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Review 1

On the relationship between human papilloma virus vaccine and 2 autoimmune diseases

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ABSTRACT

The human papilloma virus (HPV) vaccines were introduced to reduce the incidence of cervical cancer. The bivalent vaccine is effective against HPV-16, -18, -31, -33 and -45 while the quadrivalent vaccine is effective against HPV-16, 18, 31, 6 and 11 types. The immunisation, recommended for adolescent females, has led to high vaccine coverage in many countries.

Along with the introduction of the HPV vaccines, several cases of onset or exacerbations of autoimmune diseases following the vaccine shot have been reported in the literature and pharmacovigilance databases, triggering concerns about its safety. This vaccination programme, however, has been introduced in a population that is at high risk for the onset of autoimmune diseases, making it difficult to assess the role of HPV vaccine in these cases and no conclusive studies have been reported thus far.

We have thus analysed and reviewed comprehensively all case reports and studies dealing with either 24 the onset of an autoimmune disease in vaccinated subject or the safety in patients with autoimmune dis-25 eases to define the role of the HPV vaccines in these diseases and hence its safety. A solid evidence of 26 causal relationship was provided in few cases in the examined studies, and the risk vs. benefit of vacci-27 nation is still to be solved. The on-going vigilance for the safety of this vaccine remains thus of paramount 28 importance. 29

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54 1. Introduction

The infection by specific oncogenic serotypes of human papilloma virus (HPV) represents a key step in the pathogenesis of cervical cancers as well as ano-genital and some other non-genital malignancies [1–5]. These diseases have a high impact on public health, with cervical cancer representing the fourth most common cause of cancer-related death worldwide, although the vast majority of these deaths (88%) occurs in developing countries.

The high burden of this disease in developing countries is caused mainly by the lack of screening and treatment facilities as well as suboptimal nutrition and hygiene [6]. As the evolution from infection to invasive cancer is slow, countries with screening programmes witness a reduced incidence of cervical cancer from a rate of 50–80/100,000 observed in unscreened women to a rate of 4–8/100,000 [1,3].

According to the Finland cancer registry, cervical cancer has declined
 of about 75% over the past 60 years, primarily because at least 70% of the
 population participates into a continuous Pap screening programme [2].
 The importance of cervical cancer screening is remarkable, as when
 20–29 year old unvaccinated women stopped attending Pap screening,
 a four-fold increase in cervical cancer occurred within five years from
 screening cessation [2,7,8].

Along with pap screening two vaccines were developed to protect 75against the infection of the two serotypes most commonly related to 7677cervical cancer [1–3,9,10]. These vaccines have different formulation as the bivalent vaccine contains 20 µg each of HPV 16 and HPV 18 L1 78 proteins, while the quadrivalent vaccine contains 40 µg of HPV 16, 79 20 µg of HPV 18, 20 µg of HPV 6 and 40 µg of HPV 11 [9,11]. The protec-80 tion of quadrivalent vaccine against HPV 6 and 11 is meant to prevent 81 82 the occurrence of genital warts, a minor issue in patients without HIV, 83 and respiratory papillomatosis [9].

The protection offered by these two vaccines extends to persistent HPV 31 infection, while only the bivalent vaccine could prevent persistent infections also from HPV 45 and 33 types [1,3,7].

87 Considerations on the clinical efficacy of these vaccines should take into account several important "real-world" factors including: efficacy 88 against oncogenic HPV strains not covered by the vaccine and possibility 89 of increased frequency of infections with these types; efficacy in women 90 91 acquiring multiple HPV types; and effects in women with pre-existing HPV infections [12]. Although the results from clinical trials showed 9293 >97% HPV vaccine efficacy against HPV-16 and 18 related CIN-2/3 precancerous lesions, the corresponding figures against CIN-2/3 lesions 94 95caused by all high-risk HPV types associated with cervical cancer were 96 only 16.9% in the per-protocol population. Thus, most likely, the true 97 HPV vaccine efficacy lies somewhere between 16.9% and 70% [13].

A recent analysis found an increased cervical cancer incidence when vaccination was not accompanied by appropriate screening programmes [1,7,8], and that combining screening with vaccination does not significantly lower the incidence, while decreasing the number of women with abnormal screening tests [1].

