5 lr 2715

By: **Delegate Mireku–North** Introduced and read first time: January 31, 2025 Assigned to: Ways and Means

A BILL ENTITLED

1 AN ACT concerning

2 School Psychologist Interstate Licensure Compact

- FOR the purpose of entering into the School Psychologist Interstate Licensure Compact for
 the purpose of authorizing licensed school psychologists who hold multistate licenses
 to provide school psychological services in member states; establishing requirements
 for multistate licensure; establishing the School Psychologist Interstate Licensure
 Compact Commission; providing for the withdrawal from the Compact; and generally
 relating to the School Psychologist Interstate Licensure Compact.
- 9 BY adding to
- 10 Article Education
- 11Section 25-401 and 25-402 to be under the new subtitle "Subtitle 4. School12Psychologist Interstate Licensure Compact"
- 13 Annotated Code of Maryland
- 14 (2022 Replacement Volume and 2024 Supplement)
- 15 SECTION 1. BE IT ENACTED BY THE GENERAL ASSEMBLY OF MARYLAND,
 16 That the Laws of Maryland read as follows:
- 17 Article Education
- 18 SUBTITLE 4. SCHOOL PSYCHOLOGIST INTERSTATE LICENSURE COMPACT.
- 19 **25–401.**
- 20 THE SCHOOL PSYCHOLOGIST INTERSTATE LICENSURE COMPACT IS HEREBY 21 ENACTED INTO LAW AND ENTERED INTO LAW BY THIS STATE WITH ALL STATES
- 22 LEGALLY JOINING IT, IN THE FORM SUBSTANTIALLY AS IT APPEARS IN § 25-402 OF
- 23 THIS SUBTITLE.

EXPLANATION: CAPITALS INDICATE MATTER ADDED TO EXISTING LAW. [Brackets] indicate matter deleted from existing law.



HOUSE BILL 959

1 **25–402.**

 $\mathbf{2}$

SCHOOL PSYCHOLOGIST INTERSTATE LICENSURE COMPACT.

3 SECTION 1. PURPOSE.

4 THE PURPOSE OF THIS COMPACT IS TO FACILITATE THE INTERSTATE PRACTICE OF SCHOOL PSYCHOLOGY IN EDUCATIONAL OR SCHOOL SETTINGS, AND $\mathbf{5}$ 6 IN SO DOING TO IMPROVE THE AVAILABILITY OF SCHOOL PSYCHOLOGICAL 7 SERVICES TO THE PUBLIC. THIS COMPACT IS INTENDED TO ESTABLISH A PATHWAY TO ALLOW SCHOOL PSYCHOLOGISTS TO OBTAIN EQUIVALENT LICENSES TO 8 PROVIDE SCHOOL PSYCHOLOGICAL SERVICES IN ANY MEMBER STATE. IN THIS 9 WAY, THIS COMPACT SHALL ENABLE THE MEMBER STATES TO ENSURE THAT SAFE 10 AND EFFECTIVE SCHOOL PSYCHOLOGICAL SERVICES ARE AVAILABLE AND 11 12DELIVERED BY APPROPRIATELY QUALIFIED PROFESSIONALS IN THEIR 13EDUCATIONAL SETTINGS.

14 **TO FACILITATE THE OBJECTIVES DESCRIBED ABOVE, THIS COMPACT:**

15A. ENABLES SCHOOL PSYCHOLOGISTS WHO QUALIFY FOR RECEIPT OF AN16EQUIVALENT LICENSE TO PRACTICE IN OTHER MEMBER STATES WITHOUT FIRST17SATISFYING BURDENSOME AND DUPLICATIVE REQUIREMENTS;

18 **B.** PROMOTES THE MOBILITY OF SCHOOL PSYCHOLOGISTS BETWEEN AND 19 AMONG THE MEMBER STATES IN ORDER TO ADDRESS WORKFORCE SHORTAGES AND 20 TO ENSURE THAT SAFE AND RELIABLE SCHOOL PSYCHOLOGICAL SERVICES ARE 21 AVAILABLE IN EACH MEMBER STATE;

C. ENHANCES THE PUBLIC ACCESSIBILITY OF SCHOOL PSYCHOLOGICAL
SERVICES BY INCREASING THE AVAILABILITY OF QUALIFIED, LICENSED SCHOOL
PSYCHOLOGISTS THROUGH THE ESTABLISHMENT OF AN EFFICIENT AND
STREAMLINED PATHWAY FOR LICENSEES TO PRACTICE IN OTHER MEMBER STATES;

26 D. PRESERVES AND RESPECTS THE AUTHORITY OF EACH MEMBER STATE 27 TO PROTECT THE HEALTH AND SAFETY OF ITS RESIDENTS BY ENSURING THAT ONLY 28 QUALIFIED, LICENSED PROFESSIONALS ARE AUTHORIZED TO PROVIDE SCHOOL 29 PSYCHOLOGICAL SERVICES WITHIN THAT STATE;

30E.REQUIRES SCHOOL PSYCHOLOGISTS PRACTICING WITHIN A MEMBER31STATE TO COMPLY WITH THE SCOPE OF PRACTICE LAWS PRESENT IN THE STATE32WHERE THE SCHOOL PSYCHOLOGICAL SERVICES ARE BEING PROVIDED;

 $\mathbf{2}$

1F.PROMOTESCOOPERATIONBETWEENTHEMEMBERSTATESIN2REGULATING THE PRACTICE OF SCHOOL PSYCHOLOGY WITHIN THOSE STATES; AND

3 G. FACILITATES THE RELOCATION OF MILITARY MEMBERS AND THEIR 4 SPOUSES WHO ARE LICENSED TO PROVIDE SCHOOL PSYCHOLOGICAL SERVICES.

5 SECTION 2. DEFINITIONS.

6 A. "ACTIVE MILITARY MEMBER" MEANS ANY PERSON WITH FULL-TIME 7 DUTY STATUS IN THE ARMED FORCES OF THE UNITED STATES, INCLUDING 8 MEMBERS OF THE NATIONAL GUARD AND RESERVE.

9 **B.** "Adverse Action" means disciplinary action or encumbrance 10 Imposed on a License by a State Licensing Authority.

11 **C**. **"ALTERNATIVE** PROGRAM" MEANS Α NON-DISCIPLINARY, PROSECUTORIAL DIVERSION, MONITORING, OR PRACTICE REMEDIATION PROCESS 12ENTERED INTO IN LIEU OF AN ADVERSE ACTION WHICH IS APPLICABLE TO A 13 SCHOOL PSYCHOLOGIST AND APPROVED BY THE STATE LICENSING AUTHORITY OF 14A MEMBER STATE IN WHICH THE PARTICIPATING SCHOOL PSYCHOLOGIST IS 15LICENSED. THIS INCLUDES, BUT IS NOT LIMITED TO, PROGRAMS TO WHICH 16LICENSEES WITH SUBSTANCE ABUSE OR ADDICTION ISSUES MAY BE REFERRED IN 17LIEU OF AN ADVERSE ACTION. 18

19 D. "Commissioner" means the individual appointed by a Member 20 State to serve as the representative to the Commission for that 21 Member State.

22 E. "COMPACT" MEANS THIS SCHOOL PSYCHOLOGIST INTERSTATE 23 LICENSURE COMPACT.

F. "CONTINUING PROFESSIONAL EDUCATION" MEANS A REQUIREMENT, IMPOSED BY A MEMBER STATE AS A CONDITION OF LICENSE RENEWAL TO PROVIDE EVIDENCE OF SUCCESSFUL PARTICIPATION IN PROFESSIONAL EDUCATIONAL ACTIVITIES RELEVANT TO THE PROVISION OF SCHOOL PSYCHOLOGICAL SERVICES.

G. "CRIMINAL BACKGROUND CHECK" MEANS THE SUBMISSION OF FINGERPRINTS OR OTHER BIOMETRIC INFORMATION FOR A LICENSE APPLICANT FOR THE PURPOSE OF OBTAINING THAT APPLICANT'S CRIMINAL HISTORY RECORD INFORMATION, AS DEFINED IN 28 C.F.R. § 20.3(D), AND THE STATE'S CRIMINAL HISTORY RECORD REPOSITORY AS DEFINED IN 28 C.F.R. § 20.3(F). 1H. "DOCTORAL LEVEL DEGREE" MEANS A GRADUATE DEGREE PROGRAM2THAT CONSISTS OF AT LEAST 90 GRADUATE SEMESTER HOURS IN THE FIELD OF3SCHOOL PSYCHOLOGY INCLUDING A SUPERVISED INTERNSHIP.

4 I. "ENCUMBERED LICENSE" MEANS A LICENSE THAT A STATE LICENSING 5 AUTHORITY HAS LIMITED IN ANY WAY OTHER THAN THROUGH AN ALTERNATIVE 6 PROGRAM, INCLUDING TEMPORARY OR PROVISIONAL LICENSES.

J. "EXECUTIVE COMMITTEE" MEANS THE COMMISSION'S CHAIR, VICE
CHAIR, SECRETARY AND TREASURER AND ANY OTHER COMMISSIONERS AS MAY BE
DETERMINED BY COMMISSION RULE OR BYLAW.

