Chapter 250

(Senate Bill 385)

AN ACT concerning

State Government – Health, Education, and Social Services Provider Data Warehouse <u>– Submission of Documents in Electronic Form</u>

FOR the purpose of requiring the State to authorize private sector development of a certain data warehouse for certain providers: authorizing a certain provider to store certain information in the data warehouse; prohibiting a certain provider from using the data warehouse for certain purposes; authorizing a certain provider to provide a State agency with certain information in a certain manner; requiring a State agency to accept a certain submission as the equivalent of certain documents; prohibiting a State agency from requiring a certain provider to use the data warehouse; requiring a provider that uses the data warehouse to ensure that the data is current and accessible to a certain State agency: authorizing a State agency to request additional or updated information from a certain provider under certain circumstances; requiring a provider to submit certain information to a certain State agency within a certain period of time after receiving a request from the agency; requiring access to the data warehouse to be provided to a State agency free of charge; defining certain terms; and generally relating to a health, education, and social services provider data warehouse requiring the Council for the Procurement of Health, Education, and Social Services to establish a workgroup to determine a process for certain entities to submit certain electronic documents to certain agencies; requiring the Council to report to certain committees of the General Assembly on or before a certain date; providing for the application of this Act; and generally relating to health, education, and social services and the electronic submission of documents.

BY adding to

Article - State Government

Section 10-645 to be under the new part "Part VI. Health, Education, and Social Services Provider Data Warehouse" Annotated Code of Maryland

(2009 Replacement Volume and 2012 Supplement)

Preamble

WHEREAS, The Task Force to Study the Procurement of Health, Education, and Social Services by State Agencies recommended the development of an Internet-based data warehouse (document vault) eliminating duplicative and voluminous submissions to multiple agencies; and WHEREAS, The State remains committed to identifying all means of environmentally sound communication methods; and

WHEREAS, Providers of health, education, and social services are committed to efficient and cost effective transmission and preservation of data; and

WHEREAS, Private providers of health, education, and social services are required to submit to State licensing agencies volumes of corporate, fiscal, and administrative documents on a recurring basis and are required to keep voluminous certification files on foster parents; and

WHEREAS, All State agencies, facilities, and programs are taking steps to support Governor O'Malley's Smart, Green, and Growing initiative, which includes encouraging State employees to view documents electronically instead of on paper whenever possible; now, therefore,

SECTION 1. BE IT ENACTED BY THE GENERAL ASSEMBLY OF MARYLAND, That the Laws of Maryland read as follows:

Article - State Government

10-643. RESERVED.

10-644. RESERVED.

PART VI. HEALTH, EDUCATION, AND SOCIAL SERVICES PROVIDER DATA WAREHOUSE.

10-645.

(A) (1) IN THIS SECTION THE FOLLOWING WORDS HAVE THE MEANINGS INDICATED.

(2) "DATA WAREHOUSE" MEANS AN INTERNET-BASED, SECURE, AND CONSOLIDATED DOCUMENT STORAGE AND RETRIEVAL SYSTEM FOR CORPORATE, FISCAL, AND ADMINISTRATIVE DATA NECESSARY FOR THE LICENSING AND PROCUREMENT OF HEALTH, EDUCATION, AND SOCIAL SERVICES AND MADE AVAILABLE TO STATE AGENCY PERSONNEL FOR THOSE PURPOSES.

(3) "PROVIDER" MEANS A HEALTH, EDUCATION, OR SOCIAL SERVICES PROVIDER.

(B) THE STATE SHALL AUTHORIZE PRIVATE SECTOR DEVELOPMENT AND IMPLEMENTATION OF A DATA WAREHOUSE FOR MAINTAINING CORPORATE, FISCAL, AND ADMINISTRATIVE RECORDS OF PRIVATE PROVIDERS.

