY A HOME STATE IN ACCORDANCE
 WITH SECTION 3 AND UNDER RULES PROMULGATED BY THE COMMISSION, TO
 PRACTICE AUDIOLOGY OR SPEECH-LANGUAGE PATHOLOGY IN ANY MEMBER STATE
 VIA TELEHEALTH UNDER A PRIVILEGE TO PRACTICE AS PROVIDED IN THE COMPACT
- 17 AND RULES PROMULGATED BY THE COMMISSION.

18 SECTION 6. ACTIVE DUTY MILITARY PERSONNEL OR THEIR 19 SPOUSES

ACTIVE DUTY MILITARY PERSONNEL, OR THEIR SPOUSES, SHALL DESIGNATE
A HOME STATE WHERE THE INDIVIDUAL HAS A CURRENT LICENSE IN GOOD
STANDING. THE INDIVIDUAL MAY RETAIN THE HOME STATE DESIGNATION DURING
THE PERIOD THE SERVICE MEMBER IS ON ACTIVE DUTY. SUBSEQUENT TO
DESIGNATING A HOME STATE, THE INDIVIDUAL MAY CHANGE THE HOME STATE OF
THE INDIVIDUAL ONLY THROUGH APPLICATION FOR LICENSURE IN THE NEW STATE.

SECTION 7. ADVERSE ACTIONS

- 27 (A) (1) IN ADDITION TO THE OTHER POWERS CONFERRED BY STATE LAW, 28 A REMOTE STATE SHALL HAVE THE AUTHORITY, IN ACCORDANCE WITH EXISTING 29 STATE DUE PROCESS LAW, TO:
- 30 (I) TAKE ADVERSE ACTION AGAINST AN AUDIOLOGIST'S OR A 31 SPEECH-LANGUAGE PATHOLOGIST'S PRIVILEGE TO PRACTICE WITHIN THAT 32 MEMBER STATE; AND

- 1 (II) ISSUE SUBPOENAS FOR BOTH HEARINGS AND 2 INVESTIGATIONS THAT REQUIRE THE ATTENDANCE AND TESTIMONY OF WITNESSES 3 AS WELL AS THE PRODUCTION OF EVIDENCE.
- SUBPOENAS ISSUED BY A LICENSING BOARD IN A MEMBER STATE **(2)** 4 5 FOR THE ATTENDANCE AND TESTIMONY OF WITNESSES OR THE PRODUCTION OF 6 EVIDENCE FROM ANOTHER MEMBER STATE SHALL BE ENFORCED IN THE LATTER 7 STATE BY ANY COURT OF COMPETENT JURISDICTION, ACCORDING TO THE PRACTICE AND PROCEDURE OF THAT COURT APPLICABLE TO SUBPOENAS ISSUED IN 8 PROCEEDINGS PENDING BEFORE IT. THE ISSUING AUTHORITY SHALL PAY ANY 9 WITNESS FEES, TRAVEL EXPENSES, MILEAGE, AND OTHER FEES REQUIRED BY THE 10 SERVICE STATUTES OF THE STATE IN WHICH THE WITNESSES OR EVIDENCE ARE 11 12LOCATED.
- 13 (3) ONLY THE HOME STATE SHALL HAVE THE POWER TO TAKE 14 ADVERSE ACTION AGAINST AN AUDIOLOGIST'S OR A SPEECH-LANGUAGE 15 PATHOLOGIST'S LICENSE ISSUED BY THE HOME STATE.
- 16 (B) FOR PURPOSES OF TAKING ADVERSE ACTION, THE HOME STATE SHALL
 17 GIVE THE SAME PRIORITY AND EFFECT TO REPORTED CONDUCT RECEIVED FROM A
 18 MEMBER STATE AS IT WOULD IF THE CONDUCT HAD OCCURRED WITHIN THE HOME
 19 STATE. IN SO DOING, THE HOME STATE SHALL APPLY ITS OWN STATE LAWS TO
 20 DETERMINE APPROPRIATE ACTION.
- 21 (C) THE HOME STATE SHALL COMPLETE ANY PENDING INVESTIGATIONS OF
 22 AN AUDIOLOGIST OR A SPEECH-LANGUAGE PATHOLOGIST WHO CHANGES PRIMARY
 23 STATE OF RESIDENCE DURING THE COURSE OF THE INVESTIGATIONS. THE HOME
 24 STATE SHALL ALSO HAVE THE AUTHORITY TO TAKE APPROPRIATE ACTION AND
 25 SHALL PROMPTLY REPORT THE CONCLUSIONS OF THE INVESTIGATIONS TO THE
 26 ADMINISTRATOR OF THE DATA SYSTEM. THE ADMINISTRATOR OF THE DATA SYSTEM
 27 SHALL PROMPTLY NOTIFY THE NEW HOME STATE OF ANY ADVERSE ACTIONS.
- (D) IF OTHERWISE AUTHORIZED BY STATE LAW, THE HOME STATE MAY RECOVER FROM THE AFFECTED AUDIOLOGIST OR SPEECH-LANGUAGE PATHOLOGIST THE COSTS OF INVESTIGATIONS AND DISPOSITION OF CASES RESULTING FROM ANY ADVERSE ACTION TAKEN AGAINST THAT AUDIOLOGIST OR SPEECH-LANGUAGE PATHOLOGIST.
- 33 (E) THE HOME STATE SHALL TAKE ADVERSE ACTION BASED ON THE 34 FACTUAL FINDINGS OF THE REMOTE STATE, PROVIDED THAT THE HOME STATE 55 FOLLOWS ITS OWN PROCEDURES FOR TAKING THE ADVERSE ACTION.