In this view, it is important to better define the safety profile of HPV 103 vaccines, especially considering their possible role as triggers of autoim-104 mune diseases. Such definition is of paramount importance, as would 105 allow physicians to provide a full and open discussion guiding women 106107 to make a decision for their cervical cancer protection [1]. In addition the introduction of a new vaccine in a population always raises concerns 108 in terms of safety [14,15]. As expected based on previous experience 109 with the introduction of other vaccines in a large cohorts of adolescent, 110 111 several cases of adverse drug reactions have been reported also for the 112 HPV vaccines, some of which being autoimmune diseases [16].

Numerous reports have thus raised the possibility of a causal 113 relationship between vaccination and autoimmune diseases and 114 hence on vaccine safety, without however providing a conclusive 115 answer [15–19]. 116

In this view, we have reviewed all available information on HPV vaccine safety in patients with autoimmune diseases and about the risk for healthy subjects to develop an autoimmune disease after vaccination; we provide an updated indication about the possible side effect of these vaccines.

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2. Autoimmune diseases

2.1. Method of analysis

We carried out a PubMed search up to 2013 using the terms: "Auto- 124 immune disease" OR "Multiple sclerosis" OR "Systemic lupus erythema- 125 tosus" OR "Guillain-Barré syndrome" OR "Acute disseminated 126 encephalomyelitis" OR "Demyelinating diseases" OR "Rheumatoid ar- 127 thritis" OR "Juvenile idiopathic arthritis" OR "Inflammatory bowel dis- 128 ease" OR "Primary ovarian failure" AND HPV vaccine. We considered 129 studies that included case reports and series, case-control studies, 130 post-marketing surveillance programmes and published analyses by 131 the Vaccine Adverse Event Reporting System (VAERS), a US-based na- 132 tional vaccine safety surveillance programme. We carried out an initial 133 screening by reading each abstract to identify the articles meeting 134 these inclusion criteria, which were conclusively assessed after a thor- 135 ough analysis of their content. The retrieved studies were then entirety 136 read to assess appropriateness. Citations from each included articles 137 were examined in order to identify any other published study potential- 138 ly meeting inclusion criteria. We limited the research to article written 139 in English. 140

2.2. Acute disseminated encephalomyelitis (ADEM) and other demyelinat- 141 ing diseases of the central nervous system 142

Acute disseminated encephalomyelitis is classically described as a 143 monophasic demyelinating disease of the central nervous system that 144 typically follows an infection or, with a lower frequency, a vaccination. 145 As highlighted in Table 1 numerous cases have been reported in the literature [20–24]. 147

In most of the patients described in these reports, the pathology 148 onset occurred within few days after the second or third vaccine shot 149 [20–24]. The therapy response was generally good in all cases and no fatalities due to this condition were described [20–24]. 151

ADEM following vaccination is a clinical entity poorly described in 152 terms of epidemiological features [25]. Based on the reports to the 153 VAERS and the European adverse event database, we recently showed 154 that HPV vaccine is amongst the ones most commonly related to 155 ADEM reports [25]. 156

The incidence of ADEM following immunisation with the HPV 157 vaccine is unknown, but the reporting rate was estimated to be 158 $0.26/10^6$ (CI 95%: $0.16/10^6-0.37/10^6$) [23]. Such estimation was 159 achieved considering the reports to the VAERS database and the 160 doses of vaccine distributed in the same period [23]. 161

Along with ADEM, other diseases characterised by demyelination of 162 the central nervous systems have been reported. In a recent case series, 163 Menge et al. reported on four cases of Neuromyelitis optica having 164 occurred after the administration of HPV vaccine [26]. This disease is 165 rarely observed in adolescent, but the observed cases may reflect the 166 natural disease prevalence considering the large population exposed 167

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Q2 Table 1

t1.1 Cases of autoimmune disease following HPV vaccination.