10 K. "EQUIVALENT LICENSE" MEANS A LICENSE TO PRACTICE SCHOOL 11 PSYCHOLOGY WHICH A MEMBER STATE HAS IDENTIFIED AS A LICENSE WHICH MAY 12 BE PROVIDED TO SCHOOL PSYCHOLOGISTS FROM OTHER MEMBER STATES 13 PURSUANT TO THIS COMPACT.

14 L. "HOME STATE" MEANS THE MEMBER STATE THAT ISSUED THE HOME 15 STATE LICENSE TO THE LICENSEE AND IS THE LICENSEE'S PRIMARY STATE OF 16 PRACTICE.

17 M. "HOME STATE LICENSE" MEANS THE LICENSE THAT IS NOT AN 18 ENCUMBERED LICENSE ISSUED BY THE HOME STATE TO PROVIDE SCHOOL 19 PSYCHOLOGICAL SERVICES.

20N. "LICENSE" MEANS A CURRENT LICENSE, CERTIFICATION, OR OTHER21AUTHORIZATION GRANTED BY A MEMBER STATE'S LICENSING AUTHORITY THAT22PERMITS AN INDIVIDUAL TO PROVIDE SCHOOL PSYCHOLOGICAL SERVICES.

23 O. "LICENSEE" MEANS AN INDIVIDUAL WHO HOLDS A LICENSE FROM A 24 MEMBER STATE TO PROVIDE SCHOOL PSYCHOLOGICAL SERVICES.

P. "MEMBER STATE" MEANS A STATE THAT HAS ENACTED THE COMPACT
AND BEEN ADMITTED TO THE COMMISSION IN ACCORDANCE WITH THE PROVISIONS
HEREIN AND COMMISSION RULES.

Q. "MODEL COMPACT" MEANS THE MODEL LANGUAGE FOR THE SCHOOL
PSYCHOLOGIST INTERSTATE LICENSURE COMPACT ON FILE WITH THE COUNCIL OF
STATE GOVERNMENTS OR OTHER ENTITY AS DESIGNATED BY THE COMMISSION.

31R. "PRACTICE OF SCHOOL PSYCHOLOGY" MEANS THE DELIVERY SCHOOL32PSYCHOLOGICAL SERVICES.

1 S. "QUALIFYING NATIONAL EXAM" MEANS A NATIONAL LICENSING 2 EXAMINATION ENDORSED BY THE NATIONAL ASSOCIATION OF SCHOOL 3 PSYCHOLOGISTS AND ANY OTHER EXAM AS APPROVED BY THE RULES OF THE 4 COMMISSION.

Т. "QUALIFYING SCHOOL PSYCHOLOGIST EDUCATION PROGRAM" MEANS $\mathbf{5}$ 6 EDUCATION PROGRAM WHICH Α SPECIALIST-LEVEL AWARDS OR AN DOCTORAL-LEVEL DEGREE OR EQUIVALENT UPON COMPLETION AND IS APPROVED 7 8 BY THE RULES OF THE COMMISSION AS MEETING THE NECESSARY MINIMUM 9 EDUCATIONAL STANDARDS TO ENSURE THAT ITS GRADUATES ARE READY, QUALIFIED, AND ABLE TO ENGAGE IN THE PRACTICE OF SCHOOL PSYCHOLOGY. 10

11 U. "REMOTE STATE" MEANS A MEMBER STATE OTHER THAN THE HOME 12 STATE WHERE A LICENSEE HOLDS A LICENSE THROUGH THE COMPACT.

13 V. "RULE" MEANS A REGULATION PROMULGATED BY AN ENTITY, 14 INCLUDING BUT NOT LIMITED TO THE COMMISSION AND THE STATE LICENSING 15 AUTHORITY OF EACH MEMBER STATE, THAT HAS THE FORCE OF LAW.

16 W. "SCHOOL PSYCHOLOGICAL SERVICES" MEANS ACADEMIC, MENTAL AND ASSESSMENT, 17BEHAVIORAL HEALTH SERVICES INCLUDING PREVENTION, CONSULTATION COLLABORATION, INTERVENTION, AND EVALUATION 18 AND PROVIDED BY A SCHOOL PSYCHOLOGIST IN A SCHOOL, AS OUTLINED IN APPLICABLE 19 PROFESSIONAL STANDARDS AS DETERMINED BY COMMISSION RULE. 20

21 X. "SCHOOL PSYCHOLOGIST" MEANS AN INDIVIDUAL WHO HAS MET THE 22 REQUIREMENTS TO OBTAIN A HOME STATE LICENSE THAT LEGALLY CONVEYS THE 23 PROFESSIONAL TITLE OF SCHOOL PSYCHOLOGIST, OR ITS EQUIVALENT AS 24 DETERMINED BY THE RULES OF THE COMMISSION.

Y. "School Psychologist Interstate 25LICENSURE Сомраст COMMISSION" OR "COMMISSION" MEANS THE JOINT GOVERNMENT AGENCY 2627ESTABLISHED BY THIS COMPACT WHOSE **MEMBERSHIP** CONSISTS OF 28REPRESENTATIVES FROM EACH MEMBER STATE THAT HAS ENACTED THE 29COMPACT, AND AS FURTHER DESCRIBED IN SECTION 7.

Z. "SCOPE OF PRACTICE" MEANS THE PROCEDURES, ACTIONS, AND 30 PROCESSES A SCHOOL PSYCHOLOGIST LICENSED IN A STATE IS PERMITTED TO 31 UNDERTAKE IN THAT STATE AND THE CIRCUMSTANCES UNDER WHICH THAT 32LICENSEE IS PERMITTED TO UNDERTAKE THOSE PROCEDURES, ACTIONS, AND 33 SUCH PROCEDURES, ACTIONS, AND PROCESSES, AND THE 34PROCESSES. CIRCUMSTANCES UNDER WHICH THEY MAY BE UNDERTAKEN, MAY BE ESTABLISHED 35THROUGH MEANS INCLUDING, BUT NOT LIMITED TO, STATUTE, REGULATIONS, CASE 36

LAW, AND OTHER PROCESSES AVAILABLE TO THE STATE LICENSING AUTHORITY OR
 OTHER GOVERNMENT AGENCY.

3 AA. "Specialist-Level Degree" means a degree program that 4 Requires at least 60 graduate semester hours or equivalent in the field 5 OF School Psychology including a supervised internship.

6 **BB. "STATE" MEANS ANY STATE, COMMONWEALTH, DISTRICT, OR** 7 TERRITORY OF THE UNITED STATES OF AMERICA.

8 CC. "STATE LICENSING AUTHORITY" MEANS A MEMBER STATE'S 9 REGULATORY BODY RESPONSIBLE FOR ISSUING LICENSES OR OTHERWISE 10 OVERSEEING THE PRACTICE OF SCHOOL PSYCHOLOGY.

11 DD. "STATE SPECIFIC REQUIREMENT" MEANS A REQUIREMENT FOR 12 LICENSURE COVERED IN COURSEWORK OR EXAMINATION THAT INCLUDES CONTENT 13 OF UNIQUE INTEREST TO THE STATE.

14 EE. "UNENCUMBERED LICENSE" MEANS A LICENSE THAT AUTHORIZES A 15 LICENSEE TO ENGAGE IN THE FULL AND UNRESTRICTED PRACTICE OF SCHOOL 16 PSYCHOLOGY.

17 SECTION 3. STATE PARTICIPATION IN THE COMPACT.

18 A. TO BE ELIGIBLE TO JOIN THIS COMPACT, AND TO MAINTAIN 19 ELIGIBILITY AS A MEMBER STATE, A STATE MUST:

20 **1.** ENACT A COMPACT STATUTE THAT IS NOT MATERIALLY 21 DIFFERENT FROM THE MODEL COMPACT AS DEFINED IN THE COMMISSION'S 22 RULES;

23 2. PARTICIPATE IN THE SHARING OF INFORMATION WITH OTHER 24 MEMBER STATES AS REASONABLY NECESSARY TO ACCOMPLISH THE OBJECTIVES 25 OF THIS COMPACT, AND AS FURTHER DEFINED IN SECTION 8;

26 **3.** IDENTIFY AND MAINTAIN WITH THE COMMISSION A LIST OF 27 EQUIVALENT LICENSES AVAILABLE TO LICENSEES WHO HOLD A HOME STATE 28 LICENSE UNDER THIS COMPACT;

294.HAVE A MECHANISM IN PLACE FOR RECEIVING AND30INVESTIGATING COMPLAINTS ABOUT LICENSEES;

15.NOTIFY THE COMMISSION, IN COMPLIANCE WITH THE TERMS OF2THE COMPACT AND THE COMMISSION'S RULES, OF ANY ADVERSE ACTION TAKEN3AGAINST A LICENSEE, OR OF THE AVAILABILITY OF INVESTIGATIVE INFORMATION4WHICH RELATES TO A LICENSEE OR APPLICANT FOR LICENSURE;

5 6. **REQUIRE THAT APPLICANTS FOR A HOME STATE LICENSE HAVE:**

6 A. TAKEN AND PASSED A QUALIFYING NATIONAL EXAM AS 7 DEFINED BY THE RULES OF THE COMMISSION;

8 B. COMPLETED A MINIMUM OF 1200 HOURS OF SUPERVISED 9 INTERNSHIP, OF WHICH AT LEAST 600 MUST HAVE BEEN COMPLETED IN A SCHOOL, 10 PRIOR TO BEING APPROVED FOR LICENSURE; AND

11C.GRADUATED FROM A QUALIFYING SCHOOL PSYCHOLOGIST12EDUCATION PROGRAM; AND

137.COMPLY WITH THE TERMS OF THIS COMPACT AND THE RULES OF14THE COMMISSION.