- 1 (F) (1) IN ADDITION TO THE AUTHORITY GRANTED TO A MEMBER STATE
- $2\quad \text{BY ITS RESPECTIVE AUDIOLOGY OR SPEECH-LANGUAGE PATHOLOGY PRACTICE ACT}$
- 3 OR OTHER APPLICABLE STATE LAW, ANY MEMBER STATE MAY PARTICIPATE WITH
- 4 OTHER MEMBER STATES IN JOINT INVESTIGATIONS OF LICENSEES.
- 5 (2) MEMBER STATES SHALL SHARE ANY INVESTIGATIVE, LITIGATION,
- 6 OR COMPLIANCE MATERIALS IN FURTHERANCE OF ANY JOINT OR INDIVIDUAL
- 7 INVESTIGATION INITIATED UNDER THE COMPACT.
- 8 (G) IF ADVERSE ACTION IS TAKEN BY THE HOME STATE AGAINST AN
- 9 AUDIOLOGIST'S OR A SPEECH-LANGUAGE PATHOLOGIST'S LICENSE, THE
- 10 AUDIOLOGIST'S OR SPEECH-LANGUAGE PATHOLOGIST'S PRIVILEGE TO PRACTICE IN
- 11 ALL OTHER MEMBER STATES SHALL BE DEACTIVATED UNTIL ALL ENCUMBRANCES
- 12 HAVE BEEN REMOVED FROM THE STATE LICENSE. ALL HOME STATE DISCIPLINARY
- 13 ORDERS THAT IMPOSE ADVERSE ACTION AGAINST AN AUDIOLOGIST'S OR
- 14 A SPEECH-LANGUAGE PATHOLOGIST'S LICENSE SHALL INCLUDE A STATEMENT
- 15 THAT THE AUDIOLOGIST'S OR SPEECH-LANGUAGE PATHOLOGIST'S PRIVILEGE TO
- 16 PRACTICE IS DEACTIVATED IN ALL MEMBER STATES DURING THE PENDENCY OF THE
- 17 ORDER.
- 18 (H) IF A MEMBER STATE TAKES ADVERSE ACTION, IT SHALL PROMPTLY
- 19 NOTIFY THE ADMINISTRATOR OF THE DATA SYSTEM. THE ADMINISTRATOR OF THE
- 20 DATA SYSTEM SHALL PROMPTLY NOTIFY THE HOME STATE OF ANY ADVERSE
- 21 ACTIONS BY REMOTE STATES.
- 22 (I) NOTHING IN THIS COMPACT SHALL OVERRIDE A MEMBER STATE'S
- 23 DECISION THAT PARTICIPATION IN AN ALTERNATIVE PROGRAM MAY BE USED IN
- 24 LIEU OF ADVERSE ACTION.
- 25 SECTION 8. ESTABLISHMENT OF THE AUDIOLOGY AND 26 SPEECH-LANGUAGE PATHOLOGY COMPACT COMMISSION
- 27 (A) (1) THE COMPACT MEMBER STATES HEREBY CREATE AND ESTABLISH
- 28 A JOINT PUBLIC AGENCY KNOWN AS THE AUDIOLOGY AND SPEECH-LANGUAGE
- 29 PATHOLOGY COMPACT COMMISSION.
- 30 (2) THE COMMISSION IS AN INSTRUMENTALITY OF THE COMPACT
- 31 STATES.
- 32 (3) VENUE IS PROPER AND JUDICIAL PROCEEDINGS BY OR AGAINST
- 33 THE COMMISSION SHALL BE BROUGHT SOLELY AND EXCLUSIVELY IN A COURT OF
- 34 COMPETENT JURISDICTION WHERE THE PRINCIPAL OFFICE OF THE COMMISSION IS
- 35 LOCATED. THE COMMISSION MAY WAIVE VENUE AND JURISDICTIONAL DEFENSES

- 1 TO THE EXTENT IT ADOPTS OR CONSENTS TO PARTICIPATE IN ALTERNATIVE
- 2 DISPUTE RESOLUTION PROCEEDINGS.
- 3 (4) NOTHING IN THIS COMPACT SHALL BE CONSTRUED TO BE A 4 WAIVER OF SOVEREIGN IMMUNITY.
- 5 (B) (1) EACH MEMBER STATE SHALL HAVE TWO DELEGATES SELECTED
- 6 BY THE LICENSING BOARD OF THAT MEMBER STATE. THE DELEGATES SHALL BE
- 7 CURRENT MEMBERS OF THE LICENSING BOARD. ONE SHALL BE AN AUDIOLOGIST
- 8 AND ONE SHALL BE A SPEECH-LANGUAGE PATHOLOGIST.
- 9 (2) AN ADDITIONAL FIVE DELEGATES, WHO ARE EITHER PUBLIC
- 10 MEMBERS OR BOARD ADMINISTRATORS FROM STATE LICENSING BOARDS, SHALL BE
- 11 CHOSEN BY THE EXECUTIVE COMMITTEE FROM A POOL OF NOMINEES PROVIDED BY
- 12 THE COMMISSION AT LARGE.
- 13 (3) ANY DELEGATE MAY BE REMOVED OR SUSPENDED FROM OFFICE
- 14 AS PROVIDED BY THE LAW OF THE STATE FROM WHICH THE DELEGATE IS
- 15 APPOINTED.
- 16 (4) THE MEMBER STATE BOARD SHALL FILL ANY VACANCY
- 17 OCCURRING ON THE COMMISSION WITHIN 90 DAYS.
- 18 (5) EACH DELEGATE SHALL BE ENTITLED TO ONE VOTE WITH
- 19 REGARD TO THE PROMULGATION OF RULES AND CREATION OF BYLAWS AND SHALL
- 20 OTHERWISE HAVE AN OPPORTUNITY TO PARTICIPATE IN THE BUSINESS AND
- 21 AFFAIRS OF THE COMMISSION.
- 22 (6) A DELEGATE SHALL VOTE IN PERSON OR BY OTHER MEANS AS
- 23 PROVIDED IN THE BYLAWS. THE BYLAWS MAY PROVIDE FOR THE PARTICIPATION OF
- 24 THE DELEGATES IN MEETINGS BY TELEPHONE OR OTHER MEANS OF
- 25 COMMUNICATION.
- 26 (7) THE COMMISSION SHALL MEET AT LEAST ONCE DURING EACH
- 27 CALENDAR YEAR. ADDITIONAL MEETINGS SHALL BE HELD AS SET FORTH IN THE
- 28 BYLAWS.
- 29 (C) THE COMMISSION SHALL HAVE THE FOLLOWING POWERS AND DUTIES:
- 30 (1) ESTABLISH THE FISCAL YEAR OF THE COMMISSION;
- 31 (2) ESTABLISH BYLAWS;

