HOUSE BILL 1184

By: Delegates Zucker, Gaines, Clagett, Guzzone, Haynes, Jones, Proctor, and Washington

Introduced and read first time: February 17, 2011 Assigned to: Rules and Executive Nominations

A BILL ENTITLED

1 AN ACT concerning

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Department of Transportation – Employee Grievance Procedures – Streamlined Process

- 4 FOR the purpose of altering a certain provision of law concerning regulations adopted $\mathbf{5}$ by the Secretary of Transportation governing grievance procedures for 6 employees under the Department of Transportation; authorizing an aggrieved 7 employee to appeal certain decisions to the Office of Administrative Hearings or 8 to a certain other party; repealing certain provisions regarding the 9 administration of certain disciplinary appeals by the Secretary of Budget and Management; repealing provisions that entitled certain employees in the 10 Department to certain appeal procedures available to certain other State 11 12employees; requiring the Secretary of Transportation to establish certain appeal 13 procedures for disciplinary action through regulations and policy; and generally relating to regulations concerning disciplinary action appeals for personnel in 14 the Department of Transportation. 15
- 16 BY repealing and reenacting, without amendments,
- 17 Article Transportation
- 18 Section 2–103.4(a)
- 19 Annotated Code of Maryland
- 20 (2008 Replacement Volume and 2010 Supplement)
- 21 BY repealing and reenacting, with amendments,
- 22 Article Transportation
- 23 Section 2–103.4(d)
- 24 Annotated Code of Maryland
- 25 (2008 Replacement Volume and 2010 Supplement)

26 SECTION 1. BE IT ENACTED BY THE GENERAL ASSEMBLY OF 27 MARYLAND, That the Laws of Maryland read as follows:

EXPLANATION: CAPITALS INDICATE MATTER ADDED TO EXISTING LAW. [Brackets] indicate matter deleted from existing law.



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Article – Transportation

 $2 \quad 2-103.4.$

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3 (a) Without regard to the laws of this State relating to other State 4 employees, the Secretary of Transportation may establish a human resources 5 management system for employees of the Department and its units. Any human 6 resources management system that the Secretary establishes under this section shall:

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(1) Be based on merit;

8 (2) Include fair and equitable procedures for appointment, hiring, 9 promotion, layoff, removal, termination, redress of grievances, and reinstatement of 10 employees; and

11 (3) Permit employees to participate in the pension and retirement 12 systems for employees of the State of Maryland authorized under Division II of the 13 State Personnel and Pensions Article or any other pension and retirement systems 14 authorized by law.

15 (d) (1) The Secretary shall adopt regulations to govern the human 16 resources management system established under this section.

17 (2) The regulations shall address procedures for leave, appointment, 18 hiring, promotion, layoff, removal, termination, redress of grievances, and 19 reinstatement of employees and shall be presented to the Joint Committee on 20 Administrative, Executive, and Legislative Review under Title 10, Subtitle 1 of the 21 State Government Article.

(3) The regulations shall provide that before taking any disciplinary
 action related to employee misconduct, an appointing authority or designated
 representative shall:

- 25 (i) Investigate the alleged misconduct;
- 26 (ii) Meet with the employee;
- 27 (iii) Consider any mitigating circumstances;

(iv) Determine the appropriate disciplinary action, if any, to beimposed; and

30 (v) Give the employee a written notice of the disciplinary action
31 to be taken and the employee's appeal rights.

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1 (4) (i) The regulations shall provide that the appointing authority 2 or designated representative may suspend an employee, with or without pay, pending 3 the filing of charges for termination.

4 (ii) If an employee is suspended without pay, the appointing 5 authority or designated representative shall provide to the Secretary the charges for 6 termination within 30 calendar days after the first day of the suspension period.

7 (iii) If the appointing authority or designated representative files 8 the charges for termination after the 30-day period described in subparagraph (ii) of 9 this paragraph, the employee shall be placed on leave with pay until the Secretary 10 receives the charges.

11 (5) The regulations shall provide that an appointing authority or a 12 designated representative and an employee may agree to the holding in abeyance of a 13 disciplinary action for a period not to exceed 18 months in order to permit an employee 14 to improve conduct or performance.

15 (6) [Subject to the provisions of paragraph (7) of this subsection, the] 16 **THE** employee grievance procedures shall include, at a minimum, the following 17 sequence of levels of appeal:

(i) Initially an aggrieved employee shall present any grievance
to the appointing authority or a designated representative, who shall render a written
decision;

(ii) Any appeal shall be presented to the Secretary or a
 designated representative, who shall render a written decision; AND

(iii) If the dispute is still unresolved, the [appeal shall be
referred] AGGRIEVED EMPLOYEE MAY APPEAL to the Office of Administrative
Hearings or a mutually agreed upon third party arbiter [who] THAT may not hear
grievances relating to classification, salary, or fiscal matters[; and

(iv) For disciplinary actions only, either party may appeal any
decision of the Office of Administrative Hearings or a third party arbiter to the
Secretary of Budget and Management or that Secretary's designee].

30 These regulations shall include procedures that ensure that all (7)31employees of the Department covered by this section and all employees hired after 32 June 1, 1992 shall be entitled to the same levels of appeal provided for in the State 33 employees' grievance procedures contained in Title 12 of the State Personnel and Pensions Article. Any disciplinary action taken against such employees by the 3435Department shall include the same levels of appeal contained in Division I of the State 36 Personnel and Pensions Article and its implementing regulations] THE SECRETARY 37 SHALL ESTABLISH APPEAL PROCEDURES FOR DISCIPLINARY ACTIONS THROUGH 38 **REGULATIONS AND POLICY.**

During any stage of a complaint, grievance, or other 1 (8)(i) $\mathbf{2}$ administrative or legal action that concerns State employment by a full-time or 3 part-time executive service, career service, or commission plan employee of the 4 Department, or by a temporary or contractual employee of the Department, the $\mathbf{5}$ employee may not be subjected to coercion, discrimination, interference, reprisal, or 6 restraint by or initiated on behalf of the Department solely as a result of that 7employee's pursuit of a grievance, complaint, or other administrative or legal action 8 that concerns State employment.

9 (ii) An employee of the Department may not intentionally take 10 or assist in taking an act of coercion, discrimination, interference, reprisal, or restraint 11 against another employee solely as a result of that employee's pursuit of a grievance, 12 complaint, or other administrative or legal action that concerns State employment.

(iii) An employee who violates the provisions of this paragraph is
 subject to disciplinary action, including termination of employment.

15 SECTION 2. AND BE IT FURTHER ENACTED, That this Act shall take effect16 October 1, 2011.