15 B. EACH MEMBER STATE SHALL GRANT AN EQUIVALENT LICENSE TO 16 PRACTICE SCHOOL PSYCHOLOGY IN THAT STATE UPON APPLICATION BY A 17 LICENSEE WHO SATISFIES THE CRITERIA OF SECTION 4.A. EACH MEMBER STATE 18 SHALL GRANT RENEWAL OF THE EQUIVALENT LICENSE TO A LICENSEE WHO 19 SATISFIES THE CRITERIA OF SECTION 4.B.

20 C. MEMBER STATES MAY SET AND COLLECT A FEE FOR GRANTING AN 21 EQUIVALENT LICENSE.

22 SECTION 4. SCHOOL PSYCHOLOGIST PARTICIPATION IN THE COMPACT.

231.TO OBTAIN AND MAINTAIN AN EQUIVALENT LICENSE FROM A REMOTE24STATE UNDER THIS COMPACT, A LICENSEE MUST:

25 A. HOLD AND MAINTAIN AN ACTIVE HOME STATE LICENSE;

26 B. SATISFY ANY APPLICABLE STATE SPECIFIC REQUIREMENTS 27 ESTABLISHED BY THE MEMBER STATE AFTER AN EQUIVALENT LICENSE IS 28 GRANTED;

29 C. COMPLETE ANY ADMINISTRATIVE OR APPLICATION 30 REQUIREMENTS WHICH THE COMMISSION MAY ESTABLISH BY RULE, AND PAY ANY 31 ASSOCIATED FEES; AND 1 D. COMPLETE ANY REQUIREMENTS FOR RENEWAL IN THE HOME 2 STATE, INCLUDING APPLICABLE CONTINUING PROFESSIONAL EDUCATION 3 REQUIREMENTS.

4 2. UPON THEIR APPLICATION TO RECEIVE A LICENSE UNDER THIS 5 COMPACT, UNDERGO A CRIMINAL BACKGROUND CHECK IN THE MEMBER STATE IN 6 WHICH THE EQUIVALENT LICENSE IS SOUGHT IN ACCORDANCE WITH THE LAWS AND 7 REGULATIONS OF SUCH MEMBER STATE.

8 3. TO RENEW AN EQUIVALENT LICENSE IN A MEMBER STATE OTHER THAN 9 THE HOME STATE, A LICENSEE MUST ONLY APPLY FOR RENEWAL, COMPLETE A 10 BACKGROUND CHECK, AND PAY RENEWAL FEES AS DETERMINED BY THE LICENSING 11 AUTHORITY.

12 SECTION 5. ACTIVE MILITARY MEMBERS OR THEIR SPOUSES.

A LICENSEE WHO IS AN ACTIVE MILITARY MEMBER OR IS THE SPOUSE OF AN
 ACTIVE MILITARY MEMBER SHALL BE DEEMED TO HOLD A HOME STATE LICENSE
 IN ANY OF THE FOLLOWING LOCATIONS:

16 A. THE LICENSEE'S PERMANENT RESIDENCE;

17 B. A MEMBER STATE THAT IS THE LICENSEE'S PRIMARY STATE OF 18 PRACTICE; AND

19 C. A MEMBER STATE WHERE THE LICENSEE HAS RELOCATED PURSUANT 20 TO A PERMANENT CHANGE OF STATION (PCS).

21 SECTION 6. DISCIPLINE/ADVERSE ACTIONS.

A. NOTHING IN THIS COMPACT SHALL BE DEEMED OR CONSTRUED TO LIMIT THE AUTHORITY OF A MEMBER STATE TO INVESTIGATE OR IMPOSE DISCIPLINARY MEASURES ON LICENSEES ACCORDING TO THE STATE PRACTICE LAWS THEREOF.

B. MEMBER STATES SHALL BE AUTHORIZED TO RECEIVE, AND SHALL PROVIDE, FILES AND INFORMATION REGARDING THE INVESTIGATION AND DISCIPLINE, IF ANY, OF LICENSEES IN OTHER MEMBER STATES UPON REQUEST. ANY MEMBER STATE RECEIVING SUCH INFORMATION OR FILES SHALL PROTECT AND MAINTAIN THE SECURITY AND CONFIDENTIALITY THEREOF, IN AT LEAST THE SAME MANNER THAT IT MAINTAINS ITS OWN INVESTIGATORY OR DISCIPLINARY FILES AND INFORMATION. PRIOR TO DISCLOSING ANY DISCIPLINARY OR

1 INVESTIGATORY INFORMATION RECEIVED FROM ANOTHER MEMBER STATE, THE

2 DISCLOSING STATE SHALL COMMUNICATE ITS INTENTION AND PURPOSE FOR SUCH 3 DISCLOSURE TO THE MEMBER STATE WHICH ORIGINALLY PROVIDED THAT

4 INFORMATION.

5 SECTION 7. ESTABLISHMENT OF THE SCHOOL PSYCHOLOGIST INTERSTATE 6 LICENSURE COMPACT COMMISSION.

7 A. THE MEMBER STATES HEREBY CREATE AND ESTABLISH A JOINT **GOVERNMENT AGENCY WHOSE MEMBERSHIP CONSISTS OF ALL MEMBER STATES** 8 9 THAT HAVE ENACTED THE COMPACT, AND THIS AGENCY SHALL BE KNOWN AS THE SCHOOL PSYCHOLOGIST INTERSTATE LICENSURE COMPACT COMMISSION. THE 10 COMMISSION IS AN INSTRUMENTALITY OF THE MEMBER STATES ACTING JOINTLY 11 12AND NOT AN INSTRUMENTALITY OF ANY ONE STATE. THE COMMISSION SHALL COME 13INTO EXISTENCE ON OR AFTER THE EFFECTIVE DATE OF THE COMPACT AS SET 14FORTH IN SECTION 11.

15 **B.** MEMBERSHIP, VOTING, AND MEETINGS.

16 **1.** EACH MEMBER STATE SHALL HAVE AND BE LIMITED TO ONE (1) 17 COMMISSIONER SELECTED BY THAT MEMBER STATE'S STATE LICENSING 18 AUTHORITY.

192.THE COMMISSIONER SHALL BE THE PRIMARY ADMINISTRATIVE20OFFICER OF THE MEMBER STATE LICENSING AUTHORITY OR THEIR DESIGNEE WHO21IS AN EMPLOYEE OF THE MEMBER STATE LICENSING AUTHORITY.

223.THE COMMISSION SHALL BY RULE OR BYLAW ESTABLISH A TERM23OF OFFICE FOR COMMISSIONERS AND MAY BY RULE OR BYLAW ESTABLISH TERM24LIMITS.

254.THE COMMISSION MAY RECOMMEND REMOVAL OR SUSPENSION26OF ANY COMMISSIONER FROM OFFICE.

5. A MEMBER STATE'S LICENSING AUTHORITY SHALL FILL ANY VACANCY OF ITS COMMISSIONER OCCURRING ON THE COMMISSION WITHIN 60 DAYS OF THE VACANCY.

306. EACH COMMISSIONER SHALL BE ENTITLED TO ONE VOTE ON ALL31MATTERS BEFORE THE COMMISSION REQUIRING A VOTE BY COMMISSION32COMMISSIONERS.

1 7. A COMMISSIONER SHALL VOTE IN PERSON OR BY SUCH OTHER 2 MEANS AS PROVIDED IN THE BYLAWS. THE BYLAWS MAY PROVIDE FOR 3 COMMISSIONERS TO MEET BY TELECOMMUNICATION, VIDEO CONFERENCE, OR 4 OTHER MEANS OF COMMUNICATION.

5 8. THE COMMISSION SHALL MEET AT LEAST ONCE DURING EACH 6 CALENDAR YEAR. ADDITIONAL MEETINGS MAY BE HELD AS SET FORTH IN THE 7 BYLAWS. THE COMMISSION MAY MEET BY TELECOMMUNICATION, VIDEO 8 CONFERENCE OR OTHER SIMILAR ELECTRONIC MEANS.