- 1 (3) ESTABLISH A CODE OF ETHICS;
- 2 (4) MAINTAIN ITS FINANCIAL RECORDS IN ACCORDANCE WITH THE
- 3 BYLAWS;
- 4 (5) MEET AND TAKE ACTIONS AS ARE CONSISTENT WITH THE
- 5 PROVISIONS OF THIS COMPACT AND THE BYLAWS;
- 6 (6) PROMULGATE UNIFORM RULES TO FACILITATE AND COORDINATE
- 7 IMPLEMENTATION AND ADMINISTRATION OF THIS COMPACT THAT SHALL HAVE THE
- 8 FORCE AND EFFECT OF LAW AND SHALL BE BINDING IN ALL MEMBER STATES;
- 9 (7) Bring and prosecute legal proceedings or actions in
- 10 THE NAME OF THE COMMISSION, PROVIDED THAT THE STANDING OF ANY STATE
- 11 AUDIOLOGY OR SPEECH-LANGUAGE PATHOLOGY LICENSING BOARD TO SUE OR BE
- 12 SUED UNDER APPLICABLE LAW SHALL NOT BE AFFECTED;
- 13 (8) PURCHASE AND MAINTAIN INSURANCE AND BONDS;
- 14 (9) BORROW, ACCEPT, OR CONTRACT FOR SERVICES OF PERSONNEL,
- 15 INCLUDING, BUT NOT LIMITED TO, EMPLOYEES OF A MEMBER STATE;
- 16 (10) HIRE EMPLOYEES, ELECT OR APPOINT OFFICERS, FIX
- 17 COMPENSATION, DEFINE DUTIES, GRANT INDIVIDUALS APPROPRIATE AUTHORITY
- 18 TO CARRY OUT THE PURPOSES OF THE COMPACT, AND ESTABLISH THE
- 19 COMMISSION'S PERSONNEL POLICIES AND PROGRAMS RELATING TO CONFLICTS OF
- 20 INTEREST, QUALIFICATIONS OF PERSONNEL, AND OTHER RELATED PERSONNEL
- 21 MATTERS;

- 22 (11) ACCEPT ANY AND ALL APPROPRIATE DONATIONS AND GRANTS OF
- 23 MONEY, EQUIPMENT, SUPPLIES, MATERIALS, AND SERVICES, AND RECEIVE, UTILIZE,
- 24 AND DISPOSE OF THE SAME, PROVIDED THAT AT ALL TIMES THE COMMISSION SHALL
- 25 AVOID ANY APPEARANCE OF IMPROPRIETY OR CONFLICT OF INTEREST;
- 26 (12) Lease, purchase, accept appropriate gifts or donations
- 27 OF, OR OTHERWISE OWN, HOLD, IMPROVE, OR USE ANY PROPERTY, REAL,
- 28 PERSONAL, OR MIXED, PROVIDED THAT AT ALL TIMES THE COMMISSION SHALL
- 29 AVOID ANY APPEARANCE OF IMPROPRIETY;
- 30 (13) SELL, CONVEY, MORTGAGE, PLEDGE, LEASE, EXCHANGE,
- 31 ABANDON, OR OTHERWISE DISPOSE OF ANY PROPERTY, REAL, PERSONAL, OR MIXED;
 - (14) ESTABLISH A BUDGET AND MAKE EXPENDITURES;

1	(15)	BORROW MONEY;

- 2 (16) APPOINT COMMITTEES, INCLUDING STANDING COMMITTEES
- 3 COMPOSED OF MEMBERS AND OTHER INTERESTED PERSONS AS MAY BE
- 4 DESIGNATED IN THIS COMPACT AND THE BYLAWS:
- 5 (17) PROVIDE AND RECEIVE INFORMATION FROM, AND COOPERATE
- 6 WITH, LAW ENFORCEMENT AGENCIES;
- 7 (18) ESTABLISH AND ELECT AN EXECUTIVE COMMITTEE; AND
- 8 (19) PERFORM OTHER FUNCTIONS AS MAY BE NECESSARY OR
- 9 APPROPRIATE TO ACHIEVE THE PURPOSES OF THIS COMPACT CONSISTENT WITH
- 10 STATE REGULATION OF AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY
- 11 LICENSURE AND PRACTICE.
- 12 (D) (1) THE EXECUTIVE COMMITTEE SHALL HAVE THE POWER TO ACT ON
- 13 BEHALF OF THE COMMISSION ACCORDING TO THE TERMS OF THIS COMPACT.
- 14 (2) THE EXECUTIVE COMMITTEE SHALL BE COMPOSED OF THE
- 15 FOLLOWING MEMBERS:
- 16 (I) SEVEN VOTING MEMBERS WHO ARE ELECTED BY THE
- 17 COMMISSION FROM THE CURRENT MEMBERSHIP OF THE COMMISSION;
- 18 (II) TWO EX OFFICIO MEMBERS, CONSISTING OF ONE
- 19 NONVOTING MEMBER FROM A RECOGNIZED NATIONAL AUDIOLOGY PROFESSIONAL
- 20 ASSOCIATION AND ONE NONVOTING MEMBER FROM A RECOGNIZED NATIONAL
- 21 SPEECH-LANGUAGE PATHOLOGY ASSOCIATION; AND
- 22 (III) ONE EX OFFICIO, NONVOTING MEMBER FROM THE
- 23 RECOGNIZED MEMBERSHIP ORGANIZATION OF THE AUDIOLOGY OR
- 24 SPEECH-LANGUAGE PATHOLOGY LICENSING BOARDS.
- 25 (3) THE EX OFFICIO MEMBERS SHALL BE SELECTED BY THEIR
- 26 RESPECTIVE ORGANIZATIONS.
- 27 (E) (1) THE COMMISSION MAY REMOVE ANY MEMBER OF THE EXECUTIVE
- 28 COMMITTEE AS PROVIDED IN THE BYLAWS.
- 29 (2) THE EXECUTIVE COMMITTEE SHALL MEET AT LEAST ANNUALLY.