t1.1	Ref	Authors	Vaccine	Condition	Age (years)
t1.1	[20]	Shaffer et al.	Bivalent	ADEM	15
t1.1	[21]	Wildeman et al.	Quadrivalent	ADEM	20
t1.1	[22]	Mendoza et al.	Quadrivalent	ADEM	15
t1.1	[23]	Pellegrino et al.	Quadrivalent	ADEM	13
t1.1	[23]		Unknown	ADEM	12
t1.1	[24]	Dimario et al.	Quadrivalent	ADEM	16
t1.1	[26]	Menge et al.	Quadrivalent	NMO	17
:1.1	[26]		Quadrivalent	NMO	14
:1.1	[26]		Quadrivalent	NMO	13
:1.1	[26]		Quadrivalent	NMO	18
t1.1	[31]	Sutton et al.	Quadrivalent	CIS	21
t1.1	[31]		Quadrivalent	CIS	16
t1.1	[31]		Quadrivalent	CDMS	25
t1.1	[31]		Quadrivalent	CDMS	21
t1.1	[31]		Quadrivalent	CDMS	26
1.1	[32]	Change et al.	Quadrivalent	CIS	19
1.1	[32]		Quadrivalent	CIS	18
1.1	[40]	Gatto et al.	Quadrivalent	SLE	32
:1.1	[40]		Quadrivalent	SLE	29
:1.1	[40]		Quadrivalent	SLE-like	16
:1.1	[40]		Quadrivalent	antiphospholipid antibody syndrome	16
1.1	[40]		Quadrivalent	SLE	19
1.1	[40]		Quadrivalent	SLE	13
1.1	[41]	Soldevilla et al.	Unknown	SLE	17
1.1	[41]		Unknown	SLE	45
1.1	[41]		Unknown	SLE	58
:1.1	[53]	Colafrancesco	Quadrivalent	POF	14
:1.1	[53]	et al.	Quadrivalent	POF	13
:1.1	[53]		Quadrivalent	POF	31
t1.1	[54]	Little et al.	Quadrivalent	POF	16
1.1	[55]	Cerami et al.	Quadrivalent	Autoimmune neuromyotonia	32
1.1	[56]	Della Corte et al.	Bivalent	Autoimmune hepatitis type 2	11
t1.1	[58]	Melo Gomes et al.	Unknown	HSP	15
1.1	[58]		Unknown	Cutaneous vasculitis	13
t1.1	[59]	Watanabe et al.	Unknown	Kikuchi–Fujimoto disease	14
t1.1	[60]	Yonee et al.	Bivalent	Acute cerebellar ataxia	12
t1.1	[61]	Katoulis et al.	Quadrivalent	Erythema multiforme	19
t1.1	[62]	Pugnet et al.	Quadrivalent	Immune thrombocytopenic purpura	16

t1.1 ADEM: acute disseminated encephalomyelitis; NMO: neuromyelitis optica; CIS: clinical isolated syndrome; CDMS: clinical defined multiple sclerosis; SLE: systemic lupus erythematosus; POF: primary ovarian failure.

to the vaccine [27,28]. It is therefore possible that these cases are simply close in time with the HPV vaccination rather than result from it [28].

170 2.3. Multiple sclerosis (MS)

171 The possible relationship between Multiple Sclerosis (MS) and vaccination in adolescent was described in the early 1990 following the 172173large scale implementation of the immunisation programme with the Hepatitis B vaccine (HBV) [29]. The results of further studies failed to 174demonstrate a significant association between the HBV vaccine and 175MS, but the concerns about this possible association resulted in a mas-176sive loss of public confidence for this vaccine and in a low level of vac-177 178 cine coverage [30]. Along with the introduction of the HPV vaccine, 179the onset or exacerbation of MS has been reported in some patients 180 within few days from the vaccine shot [31,32]. Despite the coincidence in time between vaccine shot and disease onset, it is unclear whether 181 the vaccination had a role in the onset of the disease. The reporting 182rate of MS following HPV vaccination, estimated as previously described 183 for ADEM, was 0.08/100,000 doses in the United States and 0.14/100,000 184 doses in Australia. This reporting rate should be read considering the 185 incidence of MS in the population exposed to the HPV vaccine [33], esti-186 mated to be one case per 100,000 subject every 6 weeks [15]. Such 187 disproportion between the reporting rate and the incidence may indicate 188 an absence of correlation between HPV vaccine and MS [15,33]. The pos-189 sibility of a time coincidence between vaccine and disease onset is 190 191 sustained by the relative high incidence of MS in the subject who re-192 ceived the HPV vaccine [33].