9 C. THE COMMISSION SHALL HAVE THE FOLLOWING POWERS:

10 **1.** ESTABLISH THE FISCAL YEAR OF THE COMMISSION;

11 2. ESTABLISH CODE OF CONDUCT AND CONFLICT OF INTEREST 12 POLICIES;

13 **3.** ESTABLISH AND AMEND RULES AND BYLAWS;

144. ESTABLISH THE PROCEDURE THROUGH WHICH A LICENSEE MAY15CHANGE THEIR HOME STATE;

16 **5.** MAINTAIN ITS FINANCIAL RECORDS IN ACCORDANCE WITH THE 17 BYLAWS;

18 **6. MEET AND TAKE SUCH ACTIONS AS ARE CONSISTENT WITH THE** 19 **PROVISIONS OF THIS COMPACT, THE COMMISSION'S RULES, AND THE BYLAWS;**

7. INITIATE AND CONCLUDE LEGAL PROCEEDINGS OR ACTIONS IN
 THE NAME OF THE COMMISSION, PROVIDED THAT THE STANDING OF ANY MEMBER
 STATE LICENSING AUTHORITY TO SUE OR BE SUED UNDER APPLICABLE LAW SHALL
 NOT BE AFFECTED;

248.MAINTAIN AND CERTIFY RECORDS AND INFORMATION PROVIDED25TO A MEMBER STATE AS THE AUTHENTICATED BUSINESS RECORDS OF THE26COMMISSION, AND DESIGNATE AN AGENT TO DO SO ON THE COMMISSION'S BEHALF;

9. PURCHASE AND MAINTAIN INSURANCE AND BONDS;

2810.BORROW, ACCEPT, OR CONTRACT FOR SERVICES OF PERSONNEL,29INCLUDING, BUT NOT LIMITED TO, EMPLOYEES OF A MEMBER STATE;

30 **11.** CONDUCT AN ANNUAL FINANCIAL REVIEW;

1 HIRE EMPLOYEES, ELECT OR APPOINT OFFICERS, FIX 2 COMPENSATION, DEFINE DUTIES, GRANT SUCH INDIVIDUALS APPROPRIATE 3 AUTHORITY TO CARRY OUT THE PURPOSES OF THE COMPACT, AND ESTABLISH THE 4 COMMISSION'S PERSONNEL POLICIES AND PROGRAMS RELATING TO CONFLICTS OF 5 INTEREST, QUALIFICATIONS OF PERSONNEL, AND OTHER RELATED PERSONNEL 6 MATTERS;

- $\overline{7}$
- 12. Assess and collect fees;

8 13. ACCEPT ANY AND ALL APPROPRIATE GIFTS, DONATIONS, GRANTS 9 OF MONEY, OTHER SOURCES OF REVENUE, EQUIPMENT, SUPPLIES, MATERIALS, AND 10 SERVICES, AND RECEIVE, UTILIZE, AND DISPOSE OF THE SAME; PROVIDED THAT AT 11 ALL TIMES THE COMMISSION SHALL AVOID ANY APPEARANCE OF IMPROPRIETY 12 AND/OR CONFLICT OF INTEREST;

1314.LEASE, PURCHASE, RETAIN, OWN, HOLD, IMPROVE, OR USE ANY14PROPERTY, REAL, PERSONAL, OR MIXED, OR ANY UNDIVIDED INTEREST THEREIN;

15 **15.** SELL, CONVEY, MORTGAGE, PLEDGE, LEASE, EXCHANGE, 16 ABANDON, OR OTHERWISE DISPOSE OF ANY PROPERTY REAL, PERSONAL, OR MIXED;

- 17 **16.** ESTABLISH A BUDGET AND MAKE EXPENDITURES;
- 18 **17. BORROW MONEY;**

19 **18.** APPOINT COMMITTEES, INCLUDING STANDING COMMITTEES, 20 COMPOSED OF MEMBERS, STATE REGULATORS, STATE LEGISLATORS OR THEIR 21 REPRESENTATIVES, AND CONSUMER REPRESENTATIVES, AND SUCH OTHER 22 INTERESTED PERSONS AS MAY BE DESIGNATED IN THIS COMPACT AND THE BYLAWS;

2319.PROVIDE AND RECEIVE INFORMATION FROM, AND COOPERATE24WITH, LAW ENFORCEMENT AGENCIES;

25 **20.** ESTABLISH AND ELECT AN EXECUTIVE COMMITTEE, INCLUDING 26 A CHAIR AND A VICE CHAIR;

27 **21.** DETERMINE WHETHER A STATE'S ADOPTED LANGUAGE IS 28 MATERIALLY DIFFERENT FROM THE MODEL COMPACT LANGUAGE SUCH THAT THE 29 STATE WOULD NOT QUALIFY FOR PARTICIPATION IN THE COMPACT; AND

3022.PERFORM SUCH OTHER FUNCTIONS AS MAY BE NECESSARY OR31APPROPRIATE TO ACHIEVE THE PURPOSES OF THIS COMPACT.

12

1 D. THE EXECUTIVE COMMITTEE. $\mathbf{2}$ THE EXECUTIVE COMMITTEE SHALL HAVE THE POWER TO ACT ON 1. BEHALF OF THE COMMISSION ACCORDING TO THE TERMS OF THIS COMPACT. THE 3 POWERS, DUTIES, AND RESPONSIBILITIES OF THE EXECUTIVE COMMITTEE SHALL 4 5**INCLUDE:** 6 A. OVERSEE THE DAY-TO-DAY ACTIVITIES OF THE 7 ADMINISTRATION OF THE COMPACT INCLUDING ENFORCEMENT AND COMPLIANCE WITH THE PROVISIONS OF THE COMPACT, ITS RULES AND BYLAWS, AND OTHER 8 SUCH DUTIES AS DEEMED NECESSARY; 9 10 RECOMMEND TO THE COMMISSION CHANGES TO THE В. 11 RULES OR BYLAWS, CHANGES TO THIS COMPACT LEGISLATION, FEES CHARGED TO 12MEMBER STATES, FEES CHARGED TO LICENSEES, AND OTHER FEES; ENSURE COMPACT ADMINISTRATION SERVICES ARE 13 C. **APPROPRIATELY PROVIDED, INCLUDING BY CONTRACT;** 1415**PREPARE AND RECOMMEND THE BUDGET;** D. MAINTAIN FINANCIAL RECORDS ON BEHALF OF THE 16 Е. 17**COMMISSION;** MONITOR COMPACT COMPLIANCE OF MEMBER STATES 18 F. 19 AND PROVIDE COMPLIANCE REPORTS TO THE COMMISSION; 20G. **ESTABLISH ADDITIONAL COMMITTEES AS NECESSARY; EXERCISE THE POWERS AND DUTIES OF THE COMMISSION** 21H. 22DURING THE INTERIM BETWEEN COMMISSION MEETINGS, EXCEPT FOR ADOPTING 23OR AMENDING RULES, ADOPTING OR AMENDING BYLAWS, AND EXERCISING ANY OTHER POWERS AND DUTIES EXPRESSLY RESERVED TO THE COMMISSION BY RULE 2425OR BYLAW; AND 26OTHER DUTIES AS PROVIDED IN THE RULES OR BYLAWS OF I. THE COMMISSION. 2728THE EXECUTIVE COMMITTEE SHALL BE COMPOSED OF UP TO 7 2. 29**MEMBERS:**

1

A.

THE CHAIR AND VICE CHAIR OF THE COMMISSION SHALL BE

2	VOTING MEMBERS OF THE EXECUTIVE COMMITTEE; AND
$\frac{3}{4}$	B. THE COMMISSION SHALL ELECT 5 VOTING MEMBERS FROM THE CURRENT MEMBERSHIP OF THE COMMISSION.
$5 \\ 6$	3. THE COMMISSION MAY REMOVE ANY MEMBER OF THE EXECUTIVE COMMITTEE AS PROVIDED IN THE COMMISSION'S BYLAWS.
7	4. The Executive Committee shall meet at least annually.
8 9 10	A. EXECUTIVE COMMITTEE MEETINGS SHALL BE OPEN TO THE PUBLIC, EXCEPT THAT THE EXECUTIVE COMMITTEE MAY MEET IN A CLOSED, NON-PUBLIC MEETING AS PROVIDED IN SUBSECTION F.2 BELOW.
11 12 13	B. THE EXECUTIVE COMMITTEE SHALL GIVE 30 DAYS' NOTICE OF ITS MEETINGS, POSTED ON ITS WEBSITE AND AS DETERMINED TO PROVIDE NOTICE TO PERSONS WITH AN INTEREST IN THE BUSINESS OF THE COMMISSION.
$\begin{array}{c} 14 \\ 15 \end{array}$	C. THE EXECUTIVE COMMITTEE MAY HOLD A SPECIAL MEETING IN ACCORDANCE WITH SUBSECTION F.1.B. BELOW.
$\begin{array}{c} 16 \\ 17 \end{array}$	E. THE COMMISSION SHALL ADOPT AND PROVIDE TO THE MEMBER STATES AN ANNUAL REPORT.
18	F. MEETINGS OF THE COMMISSION.
19 20 21	1. All meetings shall be open to the public, except that the Commission may meet in a closed, non-public meeting as provided in subsection F.2 below.
22 23 24 25	A. PUBLIC NOTICE FOR ALL MEETINGS OF THE FULL COMMISSION SHALL BE GIVEN IN THE SAME MANNER AS REQUIRED UNDER THE RULEMAKING PROVISIONS IN SECTION 9, EXCEPT THAT THE COMMISSION MAY HOLD A SPECIAL MEETING AS PROVIDED IN SUBSECTION F.1.B BELOW.
26 27 28 29 30 31	B. THE COMMISSION MAY HOLD A SPECIAL MEETING WHEN IT MUST MEET TO CONDUCT EMERGENCY BUSINESS BY GIVING 48 HOURS' NOTICE TO ALL COMMISSIONERS, ON THE COMMISSION'S WEBSITE, AND OTHER MEANS AS PROVIDED IN THE COMMISSION'S RULES. THE COMMISSION'S LEGAL COUNSEL SHALL CERTIFY THAT THE COMMISSION'S NEED TO MEET QUALIFIES AS AN EMERGENCY.