- 1 (3) THE EXECUTIVE COMMITTEE SHALL HAVE THE FOLLOWING 2 DUTIES AND RESPONSIBILITIES:
- 3 (I) RECOMMEND TO THE ENTIRE COMMISSION CHANGES TO
- 4 THE RULES OR BYLAWS, CHANGES TO THIS COMPACT LEGISLATION, FEES PAID BY
- 5 COMPACT MEMBER STATES, SUCH AS ANNUAL DUES, AND ANY COMMISSION
- 6 COMPACT FEE CHARGED TO LICENSEES FOR THE COMPACT PRIVILEGE;
- 7 (II) ENSURE COMPACT ADMINISTRATION SERVICES ARE 8 APPROPRIATELY PROVIDED, CONTRACTUAL OR OTHERWISE;
- 9 (III) PREPARE AND RECOMMEND THE BUDGET;
- 10 (IV) MAINTAIN FINANCIAL RECORDS ON BEHALF OF THE
- 11 COMMISSION;
- 12 (V) MONITOR COMPACT COMPLIANCE OF MEMBER STATES AND
- 13 PROVIDE COMPLIANCE REPORTS TO THE COMMISSION;
- 14 (VI) ESTABLISH ADDITIONAL COMMITTEES AS NECESSARY; AND
- 15 (VII) OTHER DUTIES AS PROVIDED IN RULES OR BYLAWS.
- 16 (4) ALL MEETINGS SHALL BE OPEN TO THE PUBLIC, AND PUBLIC
- 17 NOTICE OF MEETINGS SHALL BE GIVEN IN THE SAME MANNER AS REQUIRED UNDER
- 18 THE RULEMAKING PROVISIONS IN SECTION 10.
- 19 (5) THE COMMISSION, THE EXECUTIVE COMMITTEE, OR OTHER
- 20 COMMITTEES OF THE COMMISSION MAY CONVENE IN A CLOSED, NONPUBLIC
- 21 MEETING IF THE COMMISSION OR EXECUTIVE COMMITTEE OR OTHER COMMITTEES
- 22 OF THE COMMISSION MUST DISCUSS:
- 23 (I) NONCOMPLIANCE OF A MEMBER STATE WITH ITS
- 24 OBLIGATIONS UNDER THE COMPACT;
- 25 (II) THE EMPLOYMENT, COMPENSATION, DISCIPLINE OR OTHER
- 26 MATTERS, PRACTICES OR PROCEDURES RELATED TO SPECIFIC EMPLOYEES, OR
- 27 OTHER MATTERS RELATED TO THE COMMISSION'S INTERNAL PERSONNEL
- 28 PRACTICES AND PROCEDURES;
- 29 (III) CURRENT, THREATENED, OR REASONABLY ANTICIPATED
- 30 LITIGATION;

- 1 (IV) NEGOTIATION OF CONTRACTS FOR THE PURCHASE, LEASE, 2 OR SALE OF GOODS, SERVICES, OR REAL ESTATE;
- 3 (V) ACCUSING ANY PERSON OF A CRIME OR FORMALLY 4 CENSURING ANY PERSON;
- 5 (VI) DISCLOSURE OF TRADE SECRETS OR COMMERCIAL OR 6 FINANCIAL INFORMATION THAT IS PRIVILEGED OR CONFIDENTIAL;
- 7 (VII) DISCLOSURE OF INFORMATION OF A PERSONAL NATURE 8 WHERE DISCLOSURE WOULD CONSTITUTE A CLEARLY UNWARRANTED INVASION OF 9 PERSONAL PRIVACY;
- 10 (VIII) DISCLOSURE OF INVESTIGATIVE RECORDS COMPILED FOR LAW ENFORCEMENT PURPOSES;
- 12 (IX) DISCLOSURE OF INFORMATION RELATED TO ANY
 13 INVESTIGATIVE REPORTS PREPARED BY OR ON BEHALF OF OR FOR USE OF THE
 14 COMMISSION OR OTHER COMMITTEE CHARGED WITH RESPONSIBILITY OF
 15 INVESTIGATION OR DETERMINATION OF COMPLIANCE ISSUES PURSUANT TO THE
 16 COMPACT; OR
- 17 (X) MATTERS SPECIFICALLY EXEMPTED FROM DISCLOSURE BY 18 FEDERAL OR MEMBER STATE STATUTE.
- 19 (6) If a meeting, or portion of a meeting, is closed in 20 Accordance with this provision, the Commission's legal counsel or 21 Designee shall certify that the meeting may be closed and shall 22 Reference each relevant exempting provision.
- 23 THE COMMISSION SHALL KEEP MINUTES THAT FULLY AND **(7)** 24CLEARLY DESCRIBE ALL MATTERS DISCUSSED IN A MEETING AND SHALL PROVIDE A 25FULL AND ACCURATE SUMMARY OF ACTIONS TAKEN, AND THE REASONS THEREFORE, INCLUDING A DESCRIPTION OF THE VIEWS EXPRESSED. ALL 26 27 DOCUMENTS CONSIDERED IN CONNECTION WITH AN ACTION SHALL BE IDENTIFIED IN MINUTES. ALL MINUTES AND DOCUMENTS OF A CLOSED MEETING SHALL REMAIN 28 UNDER SEAL, SUBJECT TO RELEASE BY A MAJORITY VOTE OF THE COMMISSION OR 29 30 ORDER OF A COURT OF COMPETENT JURISDICTION.
- 31 (8) (I) THE COMMISSION SHALL PAY, OR PROVIDE FOR THE 32 PAYMENT OF, THE REASONABLE EXPENSES OF ITS ESTABLISHMENT, ORGANIZATION, 33 AND ONGOING ACTIVITIES.

