

# HOUSE BILL 149

J1, J3

4lr0993

(PRE-FILED)

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By: **Delegates Pippy and Kerr**

Requested: October 17, 2023

Introduced and read first time: January 10, 2024

Assigned to: Health and Government Operations

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## A BILL ENTITLED

1 AN ACT concerning

2 **Medical Records – Destruction – Authorization**

3 FOR the purpose of extending the time period during which a health care provider is  
4 prohibited from destroying medical records and laboratory and X-ray reports and  
5 altering the circumstances under which the health care provider can destroy a record  
6 or report during that time period; requiring that a request for authorization to  
7 destroy a record or report include certain information and a certain request;  
8 requiring a health care provider, if authorization to destroy a record or report is  
9 provided, to make a medical record available for retrieval by a patient or a parent or  
10 guardian of a minor patient within a certain time period and at a certain location;  
11 and generally relating to the destruction of medical records.

12 BY repealing and reenacting, with amendments,

13 Article – Health – General

14 Section 4-403

15 Annotated Code of Maryland

16 (2023 Replacement Volume)

17 SECTION 1. BE IT ENACTED BY THE GENERAL ASSEMBLY OF MARYLAND,

18 That the Laws of Maryland read as follows:

19 **Article – Health – General**

20 4-403.

21 (a) (1) In this section, a “health care provider” means:

22 (i) An acupuncturist;

23 (ii) An audiologist;

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EXPLANATION: CAPITALS INDICATE MATTER ADDED TO EXISTING LAW.

[Brackets] indicate matter deleted from existing law.



- 1 (iii) A chiropractor;
- 2 (iv) A dietitian;
- 3 (v) A dentist;
- 4 (vi) An electrologist;
- 5 (vii) A health care facility that is:
- 6 1. A freestanding ambulatory care facility as defined under §  
7 19-3B-01 of this article;
- 8 2. A freestanding medical facility as defined under §  
9 19-3A-01 of this article;
- 10 3. A health care facility as defined under § 10-101 of this  
11 article;
- 12 4. A health maintenance organization as defined under §  
13 19-701 of this article;
- 14 5. A hospital as defined under § 19-301 of this article;
- 15 6. A limited service hospital as defined under § 19-301 of this  
16 article;
- 17 7. A related institution as defined in § 19-301 of this article;  
18 and
- 19 8. A residential treatment center as defined under § 19-301  
20 of this article;
- 21 (viii) A massage therapist;
- 22 (ix) A mortician;
- 23 (x) A nurse;
- 24 (xi) A nutritionist;
- 25 (xii) An occupational therapist;
- 26 (xiii) An optometrist;
- 27 (xiv) A physical therapist;

- 1 (xv) A physician;
- 2 (xvi) A podiatrist;
- 3 (xvii) A professional counselor;
- 4 (xviii) A psychologist;
- 5 (xix) A social worker;
- 6 (xx) A speech–language pathologist; and
- 7 (xxi) A pharmacist.

8 (2) “Health care provider” includes an agent, employee, officer, or director  
9 of any entity listed under paragraph (1) of this subsection.

10 (b) Except for a minor patient, unless a patient [is notified,] **PROVIDES WRITTEN**  
11 **AUTHORIZATION IN RESPONSE TO A REQUEST TO DESTROY A RECORD OR REPORT**  
12 **FROM** a health care provider, **THE HEALTH CARE PROVIDER** may not destroy a medical  
13 record or laboratory or X–ray report about a patient for [5] **10** years after the record or  
14 report is made.

15 (c) In the case of a minor patient, a medical record or laboratory or X–ray report  
16 about a minor patient may not be destroyed until the patient attains the age of majority  
17 plus [3 years or for 5 years after the record or report is made, whichever is later] **10 YEARS**,  
18 unless:

19 (1) The parent or guardian of the minor patient [is notified] **PROVIDES**  
20 **WRITTEN AUTHORIZATION IN RESPONSE TO A REQUEST FROM A HEALTH CARE**  
21 **PROVIDER TO DESTROY THE RECORD OR REPORT;** or

22 (2) If the medical care documented in the record was provided under §  
23 20–102(c) or § 20–103(c) of this article, the minor patient [is notified] **PROVIDES WRITTEN**  
24 **AUTHORIZATION IN RESPONSE TO A REQUEST FROM A HEALTH CARE PROVIDER TO**  
25 **DESTROY THE RECORD.**

26 (d) [The notice] **A REQUEST MADE** under [subsections] **SUBSECTION (b) [and]**  
27 **OR (c)** of this section shall:

28 (1) Be made by first–class mail to the last known address of the patient;

29 (2) Include the date on which the record of the patient [shall] **IS**  
30 **PROPOSED TO** be destroyed; [and]