THE COMMISSION OR THE EXECUTIVE COMMITTEE OR OTHER 1 2. $\mathbf{2}$ COMMITTEES OF THE COMMISSION MAY CONVENE IN A CLOSED, NON-PUBLIC 3 MEETING FOR THE COMMISSION OR EXECUTIVE COMMITTEE OR OTHER 4 COMMITTEES OF THE COMMISSION TO RECEIVE LEGAL ADVICE OR TO DISCUSS: NON-COMPLIANCE OF A MEMBER STATE WITH ITS $\mathbf{5}$ A. 6 **OBLIGATIONS UNDER THE COMPACT;** 7 THE EMPLOYMENT, COMPENSATION, DISCIPLINE OR OTHER В. MATTERS, PRACTICES OR PROCEDURES RELATED TO SPECIFIC EMPLOYEES; 8 9 **CURRENT OR THREATENED DISCIPLINE OF A LICENSEE BY** C. THE COMMISSION OR BY A MEMBER STATE'S LICENSING AUTHORITY; 10 11 D. CURRENT, THREATENED, OR REASONABLY ANTICIPATED 12LITIGATION; 13**NEGOTIATION OF CONTRACTS FOR THE PURCHASE, LEASE,** Е. OR SALE OF GOODS, SERVICES, OR REAL ESTATE; 1415F. ACCUSING ANY PERSON OF A CRIME OR FORMALLY 16 **CENSURING ANY PERSON;** 17G. TRADE SECRETS COMMERCIAL OR OR **FINANCIAL** INFORMATION THAT IS PRIVILEGED OR CONFIDENTIAL; 18 19 H. INFORMATION OF Α PERSONAL NATURE WHERE 20DISCLOSURE WOULD CONSTITUTE A CLEARLY UNWARRANTED INVASION OF 21**PERSONAL PRIVACY;** 22I. INVESTIGATIVE RECORDS COMPILED FOR LAW 23**ENFORCEMENT PURPOSES;** 24**INFORMATION RELATED TO ANY INVESTIGATIVE REPORTS** J. 25PREPARED BY OR ON BEHALF OF OR FOR USE OF THE COMMISSION OR OTHER 26CHARGED WITH RESPONSIBILITY INVESTIGATION COMMITTEE OF OR DETERMINATION OF COMPLIANCE ISSUES PURSUANT TO THE COMPACT; 27MATTERS SPECIFICALLY EXEMPTED FROM DISCLOSURE BY 28K. 29FEDERAL OR MEMBER STATE LAW; OR 30 OTHER MATTERS AS PROMULGATED BY THE COMMISSION L. BY RULE. 31

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13.IF A MEETING, OR PORTION OF A MEETING, IS CLOSED, THE2PRESIDING OFFICER SHALL STATE THAT THE MEETING WILL BE CLOSED AND3REFERENCE EACH RELEVANT EXEMPTING PROVISION, AND SUCH REFERENCE4SHALL BE RECORDED IN THE MINUTES.

 $\mathbf{5}$ 4. THE COMMISSION SHALL KEEP MINUTES THAT FULLY AND 6 CLEARLY DESCRIBE ALL MATTERS DISCUSSED IN A MEETING AND SHALL PROVIDE A 7 FULL AND ACCURATE SUMMARY OF ACTIONS TAKEN, AND THE REASONS THEREFOR, 8 INCLUDING A DESCRIPTION OF THE VIEWS EXPRESSED. ALL DOCUMENTS CONSIDERED IN CONNECTION WITH AN ACTION SHALL BE IDENTIFIED IN SUCH 9 MINUTES. ALL MINUTES AND DOCUMENTS OF A CLOSED MEETING SHALL REMAIN 10 11 UNDER SEAL, SUBJECT TO RELEASE ONLY BY A MAJORITY VOTE OF THE COMMISSION OR ORDER OF A COURT OF COMPETENT JURISDICTION. 12

13 G. FINANCING OF THE COMMISSION.

14 **1.** THE COMMISSION SHALL PAY, OR PROVIDE FOR THE PAYMENT 15 OF, THE REASONABLE EXPENSES OF ITS ESTABLISHMENT, ORGANIZATION, AND 16 ONGOING ACTIVITIES.

172. THE COMMISSION MAY ACCEPT ANY AND ALL APPROPRIATE18REVENUE SOURCES AS PROVIDED IN C(12).

THE COMMISSION MAY LEVY ON AND COLLECT AN ANNUAL 19 3. 20ASSESSMENT FROM EACH MEMBER STATE AND IMPOSE FEES ON LICENSEES 21PRACTICING IN THE MEMBER STATES UNDER AN EQUIVALENT LICENSE TO COVER 22THE COST OF THE OPERATIONS AND ACTIVITIES OF THE COMMISSION AND ITS 23STAFF, WHICH MUST BE IN A TOTAL AMOUNT SUFFICIENT TO COVER ITS ANNUAL 24BUDGET AS APPROVED EACH YEAR FOR WHICH REVENUE IS NOT PROVIDED BY OTHER SOURCES. THE AGGREGATE ANNUAL ASSESSMENT AMOUNT FOR MEMBER 2526STATES SHALL BE ALLOCATED BASED UPON A FORMULA THAT THE COMMISSION 27SHALL PROMULGATE BY RULE.

4. THE COMMISSION SHALL NOT INCUR OBLIGATIONS OF ANY KIND PRIOR TO SECURING THE FUNDS ADEQUATE TO MEET THE SAME; NOR SHALL THE COMMISSION PLEDGE THE CREDIT OF ANY OF THE MEMBER STATES, EXCEPT BY AND WITH THE AUTHORITY OF THE MEMBER STATE.

5. THE COMMISSION SHALL KEEP ACCURATE ACCOUNTS OF ALL RECEIPTS AND DISBURSEMENTS. THE RECEIPTS AND DISBURSEMENTS OF THE COMMISSION SHALL BE SUBJECT TO THE FINANCIAL REVIEW AND ACCOUNTING PROCEDURES ESTABLISHED UNDER ITS BYLAWS. HOWEVER, ALL RECEIPTS AND DISBURSEMENTS OF FUNDS HANDLED BY THE COMMISSION SHALL BE SUBJECT TO
 AN ANNUAL FINANCIAL REVIEW BY A CERTIFIED OR LICENSED PUBLIC
 ACCOUNTANT, AND THE REPORT OF THE FINANCIAL REVIEW SHALL BE INCLUDED IN
 AND BECOME PART OF THE ANNUAL REPORT OF THE COMMISSION.

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H. QUALIFIED IMMUNITY, DEFENSE, AND INDEMNIFICATION.

6 1. THE MEMBERS, OFFICERS, EXECUTIVE DIRECTOR, EMPLOYEES 7AND REPRESENTATIVES OF THE COMMISSION SHALL BE IMMUNE FROM SUIT AND LIABILITY, BOTH PERSONALLY AND IN THEIR OFFICIAL CAPACITY, FOR ANY CLAIM 8 FOR DAMAGE TO OR LOSS OF PROPERTY OR PERSONAL INJURY OR OTHER CIVIL 9 LIABILITY CAUSED BY OR ARISING OUT OF ANY ACTUAL OR ALLEGED ACT, ERROR, 10 OR OMISSION THAT OCCURRED, OR THAT THE PERSON AGAINST WHOM THE CLAIM 11 IS MADE HAD A REASONABLE BASIS FOR BELIEVING OCCURRED WITHIN THE SCOPE 1213OF COMMISSION EMPLOYMENT, DUTIES OR RESPONSIBILITIES; PROVIDED THAT 14NOTHING IN THIS PARAGRAPH SHALL BE CONSTRUED TO PROTECT ANY SUCH PERSON FROM SUIT OR LIABILITY FOR ANY DAMAGE, LOSS, INJURY, OR LIABILITY 15CAUSED BY THE INTENTIONAL OR WILLFUL OR WANTON MISCONDUCT OF THAT 16 17PERSON. THE PROCUREMENT OF INSURANCE OF ANY TYPE BY THE COMMISSION SHALL NOT IN ANY WAY COMPROMISE OR LIMIT THE IMMUNITY GRANTED 18 19 HEREUNDER.