- 1 (II) THE COMMISSION MAY ACCEPT ANY AND ALL APPROPRIATE
- 2 REVENUE SOURCES, DONATIONS, AND GRANTS OF MONEY, EQUIPMENT, SUPPLIES,
- 3 MATERIALS, AND SERVICES.
- 4 (III) THE COMMISSION MAY LEVY ON AND COLLECT AN ANNUAL
- 5 ASSESSMENT FROM EACH MEMBER STATE OR IMPOSE FEES ON OTHER PARTIES TO
- 6 COVER THE COST OF THE OPERATIONS AND ACTIVITIES OF THE COMMISSION AND
- 7 ITS STAFF, WHICH MUST BE IN A TOTAL AMOUNT SUFFICIENT TO COVER ITS ANNUAL
- 8 BUDGET AS APPROVED EACH YEAR FOR WHICH REVENUE IS NOT PROVIDED BY
- 9 OTHER SOURCES. THE AGGREGATE ANNUAL ASSESSMENT AMOUNT SHALL BE
- 10 ALLOCATED BASED ON A FORMULA TO BE DETERMINED BY THE COMMISSION,
- 11 WHICH SHALL PROMULGATE A RULE BINDING ON ALL MEMBER STATES.
- 12 (9) THE COMMISSION MAY NOT INCUR OBLIGATIONS OF ANY KIND
- 13 PRIOR TO SECURING THE FUNDS ADEQUATE TO MEET THE SAME, NOR SHALL THE
- 14 COMMISSION PLEDGE THE CREDIT OF ANY OF THE MEMBER STATES, EXCEPT BY AND
- 15 WITH THE AUTHORITY OF THE MEMBER STATE.
- 16 (10) THE COMMISSION SHALL KEEP ACCURATE ACCOUNTS OF ALL
- 17 RECEIPTS AND DISBURSEMENTS. THE RECEIPTS AND DISBURSEMENTS OF THE
- 18 COMMISSION SHALL BE SUBJECT TO THE AUDIT AND ACCOUNTING PROCEDURES
- 19 ESTABLISHED UNDER ITS BYLAWS. HOWEVER, ALL RECEIPTS AND DISBURSEMENTS
- 20 OF FUNDS HANDLED BY THE COMMISSION SHALL BE AUDITED YEARLY BY A
- 21 CERTIFIED OR LICENSED PUBLIC ACCOUNTANT, AND THE REPORT OF THE AUDIT
- 22 SHALL BE INCLUDED IN AND BECOME PART OF THE ANNUAL REPORT OF THE
- 23 COMMISSION.
- 24 (F) (1) THE MEMBERS, OFFICERS, EXECUTIVE DIRECTOR, EMPLOYEES,
- 25 AND REPRESENTATIVES OF THE COMMISSION SHALL BE IMMUNE FROM SUIT AND
- 26 LIABILITY, EITHER PERSONALLY OR IN THEIR OFFICIAL CAPACITY, FOR ANY CLAIM
- 27 FOR DAMAGE TO OR LOSS OF PROPERTY OR PERSONAL INJURY OR OTHER CIVIL
- 28 LIABILITY CAUSED BY OR ARISING OUT OF ANY ACTUAL OR ALLEGED ACT, ERROR,
- 29 OR OMISSION THAT OCCURRED, OR THAT THE PERSON AGAINST WHOM THE CLAIM
- 25 OR OMESSION THAT OCCURRED, OR THAT THE PERSON ROMINST WHOM THE CERTIFIC
- 30 IS MADE HAD A REASONABLE BASIS FOR BELIEVING OCCURRED WITHIN THE SCOPE
- 31 OF COMMISSION EMPLOYMENT, DUTIES, OR RESPONSIBILITIES, PROVIDED THAT
- 32 NOTHING IN THIS PARAGRAPH SHALL BE CONSTRUED TO PROTECT ANY PERSON
- 33 FROM SUIT OR LIABILITY FOR ANY DAMAGE, LOSS, INJURY, OR LIABILITY CAUSED BY
- 34 THE INTENTIONAL OR WILLFUL OR WANTON MISCONDUCT OF THAT PERSON.
- 35 (2) THE COMMISSION SHALL DEFEND ANY MEMBER, OFFICER,
- 36 EXECUTIVE DIRECTOR, EMPLOYEE, OR REPRESENTATIVE OF THE COMMISSION IN
- 37 ANY CIVIL ACTION SEEKING TO IMPOSE LIABILITY ARISING OUT OF ANY ACTUAL OR
- 38 ALLEGED ACT, ERROR, OR OMISSION THAT OCCURRED WITHIN THE SCOPE OF

- 1 COMMISSION EMPLOYMENT, DUTIES, OR RESPONSIBILITIES, OR THAT THE PERSON
- 2 AGAINST WHOM THE CLAIM IS MADE HAD A REASONABLE BASIS FOR BELIEVING
- 3 OCCURRED WITHIN THE SCOPE OF COMMISSION EMPLOYMENT, DUTIES, OR
- 4 RESPONSIBILITIES, PROVIDED THAT NOTHING HEREIN SHALL BE CONSTRUED TO
- 5 PROHIBIT THAT PERSON FROM RETAINING HIS OR HER OWN COUNSEL, AND
- 6 PROVIDED FURTHER, THAT THE ACTUAL OR ALLEGED ACT, ERROR, OR OMISSION
- 7 DID NOT RESULT FROM THAT PERSON'S INTENTIONAL OR WILLFUL OR WANTON
- 8 MISCONDUCT.

- 9 (3) THE COMMISSION SHALL INDEMNIFY AND HOLD HARMLESS ANY
- 10 MEMBER, OFFICER, EXECUTIVE DIRECTOR, EMPLOYEE, OR REPRESENTATIVE OF
- 11 THE COMMISSION FOR THE AMOUNT OF ANY SETTLEMENT OR JUDGMENT OBTAINED
- 12 AGAINST THAT PERSON ARISING OUT OF ANY ACTUAL OR ALLEGED ACT, ERROR, OR
- 13 OMISSION THAT OCCURRED WITHIN THE SCOPE OF COMMISSION EMPLOYMENT,
- 14 DUTIES, OR RESPONSIBILITIES, OR THAT PERSON HAD A REASONABLE BASIS FOR
- 15 BELIEVING OCCURRED WITHIN THE SCOPE OF COMMISSION EMPLOYMENT, DUTIES,
- 16 OR RESPONSIBILITIES, PROVIDED THAT THE ACTUAL OR ALLEGED ACT, ERROR, OR
- 17 OMISSION DID NOT RESULT FROM THE INTENTIONAL OR WILLFUL OR WANTON
- 18 MISCONDUCT OF THAT PERSON.

SECTION 9. DATA SYSTEM

- 20 (A) THE COMMISSION SHALL PROVIDE FOR THE DEVELOPMENT,
- 21 MAINTENANCE, AND UTILIZATION OF A COORDINATED DATABASE AND REPORTING
- 22 SYSTEM CONTAINING LICENSURE, ADVERSE ACTION, AND INVESTIGATIVE
- 23 INFORMATION ON ALL LICENSED INDIVIDUALS IN MEMBER STATES.
- 24 (B) NOTWITHSTANDING ANY OTHER PROVISION OF STATE LAW TO THE
- 25 CONTRARY, A MEMBER STATE SHALL SUBMIT A UNIFORM DATA SET TO THE DATA
- 26 SYSTEM ON ALL INDIVIDUALS TO WHOM THIS COMPACT IS APPLICABLE AS
- 27 REQUIRED BY THE RULES OF THE COMMISSION, INCLUDING:
- 28 (1) IDENTIFYING INFORMATION;
- 29 (2) LICENSURE DATA;
- 30 (3) ADVERSE ACTIONS AGAINST A LICENSE OR COMPACT PRIVILEGE;
- 31 (4) NONCONFIDENTIAL INFORMATION RELATED TO ALTERNATIVE
- 32 PROGRAM PARTICIPATION;
- 33 (5) ANY DENIAL OF APPLICATION FOR LICENSURE, AND THE REASON
- 34 FOR THE DENIAL; AND

