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By: Chairman, Judiciary Committee (Commission on Uniform State Laws)
Introduced and read first time: March 4, 1996
Assigned to: Rules and Executive Nominations
Re-referred to: Judiciary, March 11, 1996

Committee Report: Favorable with amendments
House action: Adopted
Read second time: March 21, 1996

CHAPTER \_\_\_\_

## 1 AN ACT concerning

## 2 Uniform Certification of Questions of Law Act

- 3 FOR the purpose of adopting the revised Uniform Certification of Questions of Law Act;
- 4 repealing existing provisions relating to certification of questions of law; specifying
- 5 the process by which the Court of Appeals or the Court of Special Appeals may
- 6 certify a question of law to the highest court of another jurisdiction; specifying the
- 7 process by which the Court of Appeals may answer a question of law certified to it
- 8 by a court of another jurisdiction; providing for the fees and costsof certification;
- 9 providing for the construction and severability of this Act; and generally relating to
- the certification of questions of law.

## 11 BY repealing

- 12 Article Courts and Judicial Proceedings
- 13 Section 12-601 through 12-609, inclusive
- 14 Annotated Code of Maryland
- 15 (1995 Replacement Volume and 1995 Supplement)

## 16 BY adding to

- 17 Article Courts and Judicial Proceedings
- Section 12-601 through 12-613, inclusive
- 19 Annotated Code of Maryland
- 20 (1995 Replacement Volume and 1995 Supplement)
- 21 SECTION 1. BE IT ENACTED BY THE GENERAL ASSEMBLY OF
- 22 MARYLAND, That Section(s) 12-601 through 12-609, inclusive, of Article Courts and
- 23 Judicial Proceedings of the Annotated Code of Maryland be repealed.

- 1 SECTION 2. AND BE IT FURTHER ENACTED, That the Laws of Maryland 2 read as follows:
- 3 Article Courts and Judicial Proceedings
- 4 12-601. DEFINITIONS.
- 5 (A) IN THIS SUBTITLE THE FOLLOWING WORDS HAVE THE MEANING 6 INDICATED.
- 7 (B) "STATE" MEANS A STATE OF THE UNITED STATES, THE DISTRICT OF
- 8 COLUMBIA, THE COMMONWEALTH OF PUERTO RICO, OR ANY TERRITORY OR
- 9 INSULAR POSSESSION SUBJECT TO THE JURISDICTION OF THE UNITED STATES.
- 10 (C) "TRIBE" MEANS A TRIBE, BAND, OR VILLAGE OF NATIVE AMERICANS
   11 WHICH IS RECOGNIZED BY FEDERAL LAW OR FORMALLY ACKNOWLEDGED BY A
   12 STATE.
- 13 12-602. POWER TO CERTIFY.
- 14 THE COURT OF APPEALS OR THE COURT OF SPECIAL APPEALS OF THIS STATE,
- 15 ON THE MOTION OF A PARTY TO PENDING LITIGATION OR ITS OWN MOTION, MAY
- 16 CERTIFY A QUESTION OF LAW TO THE HIGHEST COURT OF ANOTHER STATE OR OF
- 17 A TRIBE IF:
- 18 (1) THE PENDING LITIGATION INVOLVES A QUESTION TO BE DECIDED 19 UNDER THE LAW OF THE OTHER JURISDICTION;
- 20 (2) THE ANSWER TO THE QUESTION MAY BE DETERMINATIVE OF AN 21 ISSUE IN THE PENDING LITIGATION; AND
- 22 (3) THE QUESTION IS ONE FOR WHICH AN ANSWER IS NOT PROVIDED
- 23 BY A CONTROLLING APPELLATE DECISION, CONSTITUTIONAL PROVISION, OR
- 24 STATUTE OF THE OTHER JURISDICTION.
- 25 12-603. POWER TO ANSWER.
- 26 THE COURT OF APPEALS OF THIS STATE MAY ANSWER A QUESTION OF LAW
- 27 CERTIFIED TO IT BY A COURT OF THE UNITED STATES OR BY AN APPELLATE COURT
- 28 OF ANOTHER STATE OR OF A TRIBE, IF THE ANSWER MAY BE DETERMINATIVE OF
- 29 AN ISSUE IN PENDING LITIGATION IN THE CERTIFYING COURT AND THERE IS NO
- 30 CONTROLLING APPELLATE DECISION, CONSTITUTIONAL PROVISION, OR STATUTE
- 31 OF THIS STATE.
- 32 12-604. POWER TO REFORMULATE QUESTION.
- THE COURT OF APPEALS OF THIS STATE MAY REFORMULATE A QUESTION OF 4 LAW CERTIFIED TO IT.
- 35 12-605. CERTIFICATION ORDER; RECORD.
- 36 (A) THE COURT CERTIFYING A QUESTION OF LAW TO THE COURT OF
- 37 APPEALS OF THIS STATE SHALL ISSUE A CERTIFICATION ORDER AND FORWARD IT
- 38 TO THE COURT OF APPEALS OF THIS STATE.