202. THE COMMISSION SHALL DEFEND ANY MEMBER, OFFICER, 21EXECUTIVE DIRECTOR, EMPLOYEE, AND REPRESENTATIVE OF THE COMMISSION IN 22ANY CIVIL ACTION SEEKING TO IMPOSE LIABILITY ARISING OUT OF ANY ACTUAL OR ALLEGED ACT, ERROR, OR OMISSION THAT OCCURRED WITHIN THE SCOPE OF 2324COMMISSION EMPLOYMENT, DUTIES, OR RESPONSIBILITIES, OR AS DETERMINED BY 25THE COMMISSION THAT THE PERSON AGAINST WHOM THE CLAIM IS MADE HAD A 26REASONABLE BASIS FOR BELIEVING OCCURRED WITHIN THE SCOPE OF COMMISSION EMPLOYMENT, DUTIES, OR RESPONSIBILITIES; PROVIDED THAT 27NOTHING HEREIN SHALL BE CONSTRUED TO PROHIBIT THAT PERSON FROM 28RETAINING THEIR OWN COUNSEL AT THEIR OWN EXPENSE; AND PROVIDED 2930 FURTHER, THAT THE ACTUAL OR ALLEGED ACT, ERROR, OR OMISSION DID NOT RESULT FROM THAT PERSON'S INTENTIONAL OR WILLFUL OR WANTON 3132 **MISCONDUCT.**

33 **3.** THE COMMISSION SHALL INDEMNIFY AND HOLD HARMLESS ANY 34 MEMBER, OFFICER, EXECUTIVE DIRECTOR, EMPLOYEE, AND REPRESENTATIVE OF 35 THE COMMISSION FOR THE AMOUNT OF ANY SETTLEMENT OR JUDGMENT OBTAINED 36 AGAINST THAT PERSON ARISING OUT OF ANY ACTUAL OR ALLEGED ACT, ERROR, OR 37 OMISSION THAT OCCURRED WITHIN THE SCOPE OF COMMISSION EMPLOYMENT, 38 DUTIES, OR RESPONSIBILITIES, OR THAT SUCH PERSON HAD A REASONABLE BASIS 39 FOR BELIEVING OCCURRED WITHIN THE SCOPE OF COMMISSION EMPLOYMENT, 1 DUTIES, OR RESPONSIBILITIES, PROVIDED THAT THE ACTUAL OR ALLEGED ACT, 2 ERROR, OR OMISSION DID NOT RESULT FROM THE INTENTIONAL OR WILLFUL OR 3 WANTON MISCONDUCT OF THAT PERSON.

4 4. NOTHING HEREIN SHALL BE CONSTRUED AS A LIMITATION ON 5 THE LIABILITY OF ANY LICENSEE FOR PROFESSIONAL MALPRACTICE OR 6 MISCONDUCT, WHICH SHALL BE GOVERNED SOLELY BY ANY OTHER APPLICABLE 7 STATE LAWS.

5. NOTHING IN THIS COMPACT SHALL BE INTERPRETED TO WAIVE OR OTHERWISE ABROGATE A MEMBER STATE'S STATE ACTION IMMUNITY OR STATE ACTION AFFIRMATIVE DEFENSE WITH RESPECT TO ANTITRUST CLAIMS UNDER THE SHERMAN ACT, CLAYTON ACT, OR ANY OTHER STATE OR FEDERAL ANTITRUST OR ANTICOMPETITIVE LAW OR REGULATION.

13 6. NOTHING IN THIS COMPACT SHALL BE CONSTRUED TO BE A 14 WAIVER OF SOVEREIGN IMMUNITY BY THE MEMBER STATES OR BY THE 15 COMMISSION.

16 SECTION 8. FACILITATING INFORMATION EXCHANGE.

A. THE COMMISSION SHALL PROVIDE FOR FACILITATING THE EXCHANGE OF INFORMATION TO ADMINISTER AND IMPLEMENT THE PROVISIONS OF THIS COMPACT IN ACCORDANCE WITH THE RULES OF THE COMMISSION, CONSISTENT WITH GENERALLY ACCEPTED DATA PROTECTION PRINCIPLES.

B. NOTWITHSTANDING ANY OTHER PROVISION OF STATE LAW TO THE CONTRARY, A MEMBER STATE SHALL AGREE TO PROVIDE FOR THE FACILITATION OF THE FOLLOWING LICENSEE INFORMATION AS REQUIRED BY THE RULES OF THE COMMISSION, INCLUDING:

- 25 **1. IDENTIFYING INFORMATION;**
- 26 **2.** LICENSURE DATA;

27 **3.** Adverse Actions against a License and information 28 related thereto;

294.NON-CONFIDENTIAL INFORMATION RELATED TO ALTERNATIVE30PROGRAM PARTICIPATION, THE BEGINNING AND ENDING DATES OF SUCH31PARTICIPATION, AND OTHER INFORMATION RELATED TO SUCH PARTICIPATION NOT32MADE CONFIDENTIAL UNDER MEMBER STATE LAW;

1 **5.** ANY DENIAL OF APPLICATION FOR LICENSURE, AND THE 2 REASON(S) FOR SUCH DENIAL;

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6. THE PRESENCE OF INVESTIGATIVE INFORMATION; AND

4 7. OTHER INFORMATION THAT MAY FACILITATE THE 5 ADMINISTRATION OF THIS COMPACT OR THE PROTECTION OF THE PUBLIC, AS 6 DETERMINED BY THE RULES OF THE COMMISSION.

7 C. NOTHING IN THIS COMPACT SHALL BE DEEMED OR CONSTRUED TO 8 ALTER, LIMIT, OR INHIBIT THE POWER OF A MEMBER STATE TO CONTROL AND 9 MAINTAIN OWNERSHIP OF ITS LICENSEE INFORMATION OR ALTER, LIMIT, OR 10 INHIBIT THE LAWS OR REGULATIONS GOVERNING LICENSEE INFORMATION IN THE 11 MEMBER STATE.

12 SECTION 9. RULEMAKING.

13A.THE COMMISSION SHALL EXERCISE ITS RULEMAKING POWERS14PURSUANT TO THE CRITERIA SET FORTH IN THIS INTERSTATE COMPACT AND THE15RULES ADOPTED THEREUNDER. RULES AND AMENDMENTS SHALL BECOME16BINDING AS OF THE DATE SPECIFIED IN EACH RULE OR AMENDMENT.

17 B. THE COMMISSION SHALL PROMULGATE REASONABLE RULES TO 18 ACHIEVE THE INTENT AND PURPOSE OF THIS INTERSTATE COMPACT. IN THE EVENT 19 THE COMMISSION EXERCISES ITS RULEMAKING AUTHORITY IN A MANNER THAT IS 20 BEYOND PURPOSE AND INTENT OF THIS INTERSTATE COMPACT, OR THE POWERS 21 GRANTED HEREUNDER, THEN SUCH AN ACTION BY THE COMMISSION SHALL BE 22 INVALID AND HAVE NO FORCE AND EFFECT OF LAW IN THE MEMBER STATES.

C. IF A MAJORITY OF THE LEGISLATURES OF THE MEMBER STATES REJECTS A RULE, BY ENACTMENT OF A STATUTE OR RESOLUTION IN THE SAME MANNER USED TO ADOPT THE COMPACT WITHIN FOUR (4) YEARS OF THE DATE OF ADOPTION OF THE RULE, THEN SUCH RULE SHALL HAVE NO FURTHER FORCE AND EFFECT IN ANY MEMBER STATE.

28 D. RULES OR AMENDMENTS TO THE RULES SHALL BE ADOPTED OR 29 RATIFIED AT A REGULAR OR SPECIAL MEETING OF THE COMMISSION IN 30 ACCORDANCE WITH COMMISSION RULES AND BYLAWS.

E. PRIOR TO PROMULGATION AND ADOPTION OF A FINAL RULE OR RULES BY THE COMMISSION, AND AT LEAST THIRTY (30) DAYS IN ADVANCE OF THE MEETING AT WHICH THE RULE WILL BE CONSIDERED AND VOTED UPON, THE COMMISSION SHALL FILE A NOTICE OF PROPOSED RULEMAKING:

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11.ON THE WEBSITE OF THE COMMISSION OR OTHER PUBLICLY2ACCESSIBLE PLATFORM; AND

3 2. ON THE WEBSITE OF EACH MEMBER STATE LICENSING
4 AUTHORITY OR OTHER PUBLICLY ACCESSIBLE PLATFORM OR THE PUBLICATION IN
5 WHICH EACH STATE WOULD OTHERWISE PUBLISH PROPOSED RULES.

6 F. UPON DETERMINATION THAT AN EMERGENCY EXISTS, THE 7 COMMISSION MAY CONSIDER AND ADOPT AN EMERGENCY RULE WITH 48 HOURS' NOTICE, WITH OPPORTUNITY TO COMMENT, PROVIDED THAT THE USUAL 8 RULEMAKING PROCEDURES SHALL BE RETROACTIVELY APPLIED TO THE RULE AS 9 SOON AS REASONABLY POSSIBLE, IN NO EVENT LATER THAN NINETY (90) DAYS 10 AFTER THE EFFECTIVE DATE OF THE RULE. FOR THE PURPOSES OF THIS 11 12PROVISION, AN EMERGENCY RULE IS ONE THAT MUST BE ADOPTED IMMEDIATELY 13**IN ORDER TO:**

14A.MEET AN IMMINENT THREAT TO PUBLIC HEALTH, SAFETY, OR15WELFARE.

16 **1. PREVENT A LOSS OF COMMISSION OR MEMBER STATE FUNDS;**

172.MEET A DEADLINE FOR THE PROMULGATION OF AN18ADMINISTRATIVE RULE THAT IS ESTABLISHED BY FEDERAL LAW OR RULE; OR

- 19 **3. PROTECT PUBLIC HEALTH AND SAFETY.**
- 20 SECTION 10. OVERSIGHT, DISPUTE RESOLUTION, AND ENFORCEMENT.
- 21 A. OVERSIGHT.