- 1 (6) OTHER INFORMATION THAT MAY FACILITATE THE 2 ADMINISTRATION OF THIS COMPACT, AS DETERMINED BY THE RULES OF THE
- 3 COMMISSION.
- 4 (C) INVESTIGATIVE INFORMATION PERTAINING TO A LICENSEE IN ANY 5 MEMBER STATE MAY BE AVAILABLE ONLY TO OTHER MEMBER STATES.
- 6 (D) THE COMMISSION SHALL PROMPTLY NOTIFY ALL MEMBER STATES OF 7 ANY ADVERSE ACTION TAKEN AGAINST A LICENSEE OR AN INDIVIDUAL APPLYING 8 FOR A LICENSE. ADVERSE ACTION INFORMATION PERTAINING TO A LICENSEE IN
- 9 ANY MEMBER STATE SHALL BE AVAILABLE TO ANY OTHER MEMBER STATE.
- 10 **(E) M**EMBER STATES CONTRIBUTING INFORMATION TO THE DATA SYSTEM 11 MAY DESIGNATE INFORMATION THAT MAY NOT BE SHARED WITH THE PUBLIC
- 12 WITHOUT THE EXPRESS PERMISSION OF THE CONTRIBUTING STATE.
- 13 **(F)** ANY INFORMATION SUBMITTED TO THE DATA SYSTEM THAT IS 14 SUBSEQUENTLY REQUIRED TO BE EXPUNGED BY THE LAWS OF THE MEMBER STATE
- 15 CONTRIBUTING THE INFORMATION SHALL BE REMOVED FROM THE DATA SYSTEM.

16 SECTION 10. RULEMAKING

- 17 (A) THE COMMISSION SHALL EXERCISE ITS RULEMAKING POWERS IN
 18 ACCORDANCE WITH THE CRITERIA SET FORTH IN THIS SECTION AND THE RULES
 19 ADOPTED THEREUNDER. RULES AND AMENDMENTS SHALL BECOME BINDING AS OF
 20 THE DATE SPECIFIED IN EACH RULE OR AMENDMENT.
- 21 (B) If A MAJORITY OF THE LEGISLATURES OF THE MEMBER STATES
- 22 REJECTS A RULE, BY ENACTMENT OF A STATUTE OR RESOLUTION IN THE SAME
- 23 MANNER USED TO ADOPT THE COMPACT WITHIN 4 YEARS AFTER THE DATE OF
- $\,\,24\,\,$ Adoption of the rule, the rule shall have no further force and effect
- 25 IN ANY MEMBER STATE.

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- 26 (C) RULES OR AMENDMENTS TO THE RULES SHALL BE ADOPTED AT A REGULAR OR SPECIAL MEETING OF THE COMMISSION.
- 28 (D) PRIOR TO PROMULGATION AND ADOPTION OF A FINAL RULE OR RULES
- 29 BY THE COMMISSION, AND AT LEAST 30 DAYS IN ADVANCE OF THE MEETING AT
- 30 WHICH THE RULE SHALL BE CONSIDERED AND VOTED ON, THE COMMISSION SHALL
- 31 FILE A NOTICE OF PROPOSED RULEMAKING:
 - (1) ON THE WEBSITE OF THE COMMISSION OR OTHER PUBLICLY

1 ACCESSIBLE PLATFORM; AND

- 2 (2) ON THE WEBSITE OF EACH MEMBER STATE AUDIOLOGY OR
- 3 SPEECH-LANGUAGE PATHOLOGY LICENSING BOARD OR OTHER PUBLICLY
- 4 ACCESSIBLE PLATFORM OR THE PUBLICATION IN WHICH EACH STATE WOULD
- 5 OTHERWISE PUBLISH PROPOSED RULES.
- 6 (E) THE NOTICE OF PROPOSED RULEMAKING SHALL INCLUDE:
- 7 (1) THE PROPOSED TIME, DATE, AND LOCATION OF THE MEETING 8 DURING WHICH THE RULE SHALL BE CONSIDERED AND VOTED ON;
- 9 (2) THE TEXT OF THE PROPOSED RULE OR AMENDMENT AND THE 10 REASON FOR THE PROPOSED RULE;
- 11 (3) A REQUEST FOR COMMENTS ON THE PROPOSED RULE FROM ANY 12 INTERESTED PERSON; AND
- 13 (4) THE MANNER IN WHICH INTERESTED PERSONS MAY SUBMIT
- 14 NOTICE TO THE COMMISSION OF THEIR INTENTION TO ATTEND THE PUBLIC
- 15 HEARING AND ANY WRITTEN COMMENTS.
- 16 (F) PRIOR TO THE ADOPTION OF A PROPOSED RULE, THE COMMISSION
- 17 SHALL ALLOW PERSONS TO SUBMIT WRITTEN DATA, FACTS, OPINIONS, AND
- 18 ARGUMENTS, WHICH SHALL BE MADE AVAILABLE TO THE PUBLIC.
- 19 (G) THE COMMISSION SHALL GRANT AN OPPORTUNITY FOR A PUBLIC
- 20 HEARING BEFORE IT ADOPTS A RULE OR AMENDMENT IF A HEARING IS REQUESTED
- 21 **BY:**
- 22 **(1)** AT LEAST **25** PERSONS;
- 23 (2) A STATE OR FEDERAL GOVERNMENTAL SUBDIVISION OR AGENCY;
- 24 **OR**
- 25 (3) AN ASSOCIATION HAVING AT LEAST 25 MEMBERS.
- 26 (H) (1) IF A HEARING IS HELD ON THE PROPOSED RULE OR AMENDMENT,
- 27 THE COMMISSION SHALL PUBLISH THE PLACE, TIME, AND DATE OF THE SCHEDULED
- 28 PUBLIC HEARING. IF THE HEARING IS HELD VIA ELECTRONIC MEANS, THE
- 29 COMMISSION SHALL PUBLISH THE MECHANISM FOR ACCESSING THE ELECTRONIC
- 30 **HEARING.**

