

HOUSE BILL 762

D1, D3

2lr2561
CF SB 856

By: **Delegate Dumais**

Introduced and read first time: February 9, 2012

Assigned to: Judiciary

Committee Report: Favorable with amendments

House action: Adopted

Read second time: March 19, 2012

CHAPTER _____

1 AN ACT concerning

2 **Courts and Judicial Proceedings – Maryland Mediation Confidentiality Act**

3 FOR the purpose of establishing that certain communications made in the course of
4 and relating to certain mediations may not be disclosed by the mediators,
5 parties to the mediations, or certain persons who participate in or are present
6 for the mediations, under certain circumstances; establishing certain exceptions
7 for certain communications; providing for the application of this Act; defining
8 certain terms; providing that this Act may be cited as the Maryland Mediation
9 Confidentiality Act; and generally relating to confidentiality of mediation
10 communications.

11 BY adding to

12 Article – Courts and Judicial Proceedings

13 Section 3–1801 through 3–1806 to be under the new subtitle “Subtitle 18.
14 Maryland Mediation Confidentiality Act”

15 Annotated Code of Maryland

16 (2006 Replacement Volume and 2011 Supplement)

17 SECTION 1. BE IT ENACTED BY THE GENERAL ASSEMBLY OF
18 MARYLAND, That the Laws of Maryland read as follows:

19 **Article – Courts and Judicial Proceedings**

20 **SUBTITLE 18. MARYLAND MEDIATION CONFIDENTIALITY ACT.**

EXPLANATION: CAPITALS INDICATE MATTER ADDED TO EXISTING LAW.

[Brackets] indicate matter deleted from existing law.

Underlining indicates amendments to bill.

~~Strike out~~ indicates matter stricken from the bill by amendment or deleted from the law by amendment.



1 **3-1801.**

2 (A) IN THIS SUBTITLE THE FOLLOWING WORDS HAVE THE MEANINGS
3 INDICATED.

4 (B) "MEDIATION" MEANS A PROCESS IN WHICH PARTIES WORK WITH
5 ONE OR MORE IMPARTIAL MEDIATORS WHO ASSIST THE PARTIES IN REACHING A
6 VOLUNTARY AGREEMENT FOR THE RESOLUTION OF A DISPUTE OR ISSUES THAT
7 ARE PART OF A DISPUTE.

8 (C) (1) "MEDIATION COMMUNICATION" MEANS A COMMUNICATION,
9 WHETHER BY SPEECH, WRITING, OR CONDUCT, MADE AS PART OF A MEDIATION.

10 (2) "MEDIATION COMMUNICATION" INCLUDES A
11 COMMUNICATION MADE FOR THE PURPOSE OF CONSIDERING, INITIATING,
12 CONTINUING, RECONVENING, OR EVALUATING A MEDIATION OR A MEDIATOR.

13 (D) "MEDIATOR" MEANS AN INDIVIDUAL WHO:

14 (1) ASSISTS PARTIES IN REACHING THEIR OWN VOLUNTARY
15 AGREEMENT FOR THE RESOLUTION OF A DISPUTE;

16 (2) HAS COMPLETED AT LEAST 40 HOURS OF BASIC MEDIATION
17 TRAINING; AND

18 (3) ADHERES TO THE MARYLAND STANDARD OF CONDUCT FOR
19 MEDIATORS.

20 ~~(D)~~ (E) "PARTY" MEANS A PERSON THAT PARTICIPATES IN A
21 MEDIATION AND WHOSE AGREEMENT IS NECESSARY TO RESOLVE THE DISPUTE.

22 **3-1802.**

23 (A) EXCEPT AS PROVIDED IN SUBSECTION (B) OF THIS SECTION, THIS
24 SUBTITLE APPLIES TO A MEDIATION IN WHICH THE PARTIES:

25 (1) ARE REQUIRED TO MEDIATE BY LAW OR ARE REFERRED TO
26 MEDIATION BY AN ADMINISTRATIVE AGENCY OR ARBITRATOR; OR

27 (2) AGREE IN WRITING THAT THE MEDIATION COMMUNICATIONS
28 WILL REMAIN CONFIDENTIAL.