2.4. Guillain–Barré syndrome (GBS)

The possible risk of Guillain–Barré syndrome (GBS), an acute inflammatory demyelinating polyneuropathy, is a potential concern for largescale vaccination programmes such as those against influenza or HPV 196 [34,35]. A recent analysis of the VAERS database did not suggest an inrereased frequency of GBS onset following HPV4 vaccinations [36]. Indeed, considering the number of doses distributed from 1th June 2006 199 to 31th December 2008, the reporting rate (i.e. number of GBS case/ 200 dose distributed in the US) for GBS was 0.3 per 100,000 doses. This 201 reporting rate should be compared with the background incidence of 202 GBS in females aged 9–26 years, which was estimated to be of 1.57 203 cases per 100,000 subjects [36]. The proportional reporting ratio estimated does not meet the screening criteria for signal detection [36]. 205

In a subsequent paper, Souayah et al. [37] estimated on the VAERS 206 database that the weekly reporting rate of post quadrivalent vaccination 207 within the first 6 weeks was 6.6 per 10,000,000. Such rate was higher 208 than the one observed in general population [37], although this matter 209 is still being discussed [38]. 210

2.5. Systemic lupus erythematosus (SLE) 211

The incidence of HPV infection, as well as the risk of developing squa-212 mous intraepithelial lesions of the cervix, is higher in patients with SLE 213 than in unaffected women [39]. Such increased risk may be related to 214 the treatment for SLE or to other specific host-factors. The immunisation 215 with the HPV vaccine has therefore a great importance in the prevention 216 of cancer in these patients, as suggest by current guidelines [39]. 217

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218 Gatto et al. recently reported on six patients, aged between 13 and 219 32 years, that developed SLE or a SLE-like disease after the first or the second dose of vaccine [40]. A second case series reported three similar 220 221 situations following the vaccination against HPV [41]. Moreover, in a prospective open-label study with 26 SLE patients enrolled, 33% experi-222enced a disease exacerbation after the vaccination [42]. By contrast, a 223case-control study failed to confirm these data as it did not show any 224significant difference in the number of exacerbations between vaccinat-225226ed and non-vaccinated SLE patients [43]. The power of the study, how-227 ever, was low and this may have prevented the detection of a small 228group of patients at higher risk [44].

In an analysis dating to the pre-HPV vaccine era, Siegrist et al. 229described the background incidence and the expected incidence of hos-230231 pital admissions for several autoimmune conditions in the vaccine exposed groups [15]. In this work, the authors predicted a hospitalisation 232 rate of two cases per 100,000 vaccine-exposed patients in a temporal as-233 sociation window of 6 weeks after immunisation [15]. These data suggest 234 that a hospital admission for SLE may occur closely to the HPV vaccine 235shot without any causal relationship. We also recently reported about 236the absence of a significant increase in the number of hospital discharges 237for SLE in patients largely exposed to the vaccine [44]. All together, these 238reports indicate the lack of a significant correlation between HPV vaccina-239240 tion and SLE exacerbation.

241 2.6. Rheumatoid arthritis (RA) and juvenile idiopathic arthritis (JIA)

Rheumatoid arthritis is a chronic inflammatory polyarthritis of un-242 243known aetiology [45]. Unlike SLE, there is not a significant consensus on the increased risk for these patients to develop cervix lesion due to 244245HPV infection. A recent study, however, showed the high prevalence 246 of cervical HPV infection in Mexican women with RA [45]. Indeed the 247use of HPV vaccination in RA is widespread and at present information 248about the safety of HPV vaccine in patients with RA is scant, in particular on the possibility that the vaccine exacerbates the disease. Previous re-249ports on other vaccines did not provide a significant relationship: few 250case series described the occurrence of transient rise of rheumatoid fac-251tor or some form of arthritis or RA [17,46,47]. These observations were, 252 however, not confirmed by subsequent analyses showing the absence of 253 increase of RA incidence in vaccinated subjects [17]. 254

Interestingly we have more information on the safety of HPV vacci-255nation and other forms of rheumatic disease. A recent cohort study re-256257ported by Heijstek et al. described the efficacy of the HPV vaccine in patients with Juvenile Idiopathic Arthritis (JIA) [48], the most common 258chronic rheumatic disease in childhood associated with increased sus-259260 ceptibility to infections due both treatments and disease effects [49]. In their prospective controlled observational study, Heijstek et al. in-261262cluded 68 JIA patients and 55 healthy girls aged 12–18 [48]. This study highlighted the safety and immunogenicity of the HPV vaccine and the 263absence of effect on JIA disease activity also in patients with high base-264line disease activity or those using methotrexate [48]. 265

266 2.7. Inflammatory bowel disease (IBD)

Patients with IBD represent a subgroup of subjects exposed to a high
risk of cervical dysplasia due to HPV infection [50]. In a recent analysis,
Badr Al-Baward et al. observed that the absence of a specific guideline
for IBD patients represents a significant concern, mainly for women
that were no longer receiving care from their paediatricians when the
HPV vaccination became available [51].