- 1 (2) ALL PERSONS WISHING TO BE HEARD AT THE HEARING SHALL
- 2 NOTIFY THE EXECUTIVE DIRECTOR OF THE COMMISSION OR OTHER DESIGNATED
- 3 MEMBER IN WRITING OF THEIR DESIRE TO APPEAR AND TESTIFY AT THE HEARING
- 4 NOT LESS THAN 5 BUSINESS DAYS BEFORE THE SCHEDULED DATE OF THE HEARING.
- 5 (3) HEARINGS SHALL BE CONDUCTED IN A MANNER PROVIDING EACH
- 6 PERSON WHO WISHES TO COMMENT A FAIR AND REASONABLE OPPORTUNITY TO
- 7 COMMENT ORALLY OR IN WRITING.
- 8 (4) ALL HEARINGS SHALL BE RECORDED. A COPY OF THE RECORDING
- 9 SHALL BE MADE AVAILABLE ON REQUEST.
- 10 (5) NOTHING IN THIS SUBSECTION SHALL BE CONSTRUED AS
- 11 REQUIRING A SEPARATE HEARING ON EACH RULE. RULES MAY BE GROUPED FOR
- 12 THE CONVENIENCE OF THE COMMISSION AT HEARINGS REQUIRED BY THIS
- 13 SUBSECTION.
- 14 (I) FOLLOWING THE SCHEDULED HEARING DATE, OR BY THE CLOSE OF
- 15 BUSINESS ON THE SCHEDULED HEARING DATE IF THE HEARING WAS NOT HELD, THE
- 16 COMMISSION SHALL CONSIDER ALL WRITTEN AND ORAL COMMENTS RECEIVED.
- 17 (J) IF NO WRITTEN NOTICE OF INTENT TO ATTEND THE PUBLIC HEARING BY
- 18 INTERESTED PARTIES IS RECEIVED, THE COMMISSION MAY PROCEED WITH
- 19 PROMULGATION OF THE PROPOSED RULE WITHOUT A PUBLIC HEARING.
- 20 (K) THE COMMISSION SHALL, BY MAJORITY VOTE OF ALL MEMBERS, TAKE
- 21 FINAL ACTION ON THE PROPOSED RULE AND SHALL DETERMINE THE EFFECTIVE
- 22 DATE OF THE RULE, IF ANY, BASED ON THE RULEMAKING RECORD AND THE FULL
- 23 TEXT OF THE RULE.
- 24 (L) ON DETERMINATION THAT AN EMERGENCY EXISTS, THE COMMISSION
- 25 MAY CONSIDER AND ADOPT AN EMERGENCY RULE WITHOUT PRIOR NOTICE,
- 26 OPPORTUNITY FOR COMMENT, OR HEARING, PROVIDED THAT THE USUAL
- 27 RULEMAKING PROCEDURES PROVIDED IN THE COMPACT AND IN THIS SECTION
- 28 SHALL BE RETROACTIVELY APPLIED TO THE RULE AS SOON AS REASONABLY
- 20 POSSIBLE DAVIS DAVIS AND WALL OF THE COMMENT OF
- 29 POSSIBLE, IN NO EVENT LATER THAN 90 DAYS AFTER THE EFFECTIVE DATE OF THE
- 30 RULE. FOR THE PURPOSES OF THIS PROVISION, AN EMERGENCY RULE IS ONE THAT
- 31 MUST BE ADOPTED IMMEDIATELY IN ORDER TO:
- 32 (1) MEET AN IMMINENT THREAT TO PUBLIC HEALTH, SAFETY, OR
- 33 WELFARE;

(2) PREVENT A LOSS OF COMMISSION OR MEMBER STATE FUNDS; OR

- 1 (3) MEET A DEADLINE FOR THE PROMULGATION OF AN 2 ADMINISTRATIVE RULE THAT IS ESTABLISHED BY FEDERAL LAW OR RULE.
- 3 (M) THE COMMISSION OR AN AUTHORIZED COMMITTEE OF COMMISSION MAY DIRECT REVISIONS TO A PREVIOUSLY ADOPTED RULE OR 4 AMENDMENT FOR PURPOSES OF CORRECTING TYPOGRAPHICAL ERRORS, ERRORS IN 5 6 FORMAT, ERRORS IN CONSISTENCY, OR GRAMMATICAL ERRORS. PUBLIC NOTICE OF 7 ANY REVISIONS SHALL BE POSTED ON THE WEBSITE OF THE COMMISSION. THE 8 REVISION SHALL BE SUBJECT TO CHALLENGE BY ANY PERSON FOR A PERIOD OF 30 DAYS AFTER POSTING. THE REVISION MAY BE CHALLENGED ONLY ON GROUNDS 9 THAT THE REVISION RESULTS IN A MATERIAL CHANGE TO A RULE. A CHALLENGE 10 11 SHALL BE MADE IN WRITING AND DELIVERED TO THE CHAIR OF THE COMMISSION
- 12 PRIOR TO THE END OF THE NOTICE PERIOD. IF NO CHALLENGE IS MADE, THE
- 13 REVISION SHALL TAKE EFFECT WITHOUT FURTHER ACTION. IF THE REVISION IS
- 14 CHALLENGED, THE REVISION MAY NOT TAKE EFFECT WITHOUT THE APPROVAL OF
- 15 THE COMMISSION.
- 16 SECTION 11. OVERSIGHT, DISPUTE RESOLUTION, AND 17 ENFORCEMENT
- 18 (A) (1) ON REQUEST BY A MEMBER STATE, THE COMMISSION SHALL 19 ATTEMPT TO RESOLVE DISPUTES RELATED TO THE COMPACT THAT ARISE AMONG 20 MEMBER STATES AND BETWEEN MEMBER AND NONMEMBER STATES.
- 21 **(2)** THE COMMISSION SHALL PROMULGATE A RULE PROVIDING FOR 22 BOTH MEDIATION AND BINDING DISPUTE RESOLUTION FOR DISPUTES AS 23 APPROPRIATE.
- 24 (B) (1) THE COMMISSION, IN THE REASONABLE EXERCISE OF ITS 25 DISCRETION, SHALL ENFORCE THE PROVISIONS AND RULES OF THIS COMPACT.
- 26 BY MAJORITY VOTE, THE COMMISSION MAY INITIATE LEGAL 27 ACTION IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLUMBIA OR THE FEDERAL DISTRICT WHERE THE COMMISSION HAS ITS PRINCIPAL OFFICES 28 AGAINST A MEMBER STATE IN DEFAULT TO ENFORCE COMPLIANCE WITH THE 29 PROVISIONS OF THE COMPACT AND ITS PROMULGATED RULES AND BYLAWS. THE 30 31 RELIEF SOUGHT MAY INCLUDE BOTH INJUNCTIVE RELIEF AND DAMAGES. IN THE 32EVENT JUDICIAL ENFORCEMENT IS NECESSARY, THE PREVAILING MEMBER SHALL BE AWARDED ALL COSTS OF LITIGATION, INCLUDING REASONABLE ATTORNEY'S 33 34 FEES.