29 (B) THIS SUBTITLE DOES NOT APPLY TO A MEDIATION:

1 **(1) TO WHICH TITLE 17 OF THE MARYLAND RULES APPLIES;**

2 **(2) RELATING TO THE ESTABLISHMENT, NEGOTIATION,**
3 **ADMINISTRATION, OR TERMINATION OF A COLLECTIVE BARGAINING**
4 **RELATIONSHIP;**

5 **(3) RELATING TO A DISPUTE THAT IS PENDING UNDER, OR IS**
6 **PART OF THE PROCESSES ESTABLISHED BY, A COLLECTIVE BARGAINING**
7 **AGREEMENT UNLESS THE DISPUTE HAS BEEN FILED WITH AN ADMINISTRATIVE**
8 **AGENCY OR COURT;**

9 **(4) RELATING TO AN ACTION TO ENFORCE AN AGREEMENT TO**
10 **ARBITRATE UNDER COMMON LAW, THE FEDERAL ARBITRATION ACT, THE**
11 **MARYLAND UNIFORM ARBITRATION ACT UNDER SUBTITLE 2 OF THIS TITLE, OR**
12 **THE MARYLAND INTERNATIONAL COMMERCIAL ARBITRATION ACT UNDER**
13 **SUBTITLE 2B OF THIS TITLE;**

14 **(5) RELATING TO AN ACTION TO FORECLOSE A LIEN AGAINST AN**
15 **OWNER–OCCUPIED RESIDENTIAL PROPERTY SUBJECT TO FORECLOSURE**
16 **MEDIATION CONDUCTED BY THE OFFICE OF ADMINISTRATIVE HEARINGS**
17 **UNDER MARYLAND RULE 14–209.1;**

18 **(6) ARISING FROM A REFERRAL OF A MATTER TO A MASTER,**
19 **EXAMINER, AUDITOR, OR PARENTING COORDINATOR UNDER MARYLAND RULES**
20 **2–541, 2–542, 2–543, OR 9–205.2;**

21 **(7) CONDUCTED BY A JUDGE WHO MIGHT MAKE A RULING ON A**
22 **CASE BASED ON THE DISPUTE; OR**

23 **(8) IN WHICH THE PARTIES AND THE MEDIATOR, BY A WRITTEN**
24 **AND SIGNED AGREEMENT MADE IN ADVANCE OF THE MEDIATION, AGREE TO**
25 **EXCLUDE ALL OR PART OF THE MEDIATION COMMUNICATIONS FROM THE**
26 **APPLICATION OF THIS SUBTITLE.**

27 **3–1803.**

28 **(A) EXCEPT AS PROVIDED IN § 3–1804 OF THIS SUBTITLE, A MEDIATOR**
29 **OR ANY PERSON PRESENT OR OTHERWISE PARTICIPATING IN A MEDIATION AT**
30 **THE REQUEST OF A MEDIATOR:**

31 **(1) SHALL MAINTAIN THE CONFIDENTIALITY OF ALL MEDIATION**
32 **COMMUNICATIONS; AND**

1 (2) MAY NOT DISCLOSE OR BE COMPELLED TO DISCLOSE
2 MEDIATION COMMUNICATIONS IN ANY JUDICIAL, ADMINISTRATIVE, OR OTHER
3 PROCEEDING.

4 (B) EXCEPT AS PROVIDED IN § 3-1804 OF THIS SUBTITLE:

5 (1) ~~THE PARTIES~~ A PARTY TO MEDIATION AND ANY PERSON
6 PRESENT OR OTHERWISE PARTICIPATING IN THE MEDIATION AT THE REQUEST
7 OF A PARTY MAY ENTER INTO A WRITTEN AGREEMENT TO MAINTAIN THE
8 CONFIDENTIALITY OF ALL MEDIATION COMMUNICATIONS ~~AND MAY REQUIRE~~
9 ~~ANY PERSON PRESENT OR OTHERWISE PARTICIPATING IN THE MEDIATION AT~~
10 ~~THE REQUEST OF A PARTY TO MAINTAIN THE CONFIDENTIALITY OF ALL~~
11 ~~MEDIATION COMMUNICATIONS; AND~~

12 (2) ~~ANY~~ A PARTY TO MEDIATION AND ANY PERSON PRESENT OR
13 OTHERWISE PARTICIPATING IN THE MEDIATION AT THE REQUEST OF A PARTY
14 MAY NOT DISCLOSE OR BE COMPELLED TO DISCLOSE MEDIATION
15 COMMUNICATIONS IN ANY JUDICIAL, ADMINISTRATIVE, OR OTHER PROCEEDING
16 IF THE PARTY OR PERSON SIGNED A WRITTEN AGREEMENT TO MAINTAIN THE
17 CONFIDENTIALITY OF ALL MEDIATION COMMUNICATIONS.