221. THE EXECUTIVE AND JUDICIAL BRANCHES OF THE STATE23GOVERNMENT IN EACH MEMBER STATE SHALL ENFORCE THIS COMPACT AND TAKE24ALL ACTIONS NECESSARY AND APPROPRIATE TO IMPLEMENT THE COMPACT.

252. VENUE IS PROPER AND JUDICIAL PROCEEDINGS BY OR AGAINST THE COMMISSION SHALL BE BROUGHT SOLELY AND EXCLUSIVELY IN A COURT OF 2627COMPETENT JURISDICTION WHERE THE PRINCIPAL OFFICE OF THE COMMISSION IS 28LOCATED. THE COMMISSION MAY WAIVE VENUE AND JURISDICTIONAL DEFENSES 29TO THE EXTENT IT ADOPTS OR CONSENTS TO PARTICIPATE IN ALTERNATIVE 30 DISPUTE RESOLUTION PROCEEDINGS. NOTHING HEREIN SHALL AFFECT OR LIMIT 31 THE SELECTION OR PROPRIETY OF VENUE IN ANY ACTION AGAINST A LICENSEE FOR 32 PROFESSIONAL MALPRACTICE, MISCONDUCT OR ANY SUCH SIMILAR MATTER.

3. THE COMMISSION SHALL BE ENTITLED TO RECEIVE SERVICE OF 1 $\mathbf{2}$ PROCESS IN ANY PROCEEDING REGARDING THE **ENFORCEMENT** OR 3 INTERPRETATION OF THE COMPACT AND SHALL HAVE STANDING TO INTERVENE IN 4 SUCH A PROCEEDING FOR ALL PURPOSES. FAILURE TO PROVIDE THE COMMISSION SERVICE OF PROCESS SHALL RENDER A JUDGMENT OR ORDER VOID AS TO THE $\mathbf{5}$ COMMISSION, THIS COMPACT, OR PROMULGATED RULES. 6

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DEFAULT, TECHNICAL ASSISTANCE, AND TERMINATION.

8 1. IF THE COMMISSION DETERMINES THAT A MEMBER STATE HAS 9 DEFAULTED IN THE PERFORMANCE OF ITS OBLIGATIONS OR RESPONSIBILITIES 10 UNDER THIS COMPACT OR THE PROMULGATED RULES, THE COMMISSION SHALL 11 PROVIDE WRITTEN NOTICE TO THE DEFAULTING STATE. THE NOTICE OF DEFAULT 12 SHALL DESCRIBE THE DEFAULT, THE PROPOSED MEANS OF CURING THE DEFAULT, 13 AND ANY OTHER ACTION THAT THE COMMISSION MAY TAKE, AND SHALL OFFER 14 TRAINING AND SPECIFIC TECHNICAL ASSISTANCE REGARDING THE DEFAULT.

152.THE COMMISSION SHALL PROVIDE A COPY OF THE NOTICE OF16DEFAULT TO THE OTHER MEMBER STATES.

17 C. IF A STATE IN DEFAULT FAILS TO CURE THE DEFAULT, THE DEFAULTING 18 STATE MAY BE TERMINATED FROM THE COMPACT UPON AN AFFIRMATIVE VOTE OF 19 A SUPERMAJORITY OF THE DELEGATES OF THE MEMBER STATES, AND ALL RIGHTS, 20 PRIVILEGES AND BENEFITS CONFERRED ON THAT STATE BY THIS COMPACT MAY BE 21 TERMINATED ON THE EFFECTIVE DATE OF TERMINATION. A CURE OF THE DEFAULT 22 DOES NOT RELIEVE THE OFFENDING STATE OF OBLIGATIONS OR LIABILITIES 23 INCURRED DURING THE PERIOD OF DEFAULT.

D. TERMINATION OF MEMBERSHIP IN THE COMPACT SHALL BE IMPOSED ONLY AFTER ALL OTHER MEANS OF SECURING COMPLIANCE HAVE BEEN EXHAUSTED. NOTICE OF INTENT TO SUSPEND OR TERMINATE SHALL BE GIVEN BY THE COMMISSION TO THE GOVERNOR, THE MAJORITY AND MINORITY LEADERS OF THE DEFAULTING STATE'S LEGISLATURE, THE DEFAULTING STATE'S LICENSING AUTHORITY AND EACH OF THE MEMBER STATES' LICENSING AUTHORITIES.

30E.A STATE THAT HAS BEEN TERMINATED IS RESPONSIBLE FOR ALL31ASSESSMENTS, OBLIGATIONS, AND LIABILITIES INCURRED THROUGH THE32EFFECTIVE DATE OF TERMINATION, INCLUDING OBLIGATIONS THAT EXTEND33BEYOND THE EFFECTIVE DATE OF TERMINATION.

F. Upon the termination of a State's membership from this Compact, that State shall immediately provide notice to all Licensees

В.

1 WITHIN THAT STATE OF SUCH TERMINATION. THE TERMINATED STATE SHALL 2 CONTINUE TO RECOGNIZE ALL LICENSES GRANTED PURSUANT TO THIS COMPACT 3 FOR A MINIMUM OF SIX (6) MONTHS AFTER THE DATE OF SAID NOTICE OF 4 TERMINATION.

5 G. THE COMMISSION SHALL NOT BEAR ANY COSTS RELATED TO A STATE 6 THAT IS FOUND TO BE IN DEFAULT OR THAT HAS BEEN TERMINATED FROM THE 7 COMPACT, UNLESS AGREED UPON IN WRITING BETWEEN THE COMMISSION AND THE 8 DEFAULTING STATE.

9 H. THE DEFAULTING STATE MAY APPEAL THE ACTION OF THE 10 COMMISSION BY PETITIONING THE U.S. DISTRICT COURT FOR THE DISTRICT OF 11 COLUMBIA OR THE FEDERAL DISTRICT WHERE THE COMMISSION HAS ITS 12 PRINCIPAL OFFICES. THE PREVAILING PARTY SHALL BE AWARDED ALL COSTS OF 13 SUCH LITIGATION, INCLUDING REASONABLE ATTORNEY'S FEES.

14 I. DISPUTE RESOLUTION.

151.UPON REQUEST BY A MEMBER STATE, THE COMMISSION SHALL16ATTEMPT TO RESOLVE DISPUTES RELATED TO THE COMPACT THAT ARISE AMONG17MEMBER STATES AND BETWEEN MEMBER AND NON-MEMBER STATES.

182.THE COMMISSION SHALL PROMULGATE A RULE PROVIDING FOR19BOTH MEDIATION AND BINDING DISPUTE RESOLUTION FOR DISPUTES AS20APPROPRIATE.

21 J. ENFORCEMENT.

22BY MAJORITY VOTE AS PROVIDED BY RULE, THE COMMISSION 1. MAY INITIATE LEGAL ACTION AGAINST A MEMBER STATE IN DEFAULT IN THE 23UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLUMBIA OR THE 2425FEDERAL DISTRICT WHERE THE COMMISSION HAS ITS PRINCIPAL OFFICES TO 26ENFORCE COMPLIANCE WITH THE PROVISIONS OF THE COMPACT AND ITS 27PROMULGATED RULES. THE RELIEF SOUGHT MAY INCLUDE BOTH INJUNCTIVE RELIEF AND DAMAGES. IN THE EVENT JUDICIAL ENFORCEMENT IS NECESSARY, THE 2829PREVAILING PARTY SHALL BE AWARDED ALL COSTS OF SUCH LITIGATION, INCLUDING REASONABLE ATTORNEY'S FEES. THE REMEDIES HEREIN SHALL NOT BE 30 31 THE EXCLUSIVE REMEDIES OF THE COMMISSION. THE COMMISSION MAY PURSUE 32ANY OTHER REMEDIES AVAILABLE UNDER FEDERAL OR THE DEFAULTING MEMBER STATE'S LAW. 33

342.A MEMBER STATE MAY INITIATE LEGAL ACTION AGAINST THE35COMMISSION IN THE U.S. DISTRICT COURT FOR THE DISTRICT OF COLUMBIA OR

1 THE FEDERAL DISTRICT WHERE THE COMMISSION HAS ITS PRINCIPAL OFFICES TO 2 ENFORCE COMPLIANCE WITH THE PROVISIONS OF THE COMPACT AND ITS 3 PROMULGATED RULES. THE RELIEF SOUGHT MAY INCLUDE BOTH INJUNCTIVE 4 RELIEF AND DAMAGES. IN THE EVENT JUDICIAL ENFORCEMENT IS NECESSARY, THE 5 PREVAILING PARTY SHALL BE AWARDED ALL COSTS OF SUCH LITIGATION, 6 INCLUDING REASONABLE ATTORNEY'S FEES.

73.NO PERSON OTHER THAN A MEMBER STATE SHALL ENFORCE8THIS COMPACT AGAINST THE COMMISSION.