- 1 OF THE COMMISSION. THE COMMISSION MAY PURSUE ANY OTHER REMEDIES
- 2 AVAILABLE UNDER FEDERAL OR STATE LAW.
- 3 SECTION 12. DATE OF IMPLEMENTATION OF THE INTERSTATE
- 4 COMPACT FOR AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY
- 5 PRACTICE AND ASSOCIATED RULES, WITHDRAWAL, AND
- 6 AMENDMENT
- 7 (A) THE COMPACT SHALL COME INTO EFFECT ON THE DATE ON WHICH THE
- 8 COMPACT STATUTE IS ENACTED INTO LAW IN THE 10TH MEMBER STATE. THE
- 9 PROVISIONS, WHICH BECOME EFFECTIVE AT THAT TIME, SHALL BE LIMITED TO THE
- 10 POWERS GRANTED TO THE COMMISSION RELATING TO ASSEMBLY AND THE
- 11 PROMULGATION OF RULES. THEREAFTER, THE COMMISSION SHALL MEET AND
- 12 EXERCISE RULEMAKING POWERS NECESSARY TO THE IMPLEMENTATION AND
- 13 ADMINISTRATION OF THE COMPACT.
- 14 (B) ANY STATE THAT JOINS THE COMPACT SUBSEQUENT TO THE INITIAL
- 15 ADOPTION OF THE RULES BY THE COMMISSION SHALL BE SUBJECT TO THE RULES
- 16 AS THEY EXIST ON THE DATE ON WHICH THE COMPACT BECOMES LAW IN THAT
- 17 STATE. ANY RULE THAT HAS BEEN PREVIOUSLY ADOPTED BY THE COMMISSION
- 18 SHALL HAVE THE FULL FORCE AND EFFECT OF LAW ON THE DAY THE COMPACT
- 19 BECOMES LAW IN THAT STATE.
- 20 (C) (1) ANY MEMBER STATE MAY WITHDRAW FROM THIS COMPACT BY
- 21 ENACTING A STATUTE REPEALING THE SAME.
- 22 (2) A MEMBER STATE'S WITHDRAWAL SHALL NOT TAKE EFFECT
- 23 UNTIL 6 MONTHS AFTER ENACTMENT OF THE REPEALING STATUTE.
- 24 (3) WITHDRAWAL SHALL NOT AFFECT THE CONTINUING
- 25 REQUIREMENT OF THE WITHDRAWING STATE'S AUDIOLOGY OR SPEECH-LANGUAGE
- 26 PATHOLOGY LICENSING BOARD TO COMPLY WITH THE INVESTIGATIVE AND
- 27 ADVERSE ACTION REPORTING REQUIREMENTS OF THIS ACT PRIOR TO THE
- 28 EFFECTIVE DATE OF WITHDRAWAL.
- 29 (D) NOTHING CONTAINED IN THIS COMPACT SHALL BE CONSTRUED TO
- 30 INVALIDATE OR PREVENT ANY AUDIOLOGY OR SPEECH-LANGUAGE PATHOLOGY
- 31 LICENSURE AGREEMENT OR OTHER COOPERATIVE ARRANGEMENT BETWEEN A
- 32 MEMBER STATE AND A NONMEMBER STATE THAT DOES NOT CONFLICT WITH THE
- 33 PROVISIONS OF THIS COMPACT.
- 34 (E) THIS COMPACT MAY BE AMENDED BY THE MEMBER STATES. NO
- 35 AMENDMENT TO THIS COMPACT SHALL BECOME EFFECTIVE AND BINDING ON ANY

1 MEMBER STATE UNTIL IT IS ENACTED INTO THE LAWS OF ALL MEMBER STATES.

SECTION 13. CONSTRUCTION AND SEVERABILITY

3 THIS COMPACT SHALL BE LIBERALLY CONSTRUED SO AS TO EFFECTUATE THE PURPOSES THEREOF. THE PROVISIONS OF THIS COMPACT SHALL BE SEVERABLE 4 5 AND IF ANY PHRASE, CLAUSE, SENTENCE, OR PROVISION OF THIS COMPACT IS 6 DECLARED TO BE CONTRARY TO THE CONSTITUTION OF ANY MEMBER STATE OR OF 7 THE UNITED STATES OR THE APPLICABILITY THEREOF TO ANY GOVERNMENT, 8 AGENCY, PERSON, OR CIRCUMSTANCE IS HELD INVALID, THE VALIDITY OF THE REMAINDER OF THIS COMPACT AND THE APPLICABILITY THEREOF TO ANY 9 GOVERNMENT, AGENCY, PERSON, OR CIRCUMSTANCE SHALL NOT BE AFFECTED 10 THEREBY. IF THIS COMPACT SHALL BE HELD CONTRARY TO THE CONSTITUTION OF 11

- 12 ANY MEMBER STATE, THE COMPACT SHALL REMAIN IN FULL FORCE AND EFFECT AS
- 13 TO THE REMAINING MEMBER STATES AND IN FULL FORCE AND EFFECT AS TO THE
- 14 MEMBER STATE AFFECTED AS TO ALL SEVERABLE MATTERS.

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15 SECTION 14. BINDING EFFECT OF COMPACT AND OTHER LAWS

- 16 (A) NOTHING HEREIN PREVENTS THE ENFORCEMENT OF ANY OTHER LAW
 17 OF A MEMBER STATE THAT IS NOT INCONSISTENT WITH THE COMPACT.
- 18 **(B)** ALL LAWS IN A MEMBER STATE IN CONFLICT WITH THE COMPACT ARE 19 SUPERSEDED TO THE EXTENT OF THE CONFLICT.
- 20 (C) ALL LAWFUL ACTIONS OF THE COMMISSION, INCLUDING ALL RULES 21 AND BYLAWS PROMULGATED BY THE COMMISSION, ARE BINDING ON THE MEMBER 22 STATES.
- 23 (D) ALL AGREEMENTS BETWEEN THE COMMISSION AND THE MEMBER 24 STATES ARE BINDING IN ACCORDANCE WITH THEIR TERMS.
- 25 (E) IN THE EVENT ANY PROVISION OF THE COMPACT EXCEEDS THE 26 CONSTITUTIONAL LIMITS IMPOSED ON THE LEGISLATURE OF ANY MEMBER STATE, 27 THE PROVISION SHALL BE INEFFECTIVE TO THE EXTENT OF THE CONFLICT WITH 28 THE CONSTITUTIONAL PROVISION IN QUESTION IN THAT MEMBER STATE.
- SECTION 2. AND BE IT FURTHER ENACTED, That this Act is contingent on the enacting of substantially similar legislation in nine other states. The Maryland Department of Health shall notify the Department of Legislative Services within 10 days after nine states have enacted legislation that is substantially similar to this Act.
- SECTION 3. AND BE IT FURTHER ENACTED, That, subject to Section 2 of this Act, this Act shall take effect October 1, 2020.