- 1 (B) BEFORE RESPONDING TO A CERTIFIED QUESTION, THE COURT OF
- 2 APPEALS OF THIS STATE MAY REQUIRE THE CERTIFYING COURT TO DELIVER ALL
- 3 OR PART OF ITS RECORD TO THE COURT OF APPEALS OF THIS STATE.
- 4 12-606. CONTENTS OF CERTIFICATION ORDER.
- 5 (A) A CERTIFICATION ORDER MUST SHALL CONTAIN:
- 6 (1) THE QUESTION OF LAW TO BE ANSWERED;
- 7 (2) THE FACTS RELEVANT TO THE QUESTION, SHOWING FULLY THE
- 8 NATURE OF THE CONTROVERSY OUT OF WHICH THE QUESTION AROSE;
- 9 (3) A STATEMENT ACKNOWLEDGING THAT THE COURT OF APPEALS OF
- 10 THIS STATE, ACTING AS THE RECEIVING COURT, MAY REFORMULATE THE
- 11 QUESTION; AND
- 12 (4) THE NAMES AND ADDRESSES OF COUNSEL OF RECORD AND
- 13 PARTIES APPEARING WITHOUT COUNSEL.
- 14 (B) IF THE PARTIES CANNOT AGREE UPON A STATEMENT OF FACTS, THE
- 15 CERTIFYING COURT SHALL DETERMINE THE RELEVANT FACTS AND STATE THEM AS
- 16 A PART OF ITS CERTIFICATION ORDER.
- 17 12-607. NOTICE; RESPONSE.
- 18 THE COURT OF APPEALS OF THIS STATE, ACTING AS A RECEIVING COURT,
- 19 SHALL NOTIFY THE CERTIFYING COURT OF ACCEPTANCE OR REJECTION OF THE
- 20 QUESTION AND, IN ACCORDANCE WITH NOTIONS OF COMITY AND FAIRNESS,
- 21 RESPOND TO AN ACCEPTED CERTIFIED QUESTION AS SOON AS PRACTICABLE.
- 22 12-608. PROCEDURES.
- 23 (A) AFTER THE COURT OF APPEALS OF THIS STATE HAS ACCEPTED A
- 24 CERTIFIED QUESTION, PROCEEDINGS ARE GOVERNED BY THE MARYLAND RULES
- 25 OF PROCEDURE.
- 26 (B) PROCEDURES FOR CERTIFICATION FROM THIS STATE TO A RECEIVING
- 27 COURT ARE THOSE PROVIDED IN THE RULES AND STATUTES OF THE RECEIVING
- 28 FORUM.
- 29 12-609. OPINION.
- 30 THE COURT OF APPEALS OF THIS STATE SHALL STATE IN A WRITTEN OPINION
- 31 THE LAW ANSWERING THE CERTIFIED QUESTION AND SEND A COPY OF THE
- 32 OPINION TO THE CERTIFYING COURT, COUNSEL OF RECORD, AND PARTIES
- 33 APPEARING WITHOUT COUNSEL.
- 34 12-610. COST OF CERTIFICATION.
- 35 FEES AND COSTS ARE THE SAME AS IN CIVIL APPEALS DOCKETED BEFORE THE
- 36 COURT OF APPEALS OF THIS STATE AND MUST SHALL BE EQUALLY DIVIDED
- 37 BETWEEN THE PARTIES UNLESS OTHERWISE ORDERED BY THE CERTIFYING COURT.

- 1 12-611. SEVERABILITY.
- 2 IF ANY PROVISION OF THIS SUBTITLE OR ITS APPLICATION TO ANY PERSON OR
- 3 CIRCUMSTANCE IS HELD INVALID, THE INVALIDITY DOES NOT AFFECT OTHER
- 4 PROVISIONS OR APPLICATIONS OF THIS SUBTITLE WHICH CAN BE GIVEN EFFECT
- 5 WITHOUT THE INVALID PROVISION OR APPLICATION, AND TO THIS END THE
- 6 PROVISIONS OF THIS SUBTITLE ARE SEVERABLE.
- 7 12-612. UNIFORMITY OF APPLICATION AND CONSTRUCTION.
- 8 THIS SUBTITLE SHALL BE APPLIED AND CONSTRUED TO EFFECTUATE ITS
- 9 GENERAL PURPOSE TO MAKE UNIFORM LAW WITH RESPECT TO THE SUBJECT OF
- 10 THE SUBTITLE AMONG STATES ENACTING IT.
- 11 12-613. SHORT TITLE.
- 12 THIS SUBTITLE MAY BE CITED AS THE MARYLAND UNIFORM CERTIFICATION 13 OF QUESTIONS OF LAW ACT.
- 14 <u>SECTION 3. AND BE IT FURTHER ENACTED, That the catch lines contained</u>
- 15 in this Act are not law and may not be considered to have been enacted as part of this
- 16 Act.
- 17 SECTION 3. 4. AND BE IT FURTHER ENACTED, That this Act shall take 18 effect October 1, 1996.