9 SECTION 11. EFFECTIVE DATE, WITHDRAWAL, AND AMENDMENT.

10A.THE COMPACT SHALL COME INTO EFFECT ON THE DATE ON WHICH THE11COMPACT STATUTE IS ENACTED INTO LAW IN THE SEVENTH MEMBER STATE.

12 **1.** ON OR AFTER THE EFFECTIVE DATE OF THE COMPACT INDICATED 13 ABOVE, THE COMMISSION SHALL CONVENE AND REVIEW THE ENACTMENT OF EACH 14 OF THE CHARTER MEMBER STATES TO DETERMINE IF THE STATUTE ENACTED BY 15 EACH SUCH CHARTER MEMBER STATE IS MATERIALLY DIFFERENT THAN THE 16 MODEL COMPACT STATUTE.

17A.A CHARTER MEMBER STATE WHOSE ENACTMENT IS FOUND18TO BE MATERIALLY DIFFERENT FROM THE MODEL COMPACT STATUTE SHALL BE19ENTITLED TO THE DEFAULT PROCESS SET FORTH IN SECTION 10.

20 B. IF ANY MEMBER STATE IS LATER FOUND TO BE IN DEFAULT, 21 OR IS TERMINATED OR WITHDRAWS FROM THE COMPACT, THE COMMISSION SHALL 22 REMAIN IN EXISTENCE AND THE COMPACT SHALL REMAIN IN EFFECT EVEN IF THE 23 NUMBER OF MEMBER STATES SHOULD BE LESS THAN SEVEN.

24 2. MEMBER STATES ENACTING THE COMPACT SUBSEQUENT TO THE 25 CHARTER MEMBER STATES SHALL BE SUBJECT TO THE PROCESS SET FORTH IN 26 SECTION 7(C)(21) TO DETERMINE IF THEIR ENACTMENTS ARE MATERIALLY 27 DIFFERENT FROM THE MODEL COMPACT STATUTE AND WHETHER THEY QUALIFY 28 FOR PARTICIPATION IN THE COMPACT.

ALL ACTIONS TAKEN FOR THE BENEFIT OF THE COMMISSION OR
 IN FURTHERANCE OF THE PURPOSES OF THE ADMINISTRATION OF THE COMPACT
 PRIOR TO THE EFFECTIVE DATE OF THE COMPACT OR THE COMMISSION COMING
 INTO EXISTENCE SHALL BE CONSIDERED TO BE ACTIONS OF THE COMMISSION
 UNLESS SPECIFICALLY REPUDIATED BY THE COMMISSION.

A. ANY STATE THAT JOINS THE COMPACT SUBSEQUENT TO THE COMMISSION'S INITIAL ADOPTION OF THE RULES AND BYLAWS SHALL BE SUBJECT TO THE RULES AND BYLAWS AS THEY EXIST ON THE DATE ON WHICH THE COMPACT BECOMES LAW IN THAT STATE. ANY RULE THAT HAS BEEN PREVIOUSLY ADOPTED BY THE COMMISSION SHALL HAVE THE FULL FORCE AND EFFECT OF LAW ON THE DAY THE COMPACT BECOMES LAW IN THAT STATE.

7B.ANY MEMBER STATE MAY WITHDRAW FROM THIS COMPACT8BY ENACTING A STATUTE REPEALING THE SAME.

9 B. A MEMBER STATE'S WITHDRAWAL SHALL NOT TAKE EFFECT UNTIL 180 10 DAYS AFTER ENACTMENT OF THE REPEALING STATUTE.

11 C. WITHDRAWAL SHALL NOT AFFECT THE CONTINUING REQUIREMENT OF 12 THE WITHDRAWING STATE'S LICENSING AUTHORITY TO COMPLY WITH THE 13 INVESTIGATIVE AND ADVERSE ACTION REPORTING REQUIREMENTS OF THIS 14 COMPACT PRIOR TO THE EFFECTIVE DATE OF WITHDRAWAL.

D. UPON THE ENACTMENT OF A STATUTE WITHDRAWING FROM THIS COMPACT, A STATE SHALL IMMEDIATELY PROVIDE NOTICE OF SUCH WITHDRAWAL TO ALL LICENSEES WITHIN THAT STATE. NOTWITHSTANDING ANY SUBSEQUENT STATUTORY ENACTMENT TO THE CONTRARY, SUCH WITHDRAWING STATE SHALL CONTINUE TO RECOGNIZE ALL LICENSES GRANTED PURSUANT TO THIS COMPACT FOR A MINIMUM OF SIX (6) MONTHS AFTER THE DATE OF SUCH NOTICE OF WITHDRAWAL.

221.NOTHING CONTAINED IN THIS COMPACT SHALL BE CONSTRUED23TO INVALIDATE OR PREVENT ANY LICENSURE AGREEMENT OR OTHER COOPERATIVE24ARRANGEMENT BETWEEN A MEMBER STATE AND A NON-MEMBER STATE THAT25DOES NOT CONFLICT WITH THE PROVISIONS OF THIS COMPACT.

26 2. THIS COMPACT MAY BE AMENDED BY THE MEMBER STATES. NO 27 AMENDMENT TO THIS COMPACT SHALL BECOME EFFECTIVE AND BINDING UPON 28 ANY MEMBER STATE UNTIL IT IS ENACTED INTO THE LAWS OF ALL MEMBER STATES.

29 SECTION 12. CONSTRUCTION AND SEVERABILITY.

A. THIS COMPACT AND THE COMMISSION'S RULEMAKING AUTHORITY SHALL BE LIBERALLY CONSTRUED SO AS TO EFFECTUATE THE PURPOSES, AND THE IMPLEMENTATION AND ADMINISTRATION OF THE COMPACT. PROVISIONS OF THE COMPACT EXPRESSLY AUTHORIZING OR REQUIRING THE PROMULGATION OF RULES SHALL NOT BE CONSTRUED TO LIMIT THE COMMISSION'S RULEMAKING AUTHORITY SOLELY FOR THOSE PURPOSES.

В. 1 THE PROVISIONS OF THIS COMPACT SHALL BE SEVERABLE AND IF ANY $\mathbf{2}$ PHRASE, CLAUSE, SENTENCE OR PROVISION OF THIS COMPACT IS HELD BY A COURT 3 OF COMPETENT JURISDICTION TO BE CONTRARY TO THE CONSTITUTION OF ANY 4 MEMBER STATE, A STATE SEEKING PARTICIPATION IN THE COMPACT, OR OF THE UNITED STATES, OR THE APPLICABILITY THEREOF TO ANY GOVERNMENT, AGENCY, $\mathbf{5}$ PERSON OR CIRCUMSTANCE IS HELD TO BE UNCONSTITUTIONAL BY A COURT OF 6 7 COMPETENT JURISDICTION, THE VALIDITY OF THE REMAINDER OF THIS COMPACT 8 AND THE APPLICABILITY THEREOF TO ANY OTHER GOVERNMENT, AGENCY, PERSON 9 OR CIRCUMSTANCE SHALL NOT BE AFFECTED THEREBY.

10 **C**. NOTWITHSTANDING SUBSECTION B OF THIS SECTION, THE COMMISSION MAY DENY A STATE'S PARTICIPATION IN THE COMPACT OR, IN 11 ACCORDANCE WITH THE REQUIREMENTS OF SECTION 10.C. AND D., TERMINATE A 1213MEMBER STATE'S PARTICIPATION IN THE COMPACT, IF IT DETERMINES THAT A CONSTITUTIONAL REQUIREMENT OF A MEMBER STATE IS A MATERIAL DEPARTURE 14FROM THE COMPACT. OTHERWISE, IF THIS COMPACT SHALL BE HELD TO BE 15CONTRARY TO THE CONSTITUTION OF ANY MEMBER STATE, THE COMPACT SHALL 16REMAIN IN FULL FORCE AND EFFECT AS TO THE REMAINING MEMBER STATES AND 17IN FULL FORCE AND EFFECT AS TO THE MEMBER STATE AFFECTED AS TO ALL 18 19 SEVERABLE MATTERS.

20 SECTION 13. CONSISTENT EFFECT AND CONFLICT WITH OTHER STATE LAWS.

A. NOTHING HEREIN SHALL PREVENT OR INHIBIT THE ENFORCEMENT OF ANY OTHER LAW OF A MEMBER STATE THAT IS NOT INCONSISTENT WITH THE COMPACT.

B. ANY LAWS, STATUTES, REGULATIONS, OR OTHER LEGAL REQUIREMENTS IN A MEMBER STATE IN CONFLICT WITH THE COMPACT ARE SUPERSEDED TO THE EXTENT OF THE CONFLICT.

27C.ALL PERMISSIBLE AGREEMENTS BETWEEN THE COMMISSION AND THE28MEMBER STATES ARE BINDING IN ACCORDANCE WITH THEIR TERMS.

29 SECTION 2. AND BE IT FURTHER ENACTED, That this Act is contingent on the 30 enactment of substantially similar legislation in seven other states. The State Department 31 of Education shall notify the Department of Legislative Services within 10 days after seven 32 states have enacted legislation that is substantially similar to this Act.

33 SECTION 3. AND BE IT FURTHER ENACTED, That, subject to Section 2 of this 34 Act, this Act shall take effect October 1, 2025.