(C) EXCEPT AS OTHERWISE PROVIDED IN SUBSECTION (D) OF THIS SECTION, A PRIVATE PROVIDER MAY USE THE DATA WAREHOUSE TO STORE DOCUMENTS REQUIRED:

(1) FOR THE INITIAL LICENSURE AND RE-LICENSURE OF PRIVATE HEALTH, EDUCATION, AND SOCIAL SERVICES;

(2) FOR THE PERIODIC LICENSING AND CONTRACT COMPLIANCE MONITORING OF PROGRAMS SPECIFIED IN ITEM (1) OF THIS SUBSECTION;

(3) FOR THE CERTIFICATION AND RE-CERTIFICATION OF PRIVATE TREATMENT FOSTER PARENTS IN ACCORDANCE WITH COMAR 07.05.02; AND

(4) BY A STATE AGENCY FOR THE PROCUREMENT OF PRIVATE HEALTH, EDUCATION, AND SOCIAL SERVICES.

(D) A PRIVATE PROVIDER MAY NOT USE THE DATA WAREHOUSE FOR THE STORAGE OR TRANSMISSION OF CLIENT FILES OR RECORDS.

(E) IF A PRIVATE PROVIDER USES THE DATA WAREHOUSE:

(1) THE PRIVATE PROVIDER MAY PROVIDE A STATE AGENCY WITH THE NECESSARY INFORMATION TO ACCESS DOCUMENTS IN THE DATA WAREHOUSE INSTEAD OF SUBMITTING PAPER DOCUMENTS OR ELECTRONIC FILES; AND

(2) THE STATE AGENCY SHALL ACCEPT THE SUBMISSION AS THE EQUIVALENT OF PAPER DOCUMENTS OR ELECTRONIC FILES.

(F) A STATE AGENCY MAY NOT REQUIRE A PROVIDER TO USE THE DATA WAREHOUSE.

(G) A PROVIDER THAT USES THE DATA WAREHOUSE SHALL ENSURE THAT THE DATA STORED BY THE PROVIDER IS CURRENT AND ACCESSIBLE TO THE APPROPRIATE STATE AGENCY.

(II) (1) IF THE INFORMATION PROVIDED TO THE APPROPRIATE STATE AGENCY IS NOT CURRENT OR IS UNAVAILABLE FROM THE DATA WAREHOUSE, THE STATE AGENCY MAY REQUEST ADDITIONAL OR UPDATED INFORMATION FROM THE PROVIDER.

(2) A provider shall submit any additional or updated information to the data warehouse within 10 business days after receiving a request from a State agency.

(1) ACCESS TO THE DATA WAREHOUSE SHALL BE PROVIDED TO STATE AGENCIES WITHOUT CHARGE.

<u>SECTION 1. BE IT ENACTED BY THE GENERAL ASSEMBLY OF</u> <u>MARYLAND, That the Council for the Procurement of Health, Education, and Social</u> <u>Services shall:</u>

(a) establish a workgroup of Council members and appropriate staff from the State agencies that license health, education, or social services programs to determine a process for nongovernmental entities that provide health, education, or social services in the State to submit documents in an electronic form to the State agencies, by direct transmission or by posting to an online system for document storage, including:

- (1) <u>naming and formatting documents;</u>
- (2) <u>submitting, updating, and retrieving documents;</u>
- (3) security measures;

(4) <u>standards necessary for the efficient and secure submission of</u> <u>electronic documents; and</u>

(5) <u>a recommended implementation date; and</u>

(b) on or before October 1, 2013 January 1, 2014, report to the Senate Education, Health, and Environmental Affairs Committee and the House Health and Government Operations Committee, in accordance with § 2–1246 of the State Government Article, on the process determined under subsection (a) of this section.

<u>SECTION 2. AND BE IT FURTHER ENACTED</u>, That nothing in this Act may be construed to limit any existing authority of a State agency to accept documents or information by electronic transmission.

SECTION $\frac{2}{2}$, 3. AND BE IT FURTHER ENACTED, That this Act shall take effect $\frac{1}{2}$ effect $\frac{1}{2}$ or 1, 2013.

Approved by the Governor, May 2, 2013.