The immunogenicity and the safety of HPV vaccine in patients with IBD have been assessed recently by Jacobson et al. in a small study with 37 IBD patients in treatment with immunosuppressive therapy [52]. The results of this analysis indicate that geometric mean titres for HPV-6, HPV-11, HPV-16 and HPV-18 did not qualitatively differ from healthy females and there were no clinically significant vaccine-associated adverse events [52].

2.8. Primary ovarian failure (POF)

A possible relationship between POF and HPV vaccine has been 281 proposed in view of the temporal association of the occurrence of this 282 condition and the vaccination [53,54]. Primary ovarian failure is a clinical 283 condition with complex aetiology and 20-0% of the cases are 284 characterised by an autoimmune mechanism [53]. The possible role of 285 HPV vaccine as a trigger factor for an autoimmune insult against the 286 ovary was hypothesised by Colafrancesco et al.; they described three 287 young patients developing POF after HPV vaccination [53]. These cases, 288 along with another previously described may raise concerns on the 289 safety in specific patient at high risk due to unknown factors [53,54]. 290 However, it is also possible that these cases were associated with a 291 genetic risk factor as two of the three patients of Colafrancesco et al. 292 were blood relative [53]. Analyses on a larger number of cases are re- 293 quired to determine conclusively on the association between POF and 294 HPV vaccination. 295

2.9. Other autoimmune diseases

Several case reports and case series demonstrated the possible rela-297 tionship between HPV vaccination and the occurrence or exacerbation 298 of other, more rare autoimmune diseases [55–62]. In a recent paper, 299 Cerami et al. reported on a case of acquired neuromyotonia following 300 HPV vaccination [55]. It is unclear whatever the vaccination was responsible to trigger an immune-mediated disorder or only played a role in 302 the acceleration of the onset of symptoms [55]. 303

Another interesting report of an autoimmune disease following the inoculation of the HPV vaccine was reported by Della Corte et al., describing a case of type 2 autoimmune hepatitis that occurred to an 11 year old patient [57]. The patient had no previous history for liver, autoimmune diseases and did not report any recent medication other than the HPV vaccine [57]. As stated by the authors, the finding of autoimmune hepatitis in this patient may be coincidental and not related to the vaccine inoculation [57], although a report indicated the relationship between vaccination and the onset of autoimmune hepatitis in the case of the vaccine against hepatitis A [63].

Other cases of diseases described to occur closely in time to the HPV 314 vaccine shot include linear IgA bullosus dermatitis [56], Henoch-315 Schonlein purpura [58], cutaneous vasculitis [58], Kikuchi–Fujimoto dis-316 ease [59], erythema multiforme [61], acute cerebellar ataxia [60] and 317 immune thrombocytopenic purpura [62]. Reports on these autoim-318 mune diseases are however rare and it is difficult to draw any significant 319 conclusion about their causal association with the HPV vaccination. 320

3. Discussion

Vaccine administration is usually safe and serious adverse events 322 rare. Concerns about the safety of a newly introduced vaccine are com- 323 monly observed and are more likely to concern categories of patients 324 that are rarely exposed to vaccines, as were adolescents before the 325 introduction of the HPV vaccine [15]. 326

One such case was the hypothesis of correlation between the Hepa- 327 titis B vaccine and MS in adolescents [64]. This hypothesis was support- 328 ed by reports of temporal association between vaccine shot and MS 329 onset [65,66] and were sufficient to fuel major vaccine-safety controver- 330 sies. Despite two decades of studies did not find significant evidence of a 331 correlation between this vaccine and MS onset, the confidence in the 332 safety of the Hepatitis B vaccine was lost. Particularly as a result of this 333 controversy, the hepatitis B immunisation programme in France largely 334 failed and vaccine coverage remains below 25% [66]. 335

These lessons about the effect of misinterpretation of the relation-336 ship between autoimmune disease and a vaccine should thus be consid-337 ered when we discuss on the safety of a newly introduced vaccine.338

The risk of misinterpretation of causal links is particularly high when 339 we consider the association of autoimmune disease and the HPV 340

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vaccination, because the immunisation is recommended for groups of
patients (young female) in which the incidence of autoimmune disease
is high [15]. A confounding factor that adds to the problem is the lack of
information on the established incidence of several diseases in some regions of the world; this aspect makes it difficult to assess the baseline incidence of a disease and its change after the introduction of a new vaccine.

Along with the risk of misinterpretation of a causal links, we 347should consider also the risk of ignoring and downplaying the rela-348349tionship between a vaccine and an adverse event, especially in view of the presence of a well proven screening alternative [1,2,9]. In this 350351view, women need to be informed on the relevance of Pap testing 352and that vaccines offer HPV infection prevention for a limited peri-353 od and at some level of risk that is not fully determinable with the 354information available to date.

As the level of risk is not determinable fully at this time, the role of pharmacovigilance surveillance remains of paramount importance allowing the scientific community to detect unknown or rare events possibly related to the vaccine. The importance of such task increases considering possible adverse event that was not recognised during clinical studies, which are likely to be disregarded as vaccine-related by physician and parents.

An important aspect that may explain why in some but not all cases 362 363 a causal relationship has been suggested is the possibility of a genetic 364 predisposition to vaccine-induced autoimmune disease. This has been highlighted in a recent report of two blood relative patients [53]. No 365 other studies have proposed a role of genetic factors in vaccine-366 induced autoimmune disease; however, genetic predisposition may jus-367 368 tify why only a small number of subject who receive vaccination will subsequently develop them. The presence of genetic bases of adverse 369 reactions has been described for several drugs and in some cases has 370 reached the clinical practice. One of the most important examples is 371 372 represented by the HLA-B*57:01 test for the Abacavir [67]. The intro-373 duction of this test resulted in a dramatic reduction of the cases of 374Abacavir hypersensitivity [67] and made the use of this drug significantly safer. Likewise, testing for HLA-B *1502 for carbamazepine in the Han 375 Chinese population or HLA-A*3101 in subjects of Northern European 376 ancestry [68] has been reported to be useful in reducing the risk of 377 378 carbamazepine-induced Stevens-Johnson syndrome and toxic epidermal necrolysis. More recently HLA-B*13:01 has been associated with 379 the development of the Dapsone hypersensitivity syndrome amongst 380 patients with leprosy [69]. The identification of genetic bases for 381 adverse events following vaccination should be actively investigat-382 ed as it would provide a useful tool to prevent rare and serious diseases 383 without impacting negatively on public confidence in immunisation 384 programmes. 385

Q5 Abbreviations

- 387 ADEM acute disseminated encephalomyelitis
- 388 NMO neuromyelitis optica
- Q6 MS multiple sclerosis
- 390 SLE systemic lupus erythematosus
- 391 POF primary ovarian failure
- 392 JIA Juvenile Idiopathic Arthritis
- 393

394 Take-home messages395

- The human papilloma virus (HPV) vaccines are effective in women through 45 years of age for quadrivalent HPV vaccine and 55 years of age for bivalent HPV vaccine. Public health reimbursement covers the cost of vaccines for children up to 15 in most European countries and up to 26 in other Western countries.
- After the implementation of the HPV immunisation programme, several cases of autoimmune disease were reported to literature and safety surveillance programmes generating concerns about the safety of the vaccine.

- As autoimmune diseases occurs frequently in female adolescent, it is 405 difficult to assess the role of HPV vaccine in the pathogenesis of these 406 cases and no conclusive evidence has been reported thus far.
- Public confidence in the safety of a vaccine is of paramount importance 408 and concerns in the absence of solid scientific evidence have already led 409 to the failure of large immunisation programmes.
- Our study identifies the conditions in which HPV immunisation is most 411 likely linked to the development of autoimmune diseases. 412
- The decision to vaccinate with HPV vaccine is a personal decision, not 413 one that must be made for public health. HPV is not a lethal disease in 414 95% of the infections; and the other 5% are detectable and treatable in 415 the precancerous stage.
- Acknowledgements

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