1 (3) Include a statement that the record or synopsis of the record, if wanted,  
2 must be retrieved at a designated location [within 30 days of the proposed date of  
3 destruction] **IF DESTRUCTION OF THE RECORD IS AUTHORIZED; AND**

4 (4) **REQUEST THE PATIENT'S WRITTEN AUTHORIZATION TO DESTROY**  
5 **THE RECORD.**

6 (E) **IF AUTHORIZATION FOR THE DESTRUCTION OF A MEDICAL RECORD OR**  
7 **LABORATORY OR X-RAY REPORT IS GIVEN, THE HEALTH CARE PROVIDER SHALL**  
8 **MAKE THE RECORD OR REPORT AVAILABLE FOR RETRIEVAL:**

9 (1) **BY THE PATIENT OR THE PARENT OR GUARDIAN OF THE MINOR**  
10 **PATIENT WITHIN 60 DAYS BEFORE THE PROPOSED DATE OF DESTRUCTION; AND**

11 (2) **AT THE LOCATION DESIGNATED IN THE REQUEST FOR**  
12 **AUTHORIZATION TO DESTROY THE RECORD OR REPORT.**

13 [(e)] (F) After the death, retirement, surrender of the license, or discontinuance  
14 of the practice or business of a health care provider, the health care provider, the  
15 administrator of the estate, or a designee who agrees to provide for the maintenance of the  
16 medical records of the practice or business and who states, in writing to the appropriate  
17 health occupation board within a reasonable time, that the records will be maintained in  
18 compliance with this section, shall:

19 (1) Forward the notice [required in this section] **DESCRIBED IN**  
20 **PARAGRAPH (2) OF THIS SUBSECTION** before the destruction or transfer of medical  
21 records **TO:**

22 (i) **THE PATIENT; OR**

23 (ii) **FOR A MINOR PATIENT, THE PARENT OR GUARDIAN OF THE**  
24 **MINOR PATIENT UNLESS THE MEDICAL CARE DOCUMENTED IN THE RECORD WAS**  
25 **PROVIDED UNDER § 20-102(C) OR § 20-103(C) OF THIS ARTICLE; or**

26 (2) Publish a notice in a daily newspaper that is circulated locally for 2  
27 consecutive weeks:

28 (i) Stating the date that the medical records will be destroyed or  
29 transferred; and

30 (ii) Designating a location, date, and time where the medical records  
31 may be retrieved, if wanted.

32 [(f)] (G) (1) After consulting with the [Association of Maryland Hospitals and  
33 Health Systems] **MARYLAND HOSPITAL ASSOCIATION**, the Maryland State Medical

1 Society, and other interested parties, including consumers and payors, the Secretary shall  
2 adopt regulations governing the destruction of medical records.

3 (2) The regulations adopted under this subsection shall:

4 (i) Specify the manner in which a health care provider shall  
5 maintain and store medical records to:

6 1. Ensure confidentiality; and

7 2. Provide limited access to the medical records until the  
8 records are destroyed; and

9 (ii) Ensure that the method of destruction renders the medical  
10 records unreadable.

11 (3) The regulations adopted under this subsection may not:

12 (i) Require or encourage the destruction of medical records; or

13 (ii) Be inconsistent with any provision of law applicable to the  
14 maintenance or destruction of medical records.

15 ~~[(g)]~~ (H) (1) A health care provider or any other person who knowingly  
16 violates any provision of this subtitle is liable for actual damages.

17 (2) (i) In addition to any other penalties provided under this article, a  
18 health care facility that knowingly violates this section is subject to an administrative fine  
19 not exceeding \$10,000 for all violations cited in a single day.

20 (ii) 1. In addition to any other penalties provided under this  
21 article, an individual who knowingly violates this section is subject to the fines provided in  
22 subparagraph 2 of this subparagraph if the individual is:

23 A. A health care provider, as defined under subsection  
24 (a)(1)(i) through (vi) or (viii) through (xx) of this section; or

25 B. An agent, employee, officer, or director of a health care  
26 provider.

27 2. The administrative fines applicable to an individual  
28 covered under subparagraph 1 of this subparagraph shall be assessed as follows:

29 A. The first fine assessed or first set of fines assessed  
30 concurrently for all violations cited in a single day may not exceed \$1,000;

1                                   B.     The second fine assessed or second set of fines assessed  
2 concurrently for all violations cited in a single day may not exceed \$2,500; and

3                                   C.     The third or subsequent fine assessed or third or  
4 subsequent set of fines assessed concurrently for all violations cited in a single day may not  
5 exceed \$5,000.

6           SECTION 2. AND BE IT FURTHER ENACTED, That this Act shall take effect  
7 October 1, 2024.