18 **3-1804.**

19 (A) A DOCUMENT SIGNED BY THE PARTIES THAT RECORDS POINTS OF
20 AGREEMENT EXPRESSED BY THE PARTIES OR THAT CONSTITUTES AN
21 AGREEMENT REACHED BY THE PARTIES AS A RESULT OF MEDIATION IS NOT
22 CONFIDENTIAL UNLESS THE PARTIES AGREE OTHERWISE IN WRITING.

23 (B) IN ADDITION TO ANY OTHER DISCLOSURE REQUIRED BY LAW, A
24 MEDIATOR, A PARTY, OR A PERSON WHO WAS PRESENT OR WHO OTHERWISE
25 PARTICIPATED IN A MEDIATION AT THE REQUEST OF THE MEDIATOR OR A
26 PARTY MAY DISCLOSE MEDIATION COMMUNICATIONS:

27 (1) TO A POTENTIAL VICTIM OR TO THE APPROPRIATE LAW
28 ENFORCEMENT AUTHORITY TO THE EXTENT THAT THE MEDIATOR, PARTY, OR
29 PERSON REASONABLY BELIEVES THE DISCLOSURE IS NECESSARY TO PREVENT
30 ~~SERIOUS~~ BODILY HARM OR DEATH TO THE POTENTIAL VICTIM;

31 (2) TO THE EXTENT NECESSARY TO ASSERT OR DEFEND AGAINST
32 ALLEGATIONS OF MEDIATOR MISCONDUCT OR NEGLIGENCE;

33 (3) TO THE EXTENT NECESSARY TO ASSERT OR DEFEND AGAINST
34 ALLEGATIONS OF PROFESSIONAL MISCONDUCT OR MALPRACTICE BY A PARTY
35 OR ANY PERSON WHO WAS PRESENT OR WHO OTHERWISE PARTICIPATED IN THE

1 MEDIATION AT THE REQUEST OF A PARTY, EXCEPT THAT A MEDIATOR MAY NOT
2 BE COMPELLED TO PARTICIPATE IN A PROCEEDING ARISING OUT OF THE
3 DISCLOSURE; OR

4 (4) TO THE EXTENT NECESSARY TO ASSERT OR DEFEND AGAINST
5 ~~A ANY CLAIM OR DEFENSE THAT, BECAUSE OF FRAUD, DURESS, OR~~
6 ~~MISREPRESENTATION,~~ A CONTRACT ARISING OUT OF A MEDIATION SHOULD BE
7 RESCINDED OR DAMAGES SHOULD BE AWARDED.

8 (C) A COURT MAY ORDER MEDIATION COMMUNICATIONS TO BE
9 DISCLOSED ONLY TO THE EXTENT THAT THE COURT DETERMINES THAT THE
10 DISCLOSURE IS NECESSARY TO PREVENT ~~A MANIFEST~~ AN INJUSTICE OR HARM
11 TO THE PUBLIC INTEREST THAT IS OF SUFFICIENT MAGNITUDE IN THE
12 PARTICULAR CASE TO OUTWEIGH THE INTEGRITY OF MEDIATION PROCEEDINGS
13 ~~IN GENERAL BY REDUCING THE CONFIDENCE OF PARTIES IN FUTURE CASES~~
14 ~~THAT THEIR COMMUNICATIONS WILL REMAIN CONFIDENTIAL.~~

15 **3-1805.**

16 MEDIATION COMMUNICATIONS THAT ARE CONFIDENTIAL UNDER THIS
17 SUBTITLE ARE NOT SUBJECT TO DISCOVERY, BUT INFORMATION THAT IS
18 OTHERWISE ADMISSIBLE OR SUBJECT TO DISCOVERY DOES NOT BECOME
19 INADMISSIBLE OR PROTECTED FROM DISCLOSURE SOLELY BY REASON OF ITS
20 USE IN MEDIATION.

21 **3-1806.**

22 THIS SUBTITLE MAY BE CITED AS THE MARYLAND MEDIATION
23 CONFIDENTIALITY ACT.

24 SECTION 2. AND BE IT FURTHER ENACTED, That this Act shall take effect
25 October 1, 2012.

Approved:

Governor.

Speaker of the House of Delegates.

President of